



US009458536B2

(12) **United States Patent**
Felts et al.

(10) **Patent No.:** **US 9,458,536 B2**
(45) **Date of Patent:** **Oct. 4, 2016**

(54) **PECVD COATING METHODS FOR CAPPED SYRINGES, CARTRIDGES AND OTHER ARTICLES**

(71) Applicants: **John T. Felts**, Alameda, CA (US); **Thomas E. Fisk**, Green Valley, AZ (US); **Shawn Kinney**, Wayland, MA (US); **Christopher Weikart**, Auburn, AL (US); **Benjamin Hunt**, Auburn, AL (US); **Adrian Raiche**, Auburn, AL (US); **Brian Fitzpatrick**, West Chester, PA (US); **Peter J. Sagona**, Pottstown, PA (US); **Adam Stevenson**, Opelika, AL (US)

(72) Inventors: **John T. Felts**, Alameda, CA (US); **Thomas E. Fisk**, Green Valley, AZ (US); **Shawn Kinney**, Wayland, MA (US); **Christopher Weikart**, Auburn, AL (US); **Benjamin Hunt**, Auburn, AL (US); **Adrian Raiche**, Auburn, AL (US); **Brian Fitzpatrick**, West Chester, PA (US); **Peter J. Sagona**, Pottstown, PA (US); **Adam Stevenson**, Opelika, AL (US)

(73) Assignee: **SIO2 MEDICAL PRODUCTS, INC.**, Auburn, AL (US)

(*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 252 days.

(21) Appl. No.: **13/651,299**

(22) Filed: **Oct. 12, 2012**

(65) **Prior Publication Data**

US 2013/0041241 A1 Feb. 14, 2013

Related U.S. Application Data

(60) Continuation-in-part of application No. 13/169,811, filed on Jun. 27, 2011, now Pat. No. 8,512,796, which is a division of application No. 12/779,007, filed on May 12, 2010, now Pat. No. 7,985,188.

(Continued)

(51) **Int. Cl.**
A61M 25/00 (2006.01)
B05D 3/00 (2006.01)

(Continued)

(52) **U.S. Cl.**
CPC **C23C 16/401** (2013.01); **A61B 5/1405** (2013.01); **A61B 5/153** (2013.01);
(Continued)

(58) **Field of Classification Search**
CPC A61M 5/178; C23C 16/045; A61L 31/08; A61L 31/14
USPC 427/2.28, 2.1, 230, 237, 569
See application file for complete search history.

(56) **References Cited**

U.S. PATENT DOCUMENTS

3,274,267 A 9/1966 Chow
3,297,465 A 1/1967 Connell
(Continued)

FOREIGN PATENT DOCUMENTS

AT 414209 B 10/2006
AT 504533 A1 6/2008
(Continued)

OTHER PUBLICATIONS

Hanlon, Adriene Lepiane, Pak, Chung K., Pawlikowski, Beverly A., Decision on Appeal, Appeal No. 2005-1693, U.S. Appl. No. 10/192,333, dated Sep. 30, 2005.

(Continued)

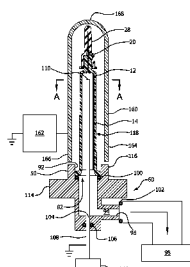
Primary Examiner — Cachet Sellman

(74) *Attorney, Agent, or Firm* — McAndrews, Held & Malloy, Ltd.

(57) **ABSTRACT**

A method is disclosed in which a vapor-deposited coating or layer is directly or indirectly applied to at least a portion of the internal wall of the barrel of a capped pre-assembly comprising a barrel, optionally a dispensing portion, and a cap. The cap is secured to the barrel and at least substantially isolates the distal opening of the dispensing portion from pressure conditions outside the cap. A vapor-deposited coating or layer is applied directly or indirectly to at least a portion of the internal wall of the barrel while the pre-assembly is capped. The coating or layer is applied under conditions effective to maintain communication from the barrel lumen to the exterior via the front opening, optionally further via the dispensing portion lumen if present, at the end of the applying step. The capped pre-assembly can be pressure tested easily and rapidly, for example with a test duration between 1 and 60 seconds, to determine whether it has container closure integrity.

15 Claims, 7 Drawing Sheets



Related U.S. Application Data					
(60)	Provisional application No. 61/222,727, filed on Jul. 2, 2009, provisional application No. 61/213,904, filed on Jul. 24, 2009, provisional application No. 61/234,505, filed on Aug. 17, 2009, provisional application No. 61/261,321, filed on Nov. 14, 2009, provisional application No. 61/263,289, filed on Nov. 20, 2009, provisional application No. 61/285,813, filed on Dec. 11, 2009, provisional application No. 61/298,159, filed on Jan. 25, 2010, provisional application No. 61/299,888, filed on Jan. 29, 2010, provisional application No. 61/318,197, filed on Mar. 26, 2010, provisional application No. 61/333,625, filed on May 11, 2010, provisional application No. 61/636,377, filed on Apr. 20, 2012.			4,483,737 A	11/1984 Mantei
				4,484,479 A	11/1984 Eckhardt
				4,486,378 A	12/1984 Hirata
				4,522,510 A	6/1985 Rosencwaig
				4,524,616 A	6/1985 Drexel
				4,552,791 A	11/1985 Hahn
				4,576,204 A	3/1986 Smallborn
				4,609,428 A	9/1986 Fujimura
				4,610,770 A	9/1986 Saito
				4,648,107 A	3/1987 Latter
				4,648,281 A	3/1987 Morita
				4,652,429 A	3/1987 Konrad
				4,664,279 A	5/1987 Obrist
				4,667,620 A	5/1987 White
				4,668,365 A	5/1987 Foster
				4,683,838 A	8/1987 Kimura
				4,697,717 A	10/1987 Grippi
				4,703,187 A	10/1987 Hofling
				4,716,491 A	12/1987 Ohno
				4,721,553 A	1/1988 Saito
(51)	Int. Cl. <i>C23C 16/40</i> (2006.01) <i>C23C 16/04</i> (2006.01) <i>C23C 16/505</i> (2006.01) <i>C23C 16/52</i> (2006.01) <i>C23C 16/54</i> (2006.01) <i>A61B 5/15</i> (2006.01) <i>A61B 5/153</i> (2006.01) <i>A61B 5/154</i> (2006.01) <i>A61M 5/32</i> (2006.01) <i>G01M 3/32</i> (2006.01) <i>B05D 7/22</i> (2006.01) <i>A61L 31/08</i> (2006.01) <i>G01N 33/00</i> (2006.01)			4,725,481 A	2/1988 Ostapchenko
				4,741,446 A	5/1988 Miller
				4,756,964 A	7/1988 Kincaid
				4,767,414 A	8/1988 Williams
				4,778,721 A	10/1988 Sliemers
				4,799,246 A	1/1989 Fischer
				4,808,453 A	2/1989 Romberg
				4,809,876 A	3/1989 Tomaswick
				4,810,752 A	3/1989 Bayan
				4,824,444 A	4/1989 Nomura
				4,841,776 A	6/1989 Kawachi
				4,842,704 A	6/1989 Collins
				4,844,986 A	7/1989 Karakelle
				4,846,101 A	7/1989 Montgomery
				4,853,102 A	8/1989 Tateishi
				4,869,203 A	9/1989 Pinkhasov
				4,872,758 A	10/1989 Miyazaki
				4,874,497 A	10/1989 Matsuoka
				4,880,675 A	11/1989 Mehta
				4,883,686 A	11/1989 Doehler
(52)	U.S. Cl. CPC <i>A61B5/15003</i> (2013.01); <i>A61B 5/154</i> (2013.01); <i>A61B 5/150274</i> (2013.01); <i>A61B 5/150389</i> (2013.01); <i>A61B 5/150519</i> (2013.01); <i>A61M 5/3202</i> (2013.01); <i>C23C 16/045</i> (2013.01); <i>C23C 16/505</i> (2013.01); <i>C23C 16/52</i> (2013.01); <i>C23C 16/54</i> (2013.01); <i>G01M 3/329</i> (2013.01); <i>A61L 31/08</i> (2013.01); <i>B05D 7/22</i> (2013.01); <i>G01N 2033/0096</i> (2013.01)			4,886,086 A	12/1989 Etchells
				4,894,256 A	1/1990 Gartner
				4,894,510 A	1/1990 Nakanishi
				4,897,285 A	1/1990 Wilhelm
				4,926,791 A	5/1990 Hirose
				4,948,628 A	8/1990 Montgomery
				4,973,504 A	11/1990 Romberg
				4,978,714 A	12/1990 Bayan
				4,991,104 A	2/1991 Miller
				4,999,014 A	3/1991 Gold
				5,000,994 A	3/1991 Romberg
				5,009,646 A	4/1991 Sudo
				5,016,564 A	5/1991 Nakamura
				5,021,114 A	6/1991 Saito
				5,028,566 A	7/1991 Lagendijk
				5,030,475 A	7/1991 Ackermann
				5,032,202 A	7/1991 Tsai
				5,039,548 A	8/1991 Hirose
				5,041,303 A	8/1991 Wertheimer
				5,042,951 A	8/1991 Gold
(56)	References Cited U.S. PATENT DOCUMENTS			5,044,199 A	9/1991 Drexel
				5,064,083 A	11/1991 Alexander
				5,067,491 A	11/1991 Taylor
				5,079,481 A	1/1992 Moslehi
				5,082,542 A	1/1992 Moslehi
				5,084,356 A	1/1992 Deak
				5,085,904 A	2/1992 Deak
				5,099,881 A	3/1992 Nakajima
				5,113,790 A	5/1992 Geisler
				5,120,966 A	6/1992 Kondo
				5,131,752 A	7/1992 Yu
				5,144,196 A	9/1992 Gegenwart
				5,154,943 A	10/1992 Etzkorn
				5,189,446 A	2/1993 Barnes
				5,192,849 A	3/1993 Moslehi
				5,198,725 A	3/1993 Chen
				5,203,959 A	4/1993 Hirose
				5,204,141 A	4/1993 Roberts
				5,209,882 A *	5/1993 Hattori et al. 264/408
				3,355,947 A	12/1967 Karlby
				3,442,686 A	5/1969 Jones
				3,448,614 A	6/1969 Muger
				3,590,634 A	7/1971 Pasternak
				3,838,598 A	10/1974 Tompkins
				3,957,653 A	5/1976 Blecher
				4,111,326 A	9/1978 Percarpio
				4,118,972 A	10/1978 Goepfner
				4,134,832 A	1/1979 Heimreid
				4,136,794 A	1/1979 Percarpio
				4,162,528 A	7/1979 Maldonado
				4,168,330 A	9/1979 Kaganowicz
				4,186,840 A	2/1980 Percarpio
				4,187,952 A	2/1980 Percarpio
				4,226,333 A	10/1980 Percarpio
				4,289,726 A	9/1981 Potoczky
				4,290,534 A	9/1981 Percarpio
				4,293,078 A	10/1981 Percarpio
				4,338,764 A	7/1982 Percarpio
				4,391,128 A	7/1983 McWorter
				4,392,218 A	7/1983 Plunkett, Jr.
				4,422,896 A	12/1983 Class
				4,452,679 A	6/1984 Dunn
				4,478,873 A	10/1984 Masso
				4,481,229 A	11/1984 Suzuki

(56)

References Cited

U.S. PATENT DOCUMENTS

5,216,329	A	6/1993	Pelleteir	5,578,103	A	11/1996	Araujo
5,224,441	A	7/1993	Felts	5,591,898	A	1/1997	Mayer
5,225,024	A	7/1993	Hanley	5,593,550	A	1/1997	Stewart
5,232,111	A	8/1993	Burns	5,597,456	A	1/1997	Maruyama
5,252,178	A	10/1993	Moslehi	5,616,369	A	4/1997	Williams
5,260,095	A	11/1993	Affinito	5,620,523	A	4/1997	Maeda
5,266,398	A	11/1993	Hioki	5,632,396	A	5/1997	Burns
5,271,274	A	12/1993	Khuri-Yakub	5,633,711	A	5/1997	Nelson
5,272,417	A	12/1993	Ohmi	5,643,638	A	7/1997	Otto
5,272,735	A	12/1993	Bryan	5,652,030	A	7/1997	Delperier
5,275,299	A	1/1994	Konrad	5,654,054	A	8/1997	Tropsha
5,286,297	A	2/1994	Moslehi	5,656,141	A	8/1997	Betz
5,288,560	A	2/1994	Sudo	5,658,438	A	8/1997	Givens
5,292,370	A	3/1994	Tsai	5,665,280	A	9/1997	Tropsha
5,294,011	A	3/1994	Konrad	5,667,840	A	9/1997	Tingey
5,294,464	A	3/1994	Geisler	5,674,321	A	10/1997	Pu
5,298,587	A	3/1994	Hu	5,677,010	A	10/1997	Esser
5,300,901	A	4/1994	Krummel	5,679,412	A	10/1997	Kuehnle
5,302,266	A	4/1994	Grabarz	5,679,413	A	10/1997	Petrmichl
5,308,649	A	5/1994	Babacz	5,683,771	A	11/1997	Tropsha
5,314,561	A	5/1994	Komiya	5,686,157	A	11/1997	Harvey
5,320,875	A	6/1994	Hu	5,690,745	A	11/1997	Grunwald
5,321,634	A	6/1994	Obata	5,691,007	A	11/1997	Montgomery
5,330,578	A	7/1994	Sakama	5,693,196	A	12/1997	Stewart
5,333,049	A	7/1994	Ledger	5,699,923	A	12/1997	Burns
5,338,579	A	8/1994	Ogawa et al.	5,702,770	A	12/1997	Martin
5,346,579	A	9/1994	Cook	5,704,983	A	1/1998	Thomas et al.
5,354,286	A	10/1994	Mesa	5,716,683	A	2/1998	Harvey
5,356,029	A	10/1994	Hogan	5,718,967	A	2/1998	Hu
5,361,921	A	11/1994	Burns	5,725,909	A	3/1998	Shaw
5,364,665	A	11/1994	Felts	5,733,405	A	3/1998	Taki
5,364,666	A	11/1994	Williams	5,736,207	A	4/1998	Walther
5,372,851	A	12/1994	Ogawa et al.	5,737,179	A	4/1998	Shaw
5,374,314	A	12/1994	Babacz	5,738,233	A	4/1998	Burns
5,378,510	A	1/1995	Thomas	5,738,920	A	4/1998	Knors
5,395,644	A	3/1995	Affinito	5,744,360	A	4/1998	Hu
5,396,080	A	3/1995	Hannotiau	5,750,892	A	5/1998	Huang
5,397,956	A	3/1995	Araki	5,763,033	A	6/1998	Tropsha
5,413,813	A	5/1995	Cruse	5,766,362	A	6/1998	Montgomery
5,423,915	A	6/1995	Murata	5,769,273	A	6/1998	Sasaki
5,429,070	A	7/1995	Campbell	5,779,074	A	7/1998	Burns
5,433,786	A	7/1995	Hu	5,779,716	A	7/1998	Cano
5,434,008	A	7/1995	Felts	5,779,802	A	7/1998	Borghs
5,439,736	A	8/1995	Nomura	5,779,849	A	7/1998	Blalock
5,440,446	A	8/1995	Shaw	5,788,670	A	8/1998	Reinhard
5,443,645	A	8/1995	Otoshi	5,792,550	A	8/1998	Phillips
5,444,207	A	8/1995	Sekine	5,792,940	A	8/1998	Ghandhi
5,449,432	A	9/1995	Hanawa	5,798,027	A	8/1998	Lefebvre
5,452,082	A	9/1995	Sanger	5,800,880	A	9/1998	Laurent
5,468,520	A	11/1995	Williams	5,807,343	A	9/1998	Tucker
5,470,388	A	11/1995	Goedicke	5,807,605	A	9/1998	Tingey
5,472,660	A	12/1995	Fortin	5,812,261	A	9/1998	Nelson
5,485,091	A	1/1996	Verkuil	5,814,257	A	9/1998	Kawata
5,486,701	A	1/1996	Norton	5,814,738	A	9/1998	Pinkerton
5,494,170	A	2/1996	Burns	5,820,603	A	10/1998	Tucker
5,494,712	A	2/1996	Hu	5,823,373	A	10/1998	Sudo
5,495,958	A	3/1996	Konrad	5,824,198	A	10/1998	Williams
5,508,075	A	4/1996	Roulin	5,824,607	A	10/1998	Trow
5,510,155	A	4/1996	Williams	5,833,752	A	11/1998	Martin
5,513,515	A	5/1996	Mayer	5,837,888	A	11/1998	Mayer
5,514,276	A	5/1996	Babock	5,837,903	A	11/1998	Weingand
5,521,351	A	5/1996	Mahoney	5,840,167	A	11/1998	Kim
5,522,518	A	6/1996	Konrad	5,853,833	A	12/1998	Sudo
5,531,060	A	7/1996	Fayet	5,855,686	A	1/1999	Rust
5,531,683	A	7/1996	Kriesel	5,861,546	A	1/1999	Sagi
5,536,253	A	7/1996	Haber	5,871,700	A	2/1999	Konrad
5,543,919	A	8/1996	Mumola	5,877,895	A	3/1999	Shaw
5,545,375	A	8/1996	Tropsha	5,880,034	A	3/1999	Keller
5,547,508	A	8/1996	Affinito	5,888,414	A	3/1999	Collins
5,547,723	A	8/1996	Williams	5,888,591	A	3/1999	Gleason
5,554,223	A	9/1996	Imahashi	5,897,508	A	4/1999	Konrad
5,555,471	A	9/1996	Xu	5,900,284	A	5/1999	Hu
5,565,248	A	10/1996	Piester	5,900,285	A	5/1999	Walther
5,569,810	A	10/1996	Tsuji	5,902,461	A	5/1999	Xu
5,571,366	A	11/1996	Ishii	5,904,952	A	5/1999	Lopata
				5,913,140	A	6/1999	Roche
				5,914,189	A	6/1999	Hasz
				5,919,328	A	7/1999	Tropsha
				5,919,420	A	7/1999	Niermann

(56)

References Cited

U.S. PATENT DOCUMENTS

5,935,391	A	8/1999	Nakahigashi	6,245,190	B1	6/2001	Masuda
5,945,187	A	8/1999	Buch-Rasmussen	6,248,219	B1	6/2001	Wellerdieck
5,951,527	A	9/1999	Sudo	6,248,397	B1	6/2001	Ye
5,952,069	A	9/1999	Tropsha	6,251,792	B1	6/2001	Collins
5,955,161	A	9/1999	Tropsha	6,254,983	B1	7/2001	Namiki
5,961,911	A	10/1999	Hwang	6,261,643	B1	7/2001	Hasz
5,968,620	A	10/1999	Harvey	6,263,249	B1	7/2001	Stewart
5,972,297	A	10/1999	Niermann	6,271,047	B1	8/2001	Ushio
5,972,436	A	10/1999	Walther	6,276,296	B1	8/2001	Plester
5,985,103	A	11/1999	Givens	6,277,331	B1	8/2001	Konrad
6,001,429	A	12/1999	Martin	6,279,505	B1	8/2001	Plester
6,009,743	A	1/2000	Mayer	6,284,986	B1	9/2001	Dietze
6,013,337	A	1/2000	Knors	6,306,132	B1	10/2001	Moorman
6,017,317	A	1/2000	Newby	6,308,556	B1	10/2001	Sagi
6,018,987	A	2/2000	Mayer	6,322,661	B1	11/2001	Bailey, III
6,020,196	A	2/2000	Hu	6,331,174	B1	12/2001	Reinhard et al.
6,027,619	A	2/2000	Cathey	6,344,034	B1	2/2002	Sudo
6,032,813	A	3/2000	Niermann	6,346,596	B1	2/2002	Mallen
6,035,717	A	3/2000	Carodiskey	6,348,967	B1	2/2002	Nelson
6,050,400	A	4/2000	Taskis	6,350,415	B1	2/2002	Niermann
6,051,151	A	4/2000	Keller	6,351,075	B1	2/2002	Barankova
6,054,016	A	4/2000	Tuda	6,352,629	B1	3/2002	Wang
6,054,188	A	4/2000	Tropsha	6,354,452	B1	3/2002	DeSalvo
6,068,884	A	5/2000	Rose	6,355,033	B1	3/2002	Moorman
6,077,403	A	6/2000	Kobayashi	6,365,013	B1	4/2002	Beele
6,081,330	A	6/2000	Nelson	6,375,022	B1	4/2002	Zurcher
6,082,295	A	7/2000	Lee	6,376,028	B1	4/2002	Laurent
6,083,313	A	7/2000	Venkatraman et al.	6,379,757	B1	4/2002	Iacovangelo
6,085,927	A	7/2000	Kusz	6,382,441	B1	5/2002	Carano
6,090,081	A	7/2000	Sudo	6,394,979	B1	5/2002	Sharp
6,093,175	A	7/2000	Gyure	6,396,024	B1	5/2002	Doughty
6,106,678	A	8/2000	Shufflebotham	6,399,944	B1	6/2002	Vasilyev
6,110,395	A	8/2000	Gibson, Jr.	6,402,885	B2	6/2002	Loewenhardt
6,110,544	A	8/2000	Yang	6,410,926	B1	6/2002	Munro
6,112,695	A	9/2000	Felts	6,413,645	B1	7/2002	Graff
6,116,081	A	9/2000	Ghandhi	6,432,494	B1	8/2002	Yang
6,117,243	A	9/2000	Walther	6,470,650	B1	10/2002	Lohwasser
6,118,844	A	9/2000	Fischer	6,471,822	B1	10/2002	Yin
6,125,687	A	10/2000	McClelland	6,475,622	B2	11/2002	Namiki
6,126,640	A	10/2000	Tucker	6,482,509	B2	11/2002	Buch-Rasmussen et al.
6,129,712	A	10/2000	Sudo	6,486,081	B1	11/2002	Ishikawa
6,129,956	A	10/2000	Morra	6,500,500	B1	12/2002	Okamura
6,136,275	A	10/2000	Niermann	6,503,579	B1	1/2003	Murakami
6,139,802	A	10/2000	Niermann	6,518,195	B1	2/2003	Collins
6,143,140	A	11/2000	Wang	6,524,282	B1	2/2003	Sudo
6,149,982	A	11/2000	Plester	6,524,448	B2	2/2003	Brinkmann
6,153,269	A	11/2000	Gleason	6,539,890	B1	4/2003	Felts
6,156,152	A	12/2000	Ogino	6,544,610	B1	4/2003	Minami
6,156,399	A	12/2000	Spallek	6,551,267	B1	4/2003	Cohen
6,156,435	A	12/2000	Gleason	6,558,679	B2	5/2003	Flament-Garcia et al.
6,160,350	A	12/2000	Sakemi	6,562,010	B1	5/2003	Gyure
6,161,712	A	12/2000	Savitz	6,562,189	B1	5/2003	Quiles
6,163,006	A	12/2000	Doughty	6,565,791	B1	5/2003	Laurent
6,165,138	A	12/2000	Miller	6,582,426	B2	6/2003	Moorman
6,165,542	A	12/2000	Jaworowski	6,582,823	B1	6/2003	Sakhrani et al.
6,165,566	A	12/2000	Tropsha	6,584,828	B2	7/2003	Sagi
6,171,670	B1	1/2001	Sudo	6,595,961	B2	7/2003	Hetzler
6,175,612	B1	1/2001	Sato	6,597,193	B2	7/2003	Lagowski
6,177,142	B1	1/2001	Felts	6,599,569	B1	7/2003	Humele
6,180,185	B1	1/2001	Felts	6,599,594	B1	7/2003	Walther
6,180,191	B1	1/2001	Felts	6,602,206	B1	8/2003	Niermann
6,188,079	B1	2/2001	Juvinall	6,616,632	B2	9/2003	Sharp
6,189,484	B1	2/2001	Yin	6,620,139	B1	9/2003	Plicchi
6,190,992	B1	2/2001	Sandhu	6,620,334	B2	9/2003	Kanno
6,193,853	B1	2/2001	Yumshtyk	6,623,861	B2	9/2003	Martin
6,196,155	B1	3/2001	Setoyama	6,638,403	B1	10/2003	Inaba
6,197,166	B1	3/2001	Moslehi	6,638,876	B2	10/2003	Levy
6,200,658	B1	3/2001	Walther	6,645,354	B1	11/2003	Gorokhovskiy
6,200,675	B1	3/2001	Neerink	6,645,635	B2	11/2003	Muraki
6,204,922	B1	3/2001	Chalmers	6,651,835	B2	11/2003	Iskra
6,210,791	B1	4/2001	Skoog	6,652,520	B2	11/2003	Moorman
6,214,422	B1	4/2001	Yializis	6,656,540	B2	12/2003	Sakamoto
6,217,716	B1	4/2001	Fai Lai	6,658,919	B2	12/2003	Chatard
6,223,683	B1	5/2001	Plester	6,662,957	B2	12/2003	Zurcher
6,236,459	B1	5/2001	Negahdaripour	6,663,601	B2	12/2003	Hetzler
				6,663,603	B1	12/2003	Gyure
				6,670,200	B2	12/2003	Ushio
				6,673,199	B1	1/2004	Yamartino
				6,680,091	B2	1/2004	Buch-Rasmussen et al.

(56)

References Cited

U.S. PATENT DOCUMENTS

6,680,621 B2 1/2004 Savtchouk
 6,683,308 B2 1/2004 Itagaki
 6,684,683 B2 2/2004 Potyrailo
 6,702,898 B2 3/2004 Hosoi
 6,706,412 B2 3/2004 Yializis
 6,746,430 B2 6/2004 Lubrecht
 6,749,078 B2 6/2004 Iskra
 6,752,899 B1 6/2004 Singh
 6,753,972 B1 6/2004 Hirose
 6,757,056 B1 6/2004 Meeks
 6,764,714 B2 7/2004 Wei
 6,765,466 B2 7/2004 Miyata
 6,766,682 B2 7/2004 Engle
 6,774,018 B2 8/2004 Mikhael
 6,796,780 B1 9/2004 Chatard
 6,800,852 B2 10/2004 Larson
 6,808,753 B2 10/2004 Rule
 6,810,106 B2 10/2004 Sato
 6,815,014 B2 11/2004 Gabelnick
 6,818,310 B2 11/2004 Namiki
 6,822,015 B2 11/2004 Muraki
 6,837,954 B2 1/2005 Carano
 6,844,075 B1 1/2005 Saak
 6,853,141 B2 2/2005 Hoffman
 6,858,259 B2 2/2005 Affinito
 6,863,731 B2 3/2005 Elsayed-Ali
 6,864,773 B2 3/2005 Perrin
 6,866,656 B2 3/2005 Tingey
 6,872,428 B2 3/2005 Yang
 6,876,154 B2 4/2005 Appleyard
 6,885,727 B2 4/2005 Tamura
 6,887,578 B2 5/2005 Gleason
 6,891,158 B2 5/2005 Larson
 6,892,567 B1 5/2005 Morrow
 6,899,054 B1 5/2005 Bardos
 6,905,769 B2 6/2005 Komada
 6,910,597 B2 6/2005 Iskra
 6,911,779 B2 6/2005 Madocks
 6,919,107 B2 7/2005 Schwarzenbach
 6,919,114 B1 7/2005 Darras
 6,933,460 B2 8/2005 Vanden Brande
 6,946,164 B2 9/2005 Huang
 6,952,949 B2 10/2005 Moore
 6,960,393 B2 11/2005 Yializis
 6,962,671 B2 11/2005 Martin
 6,965,221 B2 11/2005 Lipcsei
 6,981,403 B2 1/2006 Ascherman
 6,989,675 B2 1/2006 Kesil
 6,995,377 B2 2/2006 Darr
 7,029,755 B2 4/2006 Terry
 7,029,803 B2 4/2006 Becker
 7,039,158 B1 5/2006 Janik
 7,052,736 B2 5/2006 Wei
 7,052,920 B2 5/2006 Ushio
 7,059,268 B2 6/2006 Russell
 7,067,034 B2 6/2006 Bailey, III
 7,074,501 B2 7/2006 Czeremuszkin
 7,098,453 B2 8/2006 Ando
 7,109,070 B2 9/2006 Behle
 7,112,352 B2 9/2006 Schaepekens
 7,112,541 B2 9/2006 Xia
 7,115,310 B2 10/2006 Jacoud
 7,118,538 B2 10/2006 Konrad
 7,119,908 B2 10/2006 Nomoto
 7,121,135 B2 10/2006 Moore
 7,130,373 B2 10/2006 Omote
 7,150,299 B2 12/2006 Hertzler
 7,160,292 B2 1/2007 Moorman
 7,183,197 B2 2/2007 Won
 7,186,242 B2 3/2007 Gyure
 7,188,734 B2 3/2007 Konrad
 7,189,290 B2 3/2007 Hama
 7,193,724 B2 3/2007 Isei
 7,198,685 B2 4/2007 Hetzler
 7,206,074 B2 4/2007 Fujimoto

7,214,214 B2 5/2007 Sudo
 7,244,381 B2 7/2007 Chatard
 7,253,892 B2 8/2007 Semersky
 7,286,242 B2 10/2007 Kim
 7,288,293 B2 10/2007 Koulik
 7,297,216 B2 11/2007 Hetzler
 7,300,684 B2 11/2007 Boardman
 7,303,789 B2 12/2007 Saito
 7,303,790 B2 12/2007 Delaunay
 7,306,852 B2 12/2007 Komada
 7,332,227 B2 2/2008 Hardman
 7,338,576 B2 3/2008 Ono
 7,339,682 B2 3/2008 Aiyer
 7,344,766 B1 3/2008 Sorensen
 7,348,055 B2 3/2008 Chappa
 7,348,192 B2 3/2008 Mikami
 7,362,425 B2 4/2008 Meeks
 7,381,469 B2 6/2008 Moelle
 7,390,573 B2 6/2008 Korevaar
 7,399,500 B2 7/2008 Bicker
 7,405,008 B2 7/2008 Domine
 7,409,313 B2 8/2008 Ringermacher
 7,411,685 B2 8/2008 Takashima
 RE40,531 E 10/2008 Graff
 7,431,989 B2 10/2008 Sakhrani
 7,438,783 B2 10/2008 Miyata
 7,444,955 B2 11/2008 Boardman
 7,455,892 B2 11/2008 Goodwin
 7,480,363 B2 1/2009 Lasiuk
 7,488,683 B2 2/2009 Kobayashi
 7,494,941 B2 2/2009 Kasahara
 7,507,378 B2 3/2009 Reichenbach
 7,513,953 B1 4/2009 Felts
 7,520,965 B2 4/2009 Wei
 7,521,022 B2 4/2009 Konrad
 7,534,615 B2 5/2009 Havens
 7,534,733 B2 5/2009 Bookbinder
 RE40,787 E 6/2009 Martin
 7,541,069 B2 6/2009 Tudhope
 7,547,297 B2 6/2009 Brinkhues
 7,552,620 B2 6/2009 DeRoos
 7,553,529 B2 6/2009 Sakhrani
 7,555,934 B2 7/2009 DeRoos
 7,569,035 B1 8/2009 Wilmot
 7,579,056 B2 8/2009 Brown
 7,582,868 B2 9/2009 Jiang
 7,595,097 B2 9/2009 Iacovangelo
 7,608,151 B2 10/2009 Tudhope
 7,618,686 B2 11/2009 Colpo
 7,624,622 B1 12/2009 Mayer
 7,625,494 B2 12/2009 Honda
 7,645,696 B1 1/2010 Dulkin
 7,648,481 B2 1/2010 Geiger
 7,682,816 B2 3/2010 Kim
 7,691,308 B2 4/2010 Brinkhues
 7,694,403 B2 4/2010 Moulton
 7,704,683 B2 4/2010 Wittenberg
 7,713,638 B2 5/2010 Moelle
 7,736,689 B2 6/2010 Chappa
 7,740,610 B2 6/2010 Moh
 7,744,567 B2 6/2010 Glowacki
 7,744,790 B2 6/2010 Behle
 7,745,228 B2 6/2010 Schwind
 7,745,547 B1 6/2010 Auerbach
 7,749,202 B2 7/2010 Miller
 7,749,914 B2 7/2010 Honda
 7,754,302 B2 7/2010 Yamasaki
 7,766,882 B2 8/2010 Sudo
 7,780,866 B2 8/2010 Miller
 7,785,862 B2 8/2010 Kim
 7,790,475 B2 9/2010 Galbraith
 7,798,993 B2 9/2010 Lim
 7,803,305 B2 9/2010 Ahern
 7,807,242 B2 10/2010 Sorensen
 7,815,922 B2 10/2010 Chaney
 7,846,293 B2 12/2010 Iwasaki
 7,854,889 B2 12/2010 Perot
 7,867,366 B1 1/2011 McFarland
 7,887,891 B2 * 2/2011 Rius 427/578

(56)	References Cited				2003/0031806	A1	2/2003	Jinks	
	U.S. PATENT DOCUMENTS				2003/0046982	A1	3/2003	Chartard	
					2003/0102087	A1	6/2003	Ito	
					2003/0119193	A1	6/2003	Hess	
7,905,866	B2	3/2011	Haider		2003/0159654	A1	8/2003	Arnold	
7,922,880	B1	4/2011	Pradhan		2003/0215652	A1	11/2003	O'Connor	
7,922,958	B2	4/2011	D'Arrigo		2003/0219547	A1	11/2003	Arnold	
7,927,315	B2	4/2011	Sudo		2003/0232150	A1	12/2003	Arnold	
7,931,955	B2	4/2011	Behle		2004/0024371	A1	2/2004	Plicchi	
7,932,678	B2	4/2011	Madocks		2004/0039401	A1	2/2004	Chow	
7,934,613	B2	5/2011	Sudo		2004/0040372	A1	3/2004	Plester	
7,943,205	B2	5/2011	Schaepekens		2004/0045811	A1	3/2004	Wang	
7,947,337	B2	5/2011	Kuepper		2004/0050744	A1	3/2004	Hama	
7,955,986	B2	6/2011	Hoffman		2004/0055538	A1	3/2004	Gorokhovsky	
7,960,043	B2	6/2011	Harris		2004/0071960	A1	4/2004	Weber	
7,964,438	B2	6/2011	Roca I Cabarrocas		2004/0082917	A1	4/2004	Hetzler	
7,967,945	B2	6/2011	Glukhoy		2004/0084151	A1	5/2004	Kim	
7,975,646	B2	7/2011	Rius		2004/0125913	A1	7/2004	Larson	
7,985,188	B2	7/2011	Felts		2004/0135081	A1	7/2004	Larson	
8,002,754	B2	8/2011	Kawamura		2004/0149225	A1	8/2004	Weikart	
8,025,915	B2	9/2011	Haines		2004/0177676	A1	9/2004	Moore	
8,038,858	B1	10/2011	Bures		2004/0195960	A1	10/2004	Czeremuszkin	
8,039,524	B2	10/2011	Chappa		2004/0206309	A1	10/2004	Bera	
8,056,719	B2	11/2011	Porret		2004/0217081	A1	11/2004	Konrad	
8,062,266	B2	11/2011	McKinnon		2004/0247948	A1	12/2004	Behle	
8,066,663	B2	11/2011	Sudo		2004/0267194	A1	12/2004	Sano	
8,066,854	B2	11/2011	Storey		2005/0000962	A1	1/2005	Crawford	
8,070,917	B2	12/2011	Tsukamoto		2005/0010175	A1	1/2005	Beedon	
8,075,995	B2	12/2011	Zhao		2005/0019503	A1	1/2005	Komada	
8,092,605	B2	1/2012	Shannon		2005/0037165	A1	2/2005	Ahern	
8,101,246	B2	1/2012	Fayet		2005/0039854	A1	2/2005	Matsuyama	
8,101,674	B2	1/2012	Kawauchi		2005/0045472	A1	3/2005	Nagata	
8,105,294	B2	1/2012	Araki		2005/0057754	A1	3/2005	Smith	
8,197,452	B2	6/2012	Harding		2005/0073323	A1	4/2005	Kohno	
8,227,025	B2	7/2012	Lewis		2005/0075611	A1 *	4/2005	Hetzler et al.	604/192
8,258,486	B2	9/2012	Avnery		2005/0075612	A1	4/2005	Lee	
8,268,410	B2	9/2012	Moelle		2005/0161149	A1	7/2005	Yokota	
8,273,222	B2	9/2012	Wei		2005/0169803	A1	8/2005	Betz	
8,313,455	B2	11/2012	DiGregorio		2005/0190450	A1	9/2005	Becker	
8,323,166	B2	12/2012	Haines		2005/0196629	A1	9/2005	Bariatinsky	
8,389,958	B2	3/2013	Vo-Dinh		2005/0199571	A1	9/2005	Geisler	
8,397,667	B2	3/2013	Behle		2005/0206907	A1	9/2005	Fujimoto	
8,409,441	B2 *	4/2013	Wilt	210/646	2005/0211383	A1	9/2005	Miyata	
8,418,650	B2	4/2013	Goto		2005/0223988	A1	10/2005	Behle	
8,435,605	B2	5/2013	Aitken et al.		2005/0227002	A1	10/2005	Lizenberg	
8,475,886	B2	7/2013	Chen et al.		2005/0227022	A1	10/2005	Domine	
8,512,796	B2	8/2013	Felts		2005/0229850	A1	10/2005	Behle	
8,524,331	B2	9/2013	Honda		2005/0233077	A1	10/2005	Lizenberg	
8,592,015	B2	11/2013	Bicker		2005/0233091	A1	10/2005	Kumar	
8,603,638	B2	12/2013	Liu		2005/0236346	A1	10/2005	Whitney	
8,618,509	B2	12/2013	Vo-Dinh		2005/0260504	A1	11/2005	Becker	
8,623,324	B2	1/2014	Diwu		2005/0284550	A1	12/2005	Bicker	
8,633,034	B2	1/2014	Trotter		2006/0005608	A1	1/2006	Kitzhoffer	
8,747,962	B2 *	6/2014	Bicker et al.	427/569	2006/0013997	A1	1/2006	Kuepper	
8,802,603	B2	8/2014	D'Souza		2006/0014309	A1	1/2006	Sachdev	
8,816,022	B2	8/2014	Zhao		2006/0024849	A1	2/2006	Zhu	
9,068,565	B2	6/2015	Alarcon		2006/0042755	A1	3/2006	Holmberg	
2001/0000279	A1	4/2001	Daniels		2006/0046006	A1	3/2006	Bastion	
2001/0021356	A1	9/2001	Konrad		2006/0051252	A1	3/2006	Yuan	
2001/0038894	A1	11/2001	Komada		2006/0051520	A1	3/2006	Behle	
2001/0042510	A1	11/2001	Plester		2006/0076231	A1	4/2006	Wei	
2001/0043997	A1	11/2001	Uddin		2006/0086320	A1	4/2006	Lizenberg	
2002/0006487	A1	1/2002	O'Connor		2006/0099340	A1	5/2006	Behle	
2002/0007796	A1	1/2002	Gorokhovsky		2006/0121222	A1	6/2006	Audrich	
2002/0070647	A1	6/2002	Ginovker		2006/0121613	A1	6/2006	Havens	
2002/0117114	A1	8/2002	Ikenaga		2006/0121623	A1	6/2006	He	
2002/0125900	A1	9/2002	Savtchouk		2006/0127699	A1	6/2006	Moelle	
2002/0130674	A1	9/2002	Logowski		2006/0135945	A1	6/2006	Bankiewicz	
2002/0141477	A1	10/2002	Akahori		2006/0138326	A1	6/2006	Jiang	
2002/0153103	A1	10/2002	Madocks		2006/0150909	A1	7/2006	Behle	
2002/0155218	A1	10/2002	Meyer		2006/0169026	A1	8/2006	Kage	
2002/0170495	A1	11/2002	Nakamura		2006/0178627	A1	8/2006	Geiger	
2002/0176947	A1 *	11/2002	Darras et al.	427/569	2006/0183345	A1	8/2006	Nguyen	
2002/0182101	A1	12/2002	Koulik		2006/0192973	A1	8/2006	Aiyer	
2002/0185226	A1	12/2002	Lea		2006/0196419	A1	9/2006	Tudhope	
2002/0190207	A1	12/2002	Levy		2006/0198903	A1	9/2006	Storey	
2003/0010454	A1	1/2003	Bailey, III		2006/0198965	A1	9/2006	Tudhope	
2003/0013818	A1	1/2003	Hakuta		2006/0200078	A1	9/2006	Konrad	
2003/0029837	A1	2/2003	Trow		2006/0200084	A1	9/2006	Ito	

(56)

References Cited

U.S. PATENT DOCUMENTS

2006/0210425	A1	9/2006	Mirkarimi	2009/0022981	A1	1/2009	Yoshida
2006/0228497	A1	10/2006	Kumar	2009/0029402	A1	1/2009	Papkovsky
2006/0260360	A1	11/2006	Dick	2009/0031953	A1	2/2009	Ingle
2007/0003441	A1	1/2007	Wohleb	2009/0032393	A1	2/2009	Madocks
2007/0009673	A1	1/2007	Fukazawa et al.	2009/0039240	A1	2/2009	Van Nijnatten
2007/0017870	A1	1/2007	Belov	2009/0053491	A1	2/2009	Laboda
2007/0048456	A1	3/2007	Keshner	2009/0061237	A1	3/2009	Gates
2007/0049048	A1	3/2007	Rauf	2009/0065485	A1	3/2009	O'Neill
2007/0051629	A1	3/2007	Donlik	2009/0081797	A1	3/2009	Fadeev
2007/0065680	A1	3/2007	Schultheis	2009/0099512	A1	4/2009	Digregorio
2007/0076833	A1	4/2007	Becker	2009/0104392	A1	4/2009	Takada
2007/0102344	A1	5/2007	Konrad	2009/0117268	A1	5/2009	Lewis
2007/0123920	A1	5/2007	Inokuti	2009/0117389	A1	5/2009	Amberg-Schwab
2007/0148326	A1	6/2007	Hatings	2009/0122832	A1	5/2009	Feist
2007/0166187	A1	7/2007	Song	2009/0134884	A1	5/2009	Bosselmann
2007/0184657	A1	8/2007	Iijima	2009/0137966	A1	5/2009	Rueckert
2007/0187229	A1	8/2007	Aksenov	2009/0142227	A1	6/2009	Fuchs
2007/0187280	A1	8/2007	Haines	2009/0142514	A1	6/2009	O'Neill
2007/0205096	A1	9/2007	Nagashima	2009/0147719	A1	6/2009	Kang
2007/0215009	A1	9/2007	Shimazu	2009/0149816	A1	6/2009	Hetzler
2007/0215046	A1	9/2007	Lupke et al.	2009/0155490	A1	6/2009	Bicker
2007/0218265	A1	9/2007	Harris	2009/0162571	A1	6/2009	Haines
2007/0224236	A1	9/2007	Boden	2009/0166312	A1	7/2009	Giraud
2007/0231655	A1	10/2007	Ha	2009/0176031	A1	7/2009	Armellin
2007/0232066	A1	10/2007	Bicker	2009/0220948	A1	9/2009	Oviso et al.
2007/0235890	A1	10/2007	Lewis	2009/0263668	A1	10/2009	David
2007/0243618	A1	10/2007	Hatchett	2009/0280268	A1	11/2009	Glukhoy
2007/0251458	A1	11/2007	Mund	2009/0297730	A1	12/2009	Glukhoy
2007/0258894	A1	11/2007	Melker et al.	2009/0306595	A1	12/2009	Shih
2007/0259184	A1	11/2007	Martin	2009/0326517	A1	12/2009	Bork
2007/0281108	A1	12/2007	Weikart	2010/0021998	A1	1/2010	Sanyal
2007/0281117	A1	12/2007	Kaplan	2010/0028238	A1	2/2010	Maschwitz
2007/0287950	A1	12/2007	Kjeken	2010/0034985	A1	2/2010	Krueger
2007/0287954	A1	12/2007	Zhao	2010/0042055	A1	2/2010	Sudo
2007/0298189	A1	12/2007	Straemke	2010/0075077	A1	3/2010	Bicker
2008/0011232	A1	1/2008	Ruis	2010/0089097	A1	4/2010	Brack
2008/0017113	A1	1/2008	Goto	2010/0105208	A1	4/2010	Winniczek
2008/0023414	A1	1/2008	Konrad	2010/0132762	A1	6/2010	Graham, Jr.
2008/0027400	A1	1/2008	Harding	2010/0145284	A1	6/2010	Togashi
2008/0045880	A1	2/2008	Kjeken	2010/0174239	A1	7/2010	Yodfat
2008/0050567	A1	2/2008	Kawashima	2010/0174245	A1	7/2010	Halverson
2008/0050932	A1	2/2008	Lakshmanan	2010/0178490	A1	7/2010	Cerny
2008/0069970	A1	3/2008	Wu	2010/0185157	A1	7/2010	Kawamura
2008/0071228	A1	3/2008	Wu	2010/0186740	A1	7/2010	Lewis et al.
2008/0081184	A1	4/2008	Kubo	2010/0190036	A1	7/2010	Komvopoulos
2008/0090039	A1	4/2008	Klein	2010/0193461	A1	8/2010	Boutroy
2008/0093245	A1	4/2008	Periasamy	2010/0198554	A1	8/2010	Skliar
2008/0102206	A1	5/2008	Wagner	2010/0204648	A1	8/2010	Stout
2008/0109017	A1	5/2008	Herweck	2010/0230281	A1	9/2010	Park
2008/0110852	A1	5/2008	Kuroda	2010/0231194	A1	9/2010	Bauch
2008/0113109	A1	5/2008	Moelle	2010/0237545	A1	9/2010	Haury
2008/0118734	A1	5/2008	Goodwin	2010/0264139	A1	10/2010	Kawachi
2008/0131628	A1	6/2008	Abensour	2010/0273261	A1	10/2010	Chen
2008/0131638	A1	6/2008	Hutton	2010/0275847	A1	11/2010	Yamasaki
2008/0139003	A1	6/2008	Pirzada	2010/0279397	A1	11/2010	Crawford
2008/0145271	A1	6/2008	Kidambi	2010/0298738	A1	11/2010	Felts
2008/0187681	A1	8/2008	Hofrichter	2010/0298779	A1	11/2010	Hetzler
2008/0195059	A1	8/2008	Sudo	2011/0037159	A1	2/2011	Mcelerea
2008/0202414	A1	8/2008	Yan	2011/0046570	A1	2/2011	Stout
2008/0206477	A1	8/2008	Rius	2011/0056912	A1	3/2011	Matsuyama
2008/0210550	A1	9/2008	Walther et al.	2011/0062047	A1	3/2011	Haines
2008/0220164	A1	9/2008	Bauch	2011/0065798	A1	3/2011	Hoang
2008/0223815	A1	9/2008	Konrad	2011/0079582	A1	4/2011	Yonesu
2008/0233355	A1	9/2008	Henze	2011/0093056	A1	4/2011	Kaplan
2008/0260966	A1	10/2008	Hanawa	2011/0111132	A1	5/2011	Wei
2008/0268252	A1	10/2008	Garces	2011/0117202	A1	5/2011	Bourke, Jr.
2008/0277332	A1	11/2008	Liu	2011/0117288	A1	5/2011	Honda
2008/0289957	A1	11/2008	Takigawa	2011/0137263	A1	6/2011	Ashmead
2008/0292806	A1	11/2008	Wei	2011/0152820	A1	6/2011	Chattaraj
2008/0295772	A1	12/2008	Park	2011/0159101	A1	6/2011	Kurdyumov et al.
2008/0303131	A1	12/2008	Mcelerea	2011/0160662	A1	6/2011	Stout
2008/0312607	A1	12/2008	Delmotte	2011/0160663	A1	6/2011	Stout
2008/0314318	A1	12/2008	Han	2011/0174220	A1	7/2011	Laure
2009/0004363	A1	1/2009	Keshner	2011/0186537	A1*	8/2011	Rodriguez San Juan
2009/0017217	A1	1/2009	Hass				et al. 215/355
				2011/0220490	A1	9/2011	Wei
				2011/0252899	A1	10/2011	Felts
				2011/0253674	A1	10/2011	Chung
				2011/0313363	A1	12/2011	D'Souza

References Cited

DE	19830794	A1	1/2000
DE	19912737	A1	6/2000
DE	10010831	A1	9/2001
DE	10154404	C1	6/2003
DE	10201110	A1	10/2003
DE	10242698		3/2004
DE	10246181	A1	4/2004
DE	10353540	A1	5/2004
DE	102004017236	A1	10/2005
DE	102006061585	A1	2/2008
DE	102008023027	A1	11/2009
EP	0121340	A2	10/1984
EP	0251812	A2	1/1988
EP	0275965	A2	7/1988
EP	0284867	A2	10/1988
EP	0306307		3/1989
EP	0329041	A2	8/1989
EP	0343017	A2	11/1989
EP	0396919	A2	11/1990
EP	0482613	A1	10/1991
EP	0484746	A2	10/1991
EP	0495447	A1	7/1992
EP	0520519	A1	12/1992
EP	0535810	A1	4/1993
EP	0375778	B1	9/1993
EP	0571116	A1	11/1993
EP	0580094	A1	1/1994
EP	0603717	A2	6/1994
EP	0619178		10/1994
EP	0645470	A1	3/1995
EP	0697378	A2	2/1996
EP	0709485	B1	5/1996
EP	0719877	A1	7/1996
EP	0728676	A1	8/1996
EP	0787824	A2	8/1997
EP	0787828	A2	8/1997
EP	0814114	A1	12/1997
EP	0833366	A2	4/1998
EP	0879611	A2	11/1998
EP	0940183	A2	9/1999
EP	0962229	A2	12/1999
EP	0992610	A2	4/2000
EP	1119034	A1	7/2001
EP	0954272	B1	3/2002
EP	1245694	A1	10/2002
EP	1388594	B1	1/2003
EP	1317937	A1	6/2003
EP	1365043	A1	11/2003
EP	1367145		12/2003
EP	1388593	A1	2/2004
EP	1439241	A2	7/2004
EP	1447459	A2	8/2004
EP	1990639	A1	2/2005
EP	1510595	A1	3/2005
EP	1522403	A2	4/2005
EP	1901067	A2	8/2005
EP	1507894		12/2005
EP	1507723		3/2006
EP	1653192	A1	5/2006
EP	1810758	A1	7/2007
EP	1356260	B1	12/2007
EP	1870117	A2	12/2007
EP	1881088	A1	1/2008
EP	1507887		7/2008
EP	1415018		10/2008
EP	2199264	A1	11/2009
EP	1388594	B1	1/2010
EP	2178109	A1	4/2010
EP	1507895		7/2010
EP	2218465	A1	8/2010
EP	2243751	A1	10/2010
EP	2251671		11/2010
EP	2261185		12/2010
EP	2369038	A2	9/2011
EP	1960279	B1	10/2011
EP	2602354	A1	6/2013
EP	2639330	A1	9/2013
FR	891892	A	11/1942
GB	752822		7/1958

FOREIGN PATENT DOCUMENTS

AU	2002354470	B2	5/2007
CA	2085805		12/1992
CA	2277679	A1	7/1997
CA	2355681		7/2000
CA	2571380	A1	7/2006
CA	2718253		9/2009
CA	2268719	C	8/2010
CN	2546041	Y	4/2003
CN	1711310	A	12/2005
CN	2766863	Y	3/2006
CN	1898172	A	1/2007
CN	201002786	Y	1/2008
CN	101147813	A	3/2008
CN	201056331	Y	5/2008
CN	102581274	A	7/2012
DE	1147836		4/1969
DE	1147838		4/1969
DE	3632748	A1	4/1988
DE	3908418	A1	9/1990
DE	4214401	C1	3/1993
DE	4204082	A1	8/1993
DE	4316349	A1	11/1994
DE	4438359		5/1996
DE	19707645	A1	8/1998

(56)

References Cited

FOREIGN PATENT DOCUMENTS

GB	1363762	8/1974	JP	2006111967	A	4/2006
GB	1513426	A 6/1978	JP	2006160268	A	6/2006
GB	1566251	4/1980	JP	2006-224992	A	8/2006
GB	2210826	A 6/1989	JP	2006249577	A	9/2006
GB	2231197	A 11/1990	JP	2007050898	A	3/2007
GB	2246794	A 2/1992	JP	2007231386	A	9/2007
GB	2246795	A 2/1992	JP	2007246974	A	9/2007
GB	2387964	A 10/2003	JP	2008174793	A	7/2008
JP	56027330	A 3/1981	JP	2009-062620	A	3/2009
JP	58154602	A 9/1983	JP	2009062620	A	3/2009
JP	59087307	A 5/1984	JP	2009079298	A	4/2009
JP	59154029	9/1984	JP	2009084203	A	4/2009
JP	S61183462	A 8/1986	JP	2009185330	A	8/2009
JP	S62180069	A 8/1987	JP	2010155134	A	7/2010
JP	S62290866	A 12/1987	JP	2012210315	A	11/2012
JP	63124521	A2 5/1988	KR	10-2005-0100367	A	10/2005
JP	1023105	A 1/1989	KR	10-2006-0029694		4/2006
JP	H01225775	A 9/1989	KR	10-0685594	B1	2/2007
JP	1279745	11/1989	SU	1530913		12/1989
JP	2501490	5/1990	TW	200703536	A	1/2007
JP	3183759	A2 8/1991	WO	WO9324243	A1	12/1993
JP	H03260065	A 11/1991	WO	WO9400247	A1	1/1994
JP	H03271374	A 12/1991	WO	WO9426497	A1	11/1994
JP	4000373	A 1/1992	WO	WO95/24275		9/1995
JP	4000374	A 1/1992	WO	WO9624392	A1	8/1996
JP	4000375	A 1/1992	WO	WO97/11482		3/1997
JP	4014440	A 1/1992	WO	WO97/13802		4/1997
JP	H04124273	A 4/1992	WO	WO98-27926		7/1998
JP	H0578844	A 3/1993	WO	WO98/45871		10/1998
JP	05-006688	A 4/1993	WO	WO9917334	A1	4/1999
JP	H05263223	A 10/1993	WO	WO99/41425		8/1999
JP	6010132	A 1/1994	WO	WO99/50471		10/1999
JP	6289401	10/1994	WO	WO0038566	A2	7/2000
JP	7041579	A 2/1995	WO	WO0104668	A1	1/2001
JP	7068614	A 3/1995	WO	WO0125788		4/2001
JP	7126419	A 5/1995	WO	WO0154816	A1	8/2001
JP	8025244	A 1/1996	WO	WO0156706	A1	8/2001
JP	8084773	A 4/1996	WO	WO0170403	A1	9/2001
JP	H08296038	A 11/1996	WO	WO02/43116	A2	5/2002
JP	9005038	A 1/1997	WO	WO0249925	A1	6/2002
JP	10008254	A 1/1998	WO	WO02/056333	A1	7/2002
JP	11-108833	A 4/1999	WO	WO02072914		9/2002
JP	11106920	4/1999	WO	WO02076709	A1	10/2002
JP	H11256331	A 9/1999	WO	WO03014415	A1	2/2003
JP	11344316	A 12/1999	WO	WO03033426		4/2003
JP	2000064040	A 2/2000	WO	WO03038143		5/2003
JP	2000109076	A 4/2000	WO	WO03040649	A1	5/2003
JP	2001033398	A 2/2001	WO	WO03044240	A1	5/2003
JP	2001231841	A 8/2001	WO	WO2005035147	A1	4/2005
JP	2002177364	A 6/2002	WO	WO2005/052555	A1	6/2005
JP	2002206167	A 7/2002	WO	WO2005051525	A1	6/2005
JP	2002371364	A 12/2002	WO	WO2005103605	A1	11/2005
JP	2003171771	A 6/2003	WO	WO2006012881	A1	2/2006
JP	2003-268550	A 9/2003	WO	WO2006027568	A1	3/2006
JP	2003294431	A 10/2003	WO	WO2006029743	A1	3/2006
JP	2003305121	A 10/2003	WO	WO2006044254	A1	4/2006
JP	200400298	A 1/2004	WO	WO2006048276		5/2006
JP	2004008509	A 1/2004	WO	WO2006048277	A1	5/2006
JP	2004043789	A 2/2004	WO	WO2006069774	A1	7/2006
JP	2004100036	A 4/2004	WO	WO2006135755	A2	12/2006
JP	2004156444	A 6/2004	WO	WO2007028061	A2	3/2007
JP	2004168359	A 6/2004	WO	WO2007035741	A2	3/2007
JP	2004169087	A 6/2004	WO	WO2007036544	A1	4/2007
JP	2004203682	A 7/2004	WO	WO2007081814		7/2007
JP	2004-253683	A 9/2004	WO	WO2007/089216	A1	8/2007
JP	2004307935	A 11/2004	WO	WO2007112328	A2	10/2007
JP	2005035597	A 2/2005	WO	WO2007120507	A2	10/2007
JP	2005043285	A 2/2005	WO	WO2007133378	A1	11/2007
JP	2005132416	A 5/2005	WO	WO2007134347	A2	11/2007
JP	2005160888	A 6/2005	WO	WO2008014438	A2	1/2008
JP	2005200044	A 7/2005	WO	WO2008024566	A2	2/2008
JP	2005-241524	A 9/2005	WO	WO2008040531	A1	4/2008
JP	2005271997	A 10/2005	WO	WO2008047541	A1	4/2008
JP	2005290561	A 10/2005	WO	WO2008067574	A1	6/2008
JP	2006-064416	A 3/2006	WO	WO2008071458	A1	6/2008
			WO	WO2008093335	A2	8/2008
			WO	2008/121478	A2	10/2008
			WO	WO2009/015862	A1	2/2009
			WO	WO2009020550	A2	2/2009

(56)

References Cited

FOREIGN PATENT DOCUMENTS

WO	WO2009021257	A1	2/2009
WO	WO2009030974		3/2009
WO	WO2009030975	A1	3/2009
WO	WO2009030976	A1	3/2009
WO	WO2009031838	A1	3/2009
WO	WO2009040109		4/2009
WO	WO2009053947	A2	4/2009
WO	WO2009112053	A1	9/2009
WO	WO2009117032		9/2009
WO	WO2009118361	A1	10/2009
WO	WO2009158613		12/2009
WO	WO2010047825	A1	4/2010
WO	WO2010095011	A1	8/2010
WO	WO2010/132579		11/2010
WO	WO2010/132581		11/2010
WO	WO2010/132584		11/2010
WO	WO2010/132585		11/2010
WO	WO2010/132591		11/2010
WO	WO2010/135289		11/2010
WO	WO2010034004	A1	11/2010
WO	WO2010132579	A2	11/2010
WO	WO2011029628		3/2011
WO	WO2011007055	A1	6/2011
WO	WO2011080543	A1	7/2011
WO	WO2011082296	A1	7/2011
WO	WO2011090717	A1	7/2011
WO	WO2011/143329		11/2011
WO	WO2011/143509		11/2011
WO	WO2011/143509	A1	11/2011
WO	WO2011137437		11/2011
WO	WO2011143329		11/2011
WO	WO2011159975	A1	12/2011
WO	WO2012003221		1/2012
WO	WO2012009653		1/2012
WO	WO2013045671	A1	4/2013
WO	WO2013/071138		5/2013
WO	WO2013/071138	A1	5/2013
WO	WO2013/170044		11/2013
WO	WO2013/170052		11/2013
WO	WO2014/008138		1/2014
WO	WO2014/059012		4/2014
WO	WO2014/071061		5/2014
WO	WO2014/078666		5/2014
WO	WO2014/085346		6/2014
WO	WO2014/085348		6/2014
WO	WO2014/134577		9/2014
WO	WO2014/144926		9/2014
WO	WO2014/164928		10/2014

OTHER PUBLICATIONS

Coating Syringes, <http://www.triboglide.com/syringes.htm>, printed Aug. 31, 2009.

Coating/Production Process, <http://www.triboglide.com/process.htm>, printed Aug. 31, 2009.

Munich Exp, Materialica 2005: Fundierte Einblicke in den Werkstoffsektor, Seite 1, von 4, ME095-6.

Schott Developing Syringe Production in United States, Apr. 14, 2009, http://www.schott.com/pharmaceutical_packaging, printed Aug. 31, 2009.

Sterile Prefillable Glass and Polymer Syringes, Schott forma vitrum, http://www.schott.com/pharmaceutical_packaging.

Transparent und recyclingfähig, neue verpackung, Dec. 2002, pp. 54-57.

European Patent Office, Communication with European Search Report, in Application No. 10162758.6, dated Aug. 19, 2010.

Griesser, Hans J., et al., Elimination of Stick-Slip of Elastomeric Sutures by Radiofrequency Glow Discharge Deposited Coatings, Biomed Mater. Res. Appl Biomater, 2000, vol. 53, 235-243, John Wiley & Sons, Inc.

European Patent Office, Communication with extended Search Report, in Application No. EP 10162761.0, dated Feb. 10, 2011.

European Patent Office, Communication with partial Search Report, in Application No. EP 10162758.6, dated Aug. 19, 2010.

European Patent Office, Communication with extended Search Report, in Application No. EP 10162758.6, dated Dec. 21, 2010.

Yang, et al., Microstructure and tribological properties of SiO_x/DLC films grown by PECVD, Surface and Coatings Technology, vol. 194 (2005), Apr. 20, 2005, pp. 128-135.

European Patent Office, Communication with extended European search report, in Application No. EP10162756.0, dated Nov. 17, 2010.

Prasad, G.R. et al., "Biocompatible Coatings with Silicon and Titanium Oxides Deposited by PECVD", 3rd Mikkeli International Industrial Coating Seminar, Mikkeli, Finland, Mar. 16-18, 2006.

European Patent Office, Communication with extended European search report, in Application No. EP10162757.8, dated Nov. 10, 2010.

Patent Cooperation Treaty, Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, in PCT/US2010/034568, dated Jan. 21, 2011.

Patent Cooperation Treaty, Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, in PCT/US2010/034571, dated Jan. 26, 2011.

Patent Cooperation Treaty, Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, in PCT/US2010/034576, dated Jan. 25, 2011.

Patent Cooperation Treaty, Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, in PCT/US2010/034577, dated Jan. 21, 2011.

Patent Cooperation Treaty, Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, in PCT/US2010/034582, dated Jan. 24, 2011.

European Patent Office, Communication with Extended Search Report, in Application No. EP 10162755.2, dated Nov. 9, 2010.

European Patent Office, Communication with Extended Search Report, in Application No. EP 10162760.2, dated Nov. 12, 2010.

PCT, Written Opinion of the International Searching Authority with International Search Report in Application No. PCT/US2010/034586, dated Mar. 15, 2011.

Shimajima, Atsushi et al., Structure and Properties of Multilayered Siloxane-Organic Hybrid Films Prepared Using Long-Chain Organotrialkoxysilanes Containing C=C Double Bonds, Journal of Materials Chemistry, 2007, vol. 17, pp. 658-663, © The Royal Society of Chemistry, 2007.

Sone, Hayato et al., Picogram Mass Sensor Using Resonance Frequency Shift of Cantilever, Japanese Journal of Applied Physics, vol. 43, No. 6A, 2004, pp. 3648-3651, © The Japan Society of Applied Physics.

Sone, Hayato et al., Femtogram Mass Sensor Using Self-Sensing Cantilever for Allergy Check, Japanese Journal of Applied Physics, vol. 45, No. 3B, 2006, pp. 2301-2304, © The Japan Society of Applied Physics.

Mallikarjunan, Anupama et al, The Effect of Interfacial Chemistry on Metal Ion Penetration into Polymeric Films, Mat. Res. Soc. Symp. Proc. vol. 734, 2003, © Materials Research Society.

Schonher, H., et al., Friction and Surface Dynamics of Polymers on the Nanoscale by AFM, STM and AFM Studies on (Bio)molecular Systems: Unravelling the Nanoworld. Topics in Current Chemistry, 2008, vol. 285, pp. 103-156, © Springer-Verlag Berlin Heidelberg.

Lang, H.P., Gerber, C., Microcantilever Sensors, STM and AFM Studies on (Bio)molecular Systems: Unravelling the Nanoworld. Topics in Current Chemistry, 2008, vol. 285, pp. 1-28, © Springer-Verlag Berlin Heidelberg.

Patent Cooperation Treaty, Written Opinion of the International Searching Authority with International Search Report in Application No. PCT/US2012/064489, dated Jan. 25, 2013.

Danish Patent and Trademark Office, Singapore Written Opinion, in Application No. 201108308-6, dated Dec. 6, 2012.

Danish Patent and Trademark Office, Singapore Search Report, in Application No. 201108308-6, dated Dec. 12, 2012.

Japanese Patent Office, Notice of Reason(s) for Rejection in Patent application No. 2012-510983, dated Jan. 7, 2014. (6 pages).

Chinese Patent Office, Notification of the Second Office Action in Application No. 201080029190.0, dated Jan. 6, 2014. (26 pages).

(56)

References Cited

OTHER PUBLICATIONS

- Chinese Patent Office, Notification of the First Office Action in Application No. 201180023474.2, dated Dec. 23, 2013. (18 pages). PCT, Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, or the Declaration, in International application No. PCT/US2013/067852, dated Jan. 22, 2014. (9 pages).
- US 5,645,643, Jul. 8, 1997, Thomas (withdrawn).
- Allison, H.L., The Real Markets for Transparent Barrier Films, 37th Annual Technical Conference Proceedings, 1994, ISBN 1-878068-13-X, pp. 458.
- Bailey, R. et al., Thin-Film Multilayer Capacitors Using Pyrolytically Deposited Silicon Dioxide, IEEE Transactions on Parts, Hybrids, and Packaging, vol. PHP-12, No. 4, Dec. 1976, pp. 361-364.
- Banks, B.A., et al., Fluoropolymer Filled SiO₂ Coatings; Properties and Potential Applications, Society of Vacuum Coaters, 35th Annual Technical Conference Proceedings, 1992, ISBN 1-878068-11-3, pp. 89-93.
- Baouchi, W., X-Ray Photoelectron Spectroscopy Study of Sodium Ion Migration through Thin Films of SiO₂ Deposited on Soda-lime Glass, 37th Annual Technical Conference Proceedings, 1994, ISBN 1-878068-13-X, pp. 419-422.
- Boebel, F. et al., Simultaneous In Situ Measurement of Film Thickness and Temperature by Using Multiple Wavelengths Pyrometric Interferometry (MWPI), IEEE Transaction on Semiconductor Manufacturing, vol. 6, No. 2, May 1993, pp. 112-118.
- Bush, V. et al., The Evolution of Evacuated Blood Collection Tubes, BD Diagnostics—Preanalytical Systems Newsletter, vol. 19, No. 1, 2009.
- Chahroudi, D., Deposition Technology for Glass Barriers, 33rd Annual Technical Conference Proceedings, 1990, ISBN 1-878068-09-1, pp. 212-220.
- Chahroudi, D., et al., Transparent Glass Barrier Coatings for Flexible Film Packaging, Society of Vacuum Coaters, 34th Annual Technical Conference Proceedings, 1991, ISBN 1-878068-10-5, pp. 130-133.
- Chahroudi, D., Glassy Barriers from Electron Beam Web Coaters, 32nd Annual Technical Conference Proceedings, 1989, pp. 29-39.
- Czeremuszkin, G. et al., Ultrathin Silicon-Compound Barrier Coatings for Polymeric Packaging Materials: An Industrial Perspective, Plasmas and Polymers, vol. 6, Nos. 1/2, Jun. 2001, pp. 107-120.
- Ebihara, K. et al., Application of the Dielectric Barrier Discharge to Detect Defects in a Teflon Coated Metal Surface, 2003 J. Phys. D: Appl. Phys. 36 2883-2886, doi: 10.1088/0022-3727/36/23/003, IOP Electronic Journals, <http://www.iop.org/EJ/abstract/0022-3727/36/23/003>, printed Jul. 14, 2009.
- Egitto, F.D., et al., Plasma Modification of Polymer Surfaces, Society of Vacuum Coaters, 36th Annual Technical Conference Proceedings, 1993, ISBN 1-878068-12-1, pp. 10-21.
- Erlat, A.G. et al., SiO_x Gas Barrier Coatings on Polymer Substrates: Morphology and Gas Transport Considerations, ACS Publications, Journal of Physical Chemistry, published Jul. 2, 1999, <http://pubs.acs.org/doi/abs/10.1021/jp990737e>, printed Jul. 14, 2009.
- Fayet, P., et al., Commercialism of Plasma Deposited Barrier Coatings for Liquid Food Packaging, 37th Annual Technical Conference Proceedings, 1995, ISBN 1-878068-13-X, pp. 15-16.
- Felts, J., Hollow Cathode Based Multi-Component Depositions, Vacuum Technology & Coating, Mar. 2004, pp. 48-55.
- Felts, J.T., Thickness Effects on Thin Film Gas Barriers: Silicon-Based Coatings, Society of Vacuum Coaters, 34th Annual Technical Conference Proceedings, 1991, ISBN 1-878068-10-5, pp. 99-104.
- Felts, J.T., Transparent Barrier Coatings Update: Flexible Substrates, Society of Vacuum Coaters, 36th Annual Technical Conference Proceedings, 1993, ISBN 1-878068-12-1, pp. 324-331.
- Felts, J.T., Transparent Gas Barrier Technologies, 33rd Annual Technical Conference Proceedings, 1990, ISBN 1-878068-09-1, pp. 184-193.
- Finson, E., et al., Transparent SiO₂ Barrier Coatings: Conversion and Production Status, 37th Annual Technical Conference Proceedings, 1994, ISBN 1-878068-13-X, pp. 139-143.
- Flaherty, T. et al., Application of Spectral Reflectivity to the Measurement of Thin-Film Thickness, Opto-Ireland 2002: Optics and Photonics Technologies and Applications, Proceedings of SPIE vol. 4876, 2003, pp. 976-983.
- Hora, R., et al., Plasma Polymerization: A New Technology for Functional Coatings on Plastics, 36th Annual Technical Conference Proceedings, 1993, ISBN 1-878068-12-1, pp. 51-55.
- Izu, M., et al., High Performance Clear Coat™ Barrier Film, 36th Annual Technical Conference Proceedings, 1993, ISBN 1-878068-12-1, pp. 333-340.
- Jost, S., Plasma Polymerized Organosilicon Thin Films on Reflective Coatings, 33rd Annual Technical Conference Proceedings, 1990, ISBN 1-878068-09-1, pp. 344-346.
- Kaganowicz, G., et al., Plasma-Deposited Coatings—Properties and Applications, 23rd Annual Technical Conference Proceedings, 1980, pp. 24-30.
- Kamini, V. et al., Thickness Measurement of Thin Metal Films by Optical Metrology, College of Nanoscale Science and Engineering, University of Albany, Albany, NY.
- Klemberg-Sapieha, J.E., et al., Transparent Gas Barrier Coatings Produced by Dual Frequency PECVD, 36th Annual Technical Conference Proceedings, 1993, ISBN 1-878068-12-1, pp. 445-449.
- Krug, T., et al., New Developments in Transparent Barrier Coatings, 36th Annual Technical Conference Proceedings, 1993, ISBN 1-878068-12-1, pp. 302-305.
- Kuhr, M. et al., Multifunktionsbeschichtungen für innovative Applikationen von Kunststoff-Substraten, HiCotec Smart Coating Solutions.
- Kulshreshtha, D.S., Specifications of a Spectroscopic Ellipsometer, Department of Physics & Astrophysics, University of Delhi, Delhi-110007, Jan. 16, 2009.
- Krug, T.G., Transparent Barriers for Food Packaging, 33rd Annual Technical Conference Proceedings, 1990, ISBN 1-878068-09-1, pp. 163-169.
- Lee, K. et al., The Ellipsometric Measurements of a Curved Surface, Japanese Journal of Applied Physics, vol. 44, No. 32, 2005, pp. L1015-L1018.
- Lelait, L. et al., Microstructural Investigations of EBPVD Thermal Barrier Coatings, Journal De Physique IV, Colloque C9, supplément au Journal de Physique III, vol. 3, Dec. 1993, pp. 645-654.
- Masso, J.D., Evaluation of Scratch Resistant and Antireflective Coatings for Plastic Lenses, 32nd Annual Technical Conference Proceedings, 1989, p. 237-240.
- Misiano, C., et al., New Colourless Barrier Coatings (Oxygen & Water Vapor Transmission Rate) on Plastic Substrates, 35th Annual Technical Conference Proceedings, 1992, ISBN 1-878068-11-3, pp. 28-40.
- Misiano, C., et al., Silicon Oxide Barrier Improvements on Plastic Substrate, Society of Vacuum Coaters, 34th Annual Technical Conference Proceedings, 1991, ISBN 1-878068-10-5, pp. 105-112.
- Mount, E., Measuring Pinhole Resistance of Packaging, Corotec Corporation website, <http://www.convertingmagazine.com>, printed Jul. 13, 2009.
- Murray, L. et al., The Impact of Foil Pinholes and Flex Cracks on the Moisture and Oxygen Barrier of Flexible Packaging.
- Nelson, R.J., et al., Double-Sided QLF® Coatings for Gas Barriers, Society of Vacuum Coaters, 34th Annual Technical Conference Proceedings, 1991, ISBN 1-878068-10-5, pp. 113-117.
- Nelson, R.J., Scale-Up of Plasma Deposited SiO_x Gas Diffusion Barrier Coatings, 35th Annual Technical Conference Proceedings, 1992, ISBN 1-878068-11-3, pp. 75-78.
- Novotny, V. J., Ultrafast Ellipsometric Mapping of Thin Films, IBM Technical Disclosure Bulletin, vol. 37, No. 02A, Feb. 1994, pp. 187-188.
- Rüger, M., Die Pulse Sind das Plus, PICVD-Beschichtungsverfahren.
- Schultz, A. et al., Detection and Identification of Pinholes in Plasma-Polymerised Thin Film Barrier Coatings on Metal Foils, Surface & Coatings Technology 200, 2005, pp. 213-217.

(56)

References Cited**OTHER PUBLICATIONS**

- Stchakovsky, M. et al., Characterization of Barrier Layers by Spectroscopic Ellipsometry for Packaging Applications, Horiba Jobin Yvon, Application Note, Spectroscopic Ellipsometry, SE 14, Nov. 2005.
- Teboul, E., Thi-Film Metrology: Spectroscopic Ellipsometer Becomes Industrial Thin-Film Tool, LaserFocusWorld, http://www.laserfocusworld.com/display_article, printed Jul. 14, 2009.
- Teyssedre, G. et al., Temperature Dependence of the Photoluminescence in Poly(Ethylene Terephthalate) Films, *Polymer* 42, 2001, pp. 8207-8216.
- Tsung, L. et al., Development of Fast CCD Cameras for In-Situ Electron Microscopy, *Microsc Microanal* 14(Suppl 2), 2008.
- Wood, L. et al., A Comparison of SiO₂ Barrier Coated Polypropylene to Other Coated Flexible Substrates, 35th Annual Technical Conference Proceedings, 1992, ISBN 1-878068-11-3, pp. 59-62.
- Yang, et al., Microstructure and tribological properties of SiO_x/DLC films grown by PECVD, *Surface and Coatings Technology*, vol. 194, Issue 1, Apr. 20, 2005, pp. 128-135.
- AN 451, Accurate Thin Film Measurements by High-Resolution Transmission Electron Microscopy (HRTEM), Evans Analytical Group, Version 1.0, Jun. 12, 2008, pp. 1-2.
- Benefits of TriboGlide, TriboGlide Silicone-Free Lubrication Systems, <http://www.triboglide.com/benefits.htm>, printed Aug. 31, 2009.
- European Patent Office, Communication pursuant to Article 94(3) EPC, in Application No. 10 162 758.6-1234, dated May 8, 2012 (6 pages).
- Patent Cooperation Treaty, International Preliminary Examining Authority, Notification of Transmittal of International Preliminary Report on Patentability, in international application No. PCT/US2010/034571, dated Jun. 13, 2011.
- Patent Cooperation Treaty, International Preliminary Examining Authority, Written Opinion of the International Preliminary Examining Authority, in international application No. PCT/US2010/034586, dated Aug. 23, 2011.
- Patent Cooperation Treaty, International Preliminary Examining Authority, Written Opinion of the International Preliminary Examining Authority, in international application No. PCT/US2010/034568, dated May 30, 2011.
- State Intellectual Property Office of the People's Republic of China, Notification of the Third Office Action, in Application No. 201080029201.4, dated Jul. 7, 2014 (15 pages).
- Australian Government, IP Australia, Patent Examination Report No. 1, in Application No. 2011252925, dated Sep. 6, 2013 (3 pages).
- Silicone Oil Layer, Contract Testing, webpage, <http://www.siliconization.com/downloads/siliconeoilayercontracttesting.pdf>, retrieved from the internet Oct. 28, 2011.
- Patent Cooperation Treaty, Notification of Transmittal of International Preliminary Report on Patentability, in PCT/US2010/034577, dated Nov. 24, 2011.
- Patent Cooperation Treaty, Notification of Transmittal of International Preliminary Report on Patentability, in PCT/US2010/034582, dated Nov. 24, 2011.
- Patent Cooperation Treaty, Notification of Transmittal of International Preliminary Report on Patentability, in PCT/US2010/034586, dated Dec. 20, 2011.
- Patent Cooperation Treaty, Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, in PCT/US2011/036097, dated Dec. 29, 2011.
- "Oxford instruments plasmalab 80plus", XP55015205, retrieved from the Internet on Dec. 20, 2011, URL:http://www.oxfordplasma.de/pdf_inst/plas_80.pdf.
- Patent Cooperation Treaty, Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, in PCT/US2011/044215, dated Dec. 29, 2011.
- Patent Cooperation Treaty, Notification of Transmittal of International Preliminary Report on Patentability, in Application No. PCT/US2010/034576, dated Sep. 14, 2011.
- Patent Cooperation Treaty, Notification of Transmittal of International Preliminary Report on Patentability, in Application No. PCT/US2010/034568, dated Sep. 14, 2011.
- Patent Cooperation Treaty, International Search Report and Written Opinion, in Application No. PCT/US2011/036358, dated Sep. 9, 2011.
- Patent Cooperation Treaty, International Search Report and Written Opinion, in Application No. PCT/US2011/036340, dated Aug. 1, 2011.
- MacDonald, Gareth, "West and Daikyo Seiko Launch Ready Pack", <http://www.in-pharmatechnologist.com/Packaging/West-and-Daikyo-Seiko-launch-Ready-Pack>, 2 pages, retrieved from the internet Sep. 22, 2011.
- Kumer, Vijai, "Development of Terminal Sterilization Cycle for Pre-Filled Cyclic Olefin Polymer (COP) Syringes", <http://abstracts.aapspharmaceutica.com/ExpoAAPS09/CC/forms/attendee/index.aspx?content=sessionInfo&sessionId=401>, 1 page, retrieved from the internet Sep. 22, 2011.
- Quinn, F.J., "Biotech Lights Up the Glass Packaging Picture", <http://www.pharmaceuticalcommerce.com/frontEnd/main.php?idSeccion=840>, 4 pages, retrieved from the Internet Sep. 21, 2011.
- Wen, Zai-Qing et al., Distribution of Silicone Oil in Prefilled Glass Syringes Probed with Optical and Spectroscopic Methods, *PDA Journal of Pharmaceutical Science and Technology* 2009, 63, pp. 149-158.
- ZebraSci—Intelligent Inspection Products, webpage, <http://zebrasci.com/index.html>, retrieved from the internet Sep. 30, 2011.
- Google search re "cyclic olefin polymer resin" syringe or vial, <http://www.google.com/search?scie=psy-ab&hl=en&lr=&source=hp&q=%22cyclic+olefin+polymer+resin%22+syringe+OR+vial&btnG=Search&pbx=1&oq=%22cyclic+olefin+polymer+resin%22+syringe+OR+vial&aq>, 1 page, retrieved from the internet Sep. 22, 2011.
- Taylor, Nick, "West to Add CZ Vials as Glass QC Issues Drive Interest", <http://twitter.com/WestPharma/status/98804071674281986>, 2 pages, retrieved from the internet Sep. 22, 2011.
- Patent Cooperation Treaty, International Preliminary Examining Authority, Notification of Transmittal of International Preliminary Report on Patentability, in international application No. PCT/US2011/036097, dated Nov. 13, 2012.
- Sahagian, Khoren; Larner, Mikki; Kaplan, Stephen L., "Altering Biological Interfaces with Gas Plasma: Example Applications", Plasma Technology Systems, Belmont, CA, In SurFACTS in Biomaterials, Surfaces in Biomaterials Foundation, Summer 2013, 18(3), p. 1-5.
- Daikyo Crystal Zenith Insert Needle Syringe System, West Delivering Innovative Services, West Pharmaceutical Services, Inc., 2010.
- Daikyo Crystal Zenith Syringes, West Pharmaceutical Services, Inc., www.WestPFSolutions.com, #5659, 2011.
- Zhang, Yongchao and Heller, Adam, Reduction of the Nonspecific Binding of a Target Antibody and of Its Enzyme-Labeled Detection Probe Enabling Electrochemical Immunoassay of Antibody through the 7 pg/mL—100 ng/mL (40 fM-400 pM) Range, Department of Chemical Engineering and Texas Materials Institute, University of Texas at Austin, *Anal. Chem.* 2005, 77, 7758-7762. (6 pages).
- Principles and Applications of Liquid Scintillation Counting, LSC Concepts—Fundamentals of Liquid Scintillation Counting, National Diagnostics, 2004, pp. 1-15.
- Chikkaveeraiah, Bhaskara V. and Rusling, Dr. James, Non Specific Binding (NSB) in Antigen-Antibody Assays, University of Connecticut, Spring 2007. (13 pages).
- Sahagian, Khoren; Larner, Mikki; Kaplan, Stephen L., "Cold Gas Plasma in Surface Modification of Medical Plastics", Plasma Technology Systems, Belmont, CA, Publication pending. Presented at SPE Antec Medical Plastics Division, Apr. 23, 2013, Ohio.
- Lipman, Melissa, "Jury Orders Becton to Pay \$114M in Syringe Antitrust Case", © 2003-2013, Portfolio Media, Inc., Law360, New York (Sep. 20, 2013, 2:53 PM ET), <http://www.law360.com/articles/474334/print?section=ip>, [retrieved Sep. 23, 2013].

(56)

References Cited

OTHER PUBLICATIONS

Wikipedia, the free encyclopedia, <http://en.wikipedia.org/wiki/Birefringence>, page last modified Sep. 18, 2013 at 11:39. [retrieved on Oct. 8, 2013]. (5 pages).

Wikipedia, the free encyclopedia, http://en.wikipedia.org/wiki/Confocal_microscopy, page last modified Aug. 28, 2013 at 11:12. [retrieved on Oct. 8, 2013]. (4 pages).

Wang, Jun et al., "Fluorocarbon thin film with superhydrophobic property prepared by pyrolysis of hexafluoropropylene oxide", *Applied Surface Science*, vol. 258, 2012, pp. 9782-9784 (4 pages).

Wang, Hong et al., "Ozone-Initiated Secondary Emission Rates of Aldehydes from Indoor surfaces in Four Homes", *American Chemical Society, Environmental Science & Technology*, vol. 40, No. 17, 2006, pp. 5263-5268 (6 pages).

Lewis, Hilton G. Pryce, et al., "HWCVD of Polymers: Commercialization and Scale-Up", *Thin Solid Films* 517, 2009, pp. 3551-3554.

Wolgemuth, Lonny, "Challenges With Prefilled Syringes: The Parylene Solution", Frederick Furness Publishing, www.onrugdelivery.com, 2012, pp. 44-45.

History of Parylene (12 pages).

SCS Parylene HTX brochure, Stratamet Thin Film Corporation, Fremont, CA, 2012, retrieved from the Internet Feb. 13, 2013, <http://www.stratametthinfilm.com/parylenes/htx>. (2 pages).

SCS Parylene Properties, Specialty Coating Systems, Inc., Indianapolis, IN, 2011. (12 pages).

Werthheimer, M.R., Studies of the earliest stages of plasma-enhanced chemical vapor deposition of SiO₂ on polymeric substrates, *Thin Solid Films* 382 (2001) 1-3, and references therein, *United States Pharmacopeia* 34. In General Chapters <1>, 2001.

Gibbins, Bruce and Warner, Lenna, The Role of Antimicrobial Silver Nanotechnology, *Medical Device & Diagnostic Industry*, Aug. 205, pp. 2-6.

MTI CVD Tube Furnace w Gas Delivery & Vacuum Pump, <http://mtixtl.com/MiniCVDTubeFurnace2ChannelsGasVacuum-OTF-1200X-S50-2F.aspx> (2 pages).

Lab-Built HFPO CVD Coater, HFPO Decomposition to Give Thin Fluorocarbon Films, *Applied Surface Science* 2012 258 (24) 9782.

Technical Report No. 10, *Journal of Parenteral Science and Technology*, 42, Supplement 1988, Parenteral Formulation of Proteins and Peptides: Stability and Stabilizers, Parenteral Drug Association, 1988.

Technical Report No. 12, *Journal of Parenteral Science and Technology*, 42, Supplement 1988, Siliconization of Parenteral Drug Packaging Components, Parenteral Drug Association, 1988.

European Patent Office, Communication under Rule 71(3) EPC, in Application No. 10 162 760.2-1353, dated Oct. 25, 2013. (366 pages).

Wikipedia, the free encyclopedia, <http://en.wikipedia.org/wiki/Difluorocarbene>, page last modified Feb. 20, 2012 at 14:41. [retrieved on Sep. 7, 2012]. (4 pages).

O'Shaughnessy, W.S., et al., "Initiated Chemical Vapor Deposition of a Siloxane Coating for Insulation of Neutral Probes", *Thin Solid Films* 517 (2008) 3612-3614. (3 pages).

Denler, et al., Investigations of SiO_x-polymer "interphases" by glancing angle RBS with Li⁺ and Be⁺ ions, *Nuclear Instruments and Methods in Physical Research B* 208 (2003) 176-180, *United States Pharmacopeia* 34. In General Chapters <1>, 2003.

PCT, Invitation to Pay Additional Fees and Annex to Form PCT/ISA/206 Communication relating to the results of the partial international search in International application No. PCT/US2013/071750, dated Feb. 14, 2014. (6 pages).

PCT, Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, or the Declaration, in International application No. PCT/US2013/62247, dated Dec. 20, 2013. (13 pages).

PCT, Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, or the Declaration, in International application No. PCT/US2013/043642, dated Dec. 5, 2013. (21 pages).

PCT, Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, or the Declaration, in International application No. PCT/US2013/064121, dated Mar. 24, 2014. (8 pages).

PCT, Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, or the Declaration, in International application No. PCT/US2013/070325, dated Mar. 24, 2014. (16 pages).

Australian Government, IP Australia, Patent Examination Report No. 1, in Application No. 2010249031, dated Mar. 13, 2014. (4 pages).

Australian Government, IP Australia, Patent Examination Report No. 1, in Application No. 2013202893, dated Mar. 13, 2014. (4 pages).

European Patent Office, Communication pursuant to Article 93(3) EPC, in Application No. 11 731 554.9 dated Apr. 15, 2014. (7 pages).

PCT, Notification Concerning Transmittal of International Preliminary Report on Patentability, in International application No. PCT/US2012/064489, dated May 22, 2014. (10 pages).

PCT, Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, or the Declaration, in International application No. PCT/US2013/071750, dated Apr. 4, 2014. (13 pages).

PCT, Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, or the Declaration, in International application No. PCT/US2014/019684, dated May 23, 2014. (16 pages).

PCT, Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, or the Declaration, in International application No. PCT/US2014/023813, dated May 22, 2014. (11 pages).

European Patent Office, Communication pursuant to Article 94(3) EPC, in Application No. 11 736 511.4, dated Mar. 28, 2014.

PCT, Notification Concerning Transmittal of International Preliminary Report on Patentability, in International application No. PCT/US2011/042387, dated Jan. 17, 2013. (7 pages).

State Intellectual Property Office of the People's Republic of China, Notification of the First Office Action, in Application No. 201180032145.4, dated Jan. 30, 2014. (16 pages).

PCT, Notification Concerning Transmittal of International Preliminary Report on Patentability, in International application No. PCT/US2011/044215, dated Jan. 31, 2013. (14 pages).

PCT, Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, or the Declaration, in International application No. PCT/US2014/029531, dated Jun. 20, 2014 (12 pages).

State Intellectual Property Office of the People's Republic of China, Notification of the Third Office Action, with translation, in Application No. 201080029199.0, dated Jun. 27, 2014 (19 pages).

Intellectual Property Office of Singapore, Invitation to Respond to Written Opinion, in Application No. 2012083077, dated Jun. 30, 2014 (12 pages).

PCT, Notification of Transmittal of International Preliminary Report on Patentability, in International application No. PCT/US13/40368, dated Jul. 16, 2014 (6 pages).

State Intellectual Property Office of the People's Republic of China, Notification of First Office Action in Application No. 201080029201.4, dated Mar. 37, 2013. (15 pages).

Tao, Ran et al., Condensation and Polymerization of Supersaturated Monomer Vapor, *ACS Publications*, 2012 *American Chemical Society*, [ex.doi.org/10.1021/la303462q](http://dx.doi.org/10.1021/la303462q) *Langmuir* 2012, 28, 16580-16587.

Arganguren, Mirta I., Macosko, Christopher W., Thakkar, Bimal, and Tirrel, Matthew, "Interfacial Interactions in Silica Reinforced Silicones," *Materials Research Society Symposium Proceedings*, vol. 170, 1990, pp. 303-308.

Australian Government, IP Australia, Patent Examination Report No. 1, in Application No. 2012318242, dated Apr. 30, 2014. (6 pages).

State Intellectual Property Office of the People's Republic of China, Notification of the First Office Action, in Application No. 201180023461.5, dated May 21, 2014. (25 pages).

(56)

References Cited**OTHER PUBLICATIONS**

European Patent Office, Communication pursuant to Article 94(3) EPC, in Application No. 10162758.6 dated May 27, 2014. (7 pages).
PCT, Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, or the Declaration, in International application No. PCT/US2013/040380, dated Sep. 3, 2013. (13 pages).

PCT, Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, or the Declaration, in International application No. PCT/US2013/040368, dated Oct. 21, 2013. (21 pages).

PCT, Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, or the Declaration, in International application No. PCT/US2013/048709, dated Oct. 2, 2013. (7 pages).

Coclite A.M. et al., "On the relationship between the structure and the barrier performance of plasma deposited silicon dioxide-like films", *Surface and Coatings Technology*, Elsevier, Amsterdam, NL, vol. 204, No. 24, Sep. 15, 2010, pp. 4012-4017, XPO27113381, ISSN: 0257-8972 [retrieved on Jun. 16, 2010] abstract, p. 4014, right-hand column-p. 4015, figures 2, 3.

Brunet-Bruneau A. et al., "Microstructural characterization of ion assisted SiO₂ thin films by visible and infrared ellipsometry", *Journal of Vacuum Science and Technology: Part A*, AVS/AIP, Melville, NY, US, vol. 16, No. 4, Jul. 1, 1998, pp. 2281-2286, XPO12004127, ISSN: 0734-2101, DOI: 10.1116/1.581341, p. 2283, right-hand column-p. 2284, left-hand column, figures 2, 4.

PCT, Written Opinion of the International Preliminary Examining Authority, in International application No. PCT/USUS13/048709, dated Sep. 30, 2014 (4 pages).

PCT, Notification of Transmittal of the International Preliminary Report on Patentability, in International application No. PCT/USUS13/048709, dated Oct. 15, 2014 (7 pages).

PCT, Written Opinion of the International Preliminary Examining Authority, in International application No. PCT/USUS13/064121, dated Nov. 19, 2014 (8 pages).

PCT, Written Opinion of the International Preliminary Examining Authority, in International application No. PCT/USUS13/064121, dated Nov. 21, 2014 (7 pages).

Intellectual Property Corporation of Malaysia, Substantive Examination Adverse Report (section 30(1)/30(2)), in Application No. PI 2011005486, dated Oct. 31, 2014 (3 pages).

Patent Office of the Russian Federation, Official Action, in Application No. 2011150499, dated Sep. 25, 2014 (4 pages).

Instituto Mexicano de la Propiedad Industrial, Official Action, in Application No. MX/a/2012/013129, dated Sep. 22, 2014 (5 pages).
PCT, Written Opinion of the International Preliminary Examining Authority, in International application No. PCT/US2013/071750, dated Jan. 20, 2015 (9 pages).

PCT, Written Opinion of the International Preliminary Examining Authority, in International application No. PCT/US2013/064121, dated Nov. 21, 2014 (7 pages).

Japanese Patent Office, Decision of Rejection in Application No. 2012-510983, dated Jan. 20, 2015 (4 pages).

Australian Government, IP Australia, Patent Examination Report No. 1, in Application No. 2010249033, dated Dec. 19, 2014 (7 pages).

Australian Government, IP Australia, Patent Examination Report No. 1, in Application No. 2011252925, dated Dec. 2, 2014 (3 pages).

Reh, et al., Evaluation of stationary phases for 2-dimensional HPLC of Proteins—Validation of commercial RP-columns, Published by Elsevier B.V., 2000.

State Intellectual Property Office of the People's Republic of China, Notification of the Fourth Office Action in Application No. 201080029199.0, dated Mar. 18, 2015 (15 pages).

Hlobik, Plastic Pre-Fillable Syringe Systems (<http://www.healthcarepackaging.com/package-type/Containers/plastic-prefillable-syringe-systems>, Jun. 8, 2010).

PCT, Written Opinion of the International Preliminary Examining Authority, International application No. PCT/SU2013/071752, dated May 6, 2015.

Hopwood J Ed—CRC Press: "Plasma-assisted deposition", Aug. 17, 1997, *Handbook of Nanophase Materials*, Chapter 6, pp. 141-197, XP008107730, ISBN: 978-0-8247-9469-9.

Bose, Sagarika and Constable, Kevin, Advanced Delivery Devices, Design & Evaluation of a Polymer-Based Prefillable Syringe for Biopharmaceuticals With Improved Functionality & Performance, JR Automation Technologies, May 2015.

Australian Government, Patent Examination Report No. 2 in Application No. 2010249031 dated Apr. 21, 2015.

Japanese Patent Office, Notice of Reasons for Refusal in application No. 2013-510276, dated Mar. 31, 2015.

Mexican Patent Office, Office Action dated Jun. 7, 2016 in Patent Application No. MX/a/2011/012038 (3 pages).

Korean Patent Office, Office Action dated Jun. 21, 2016 in Patent Application No. 10-2011-7028713 (23 pages).

* cited by examiner

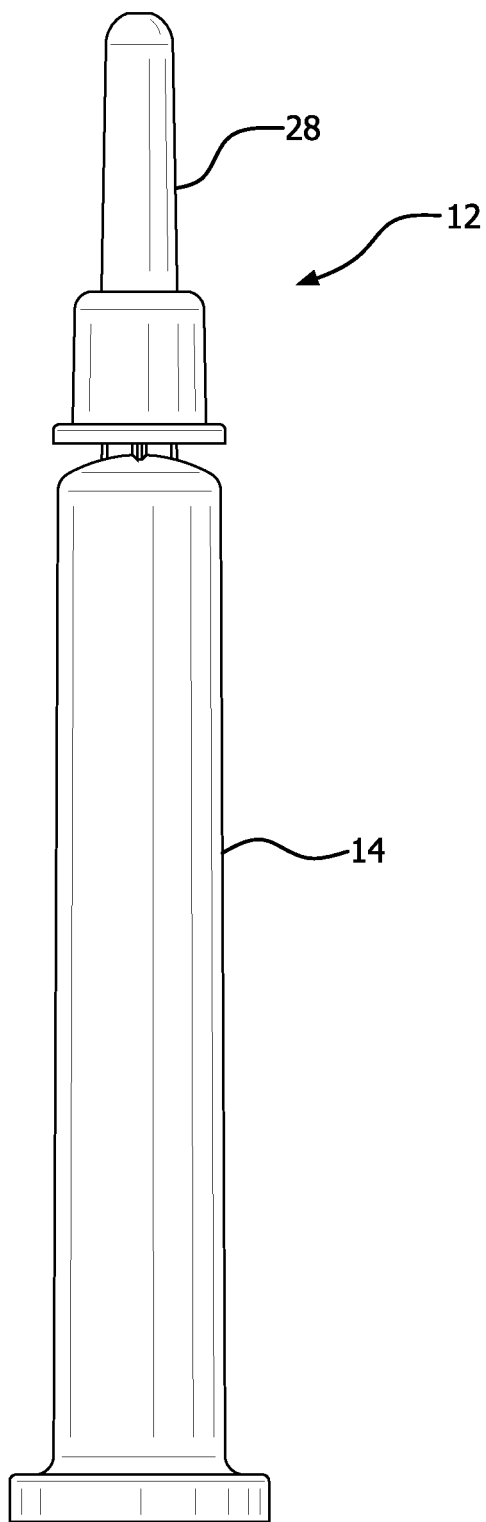


FIG. 1

FIG. 2

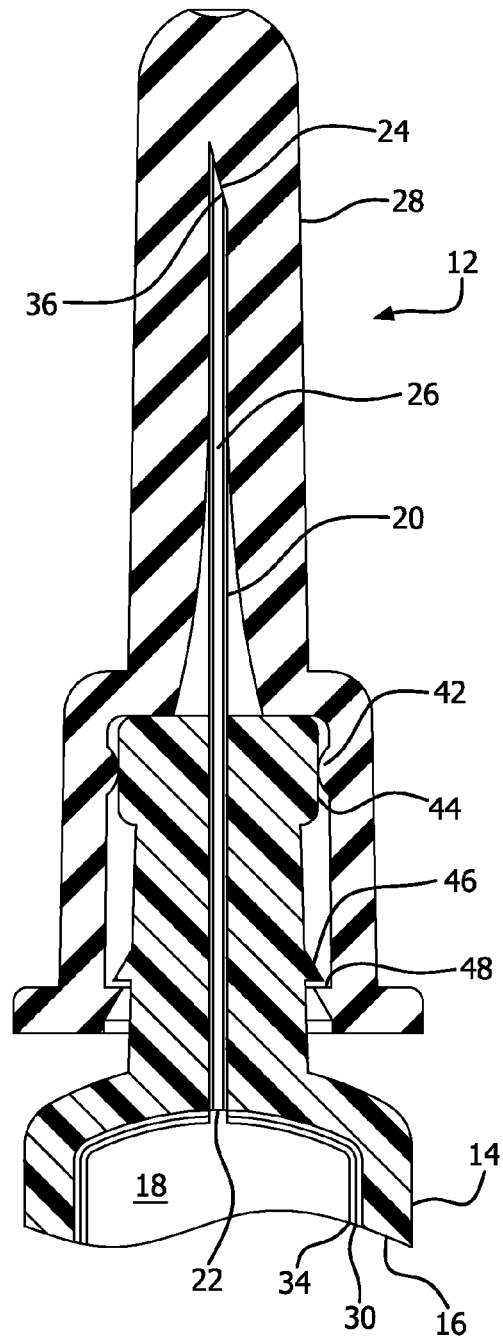
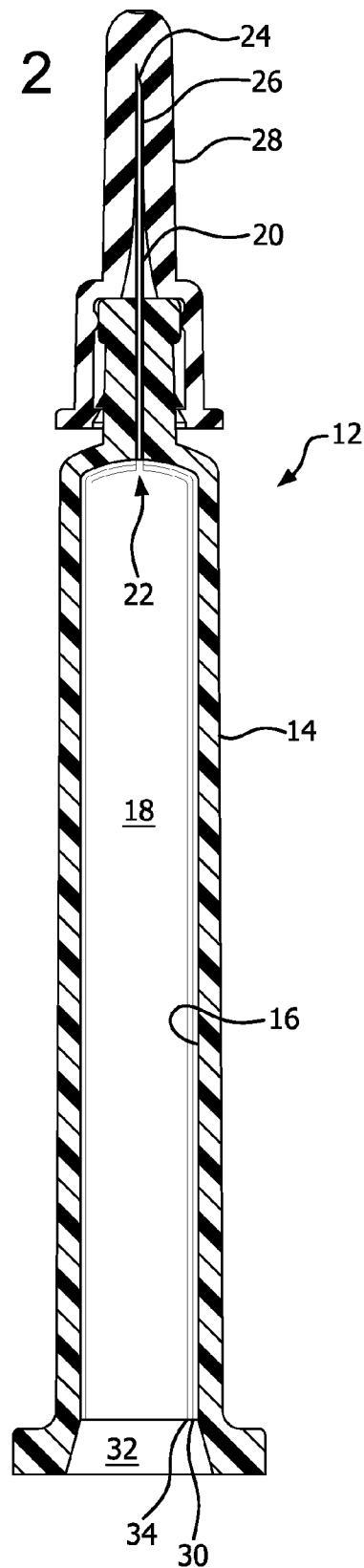


FIG. 3

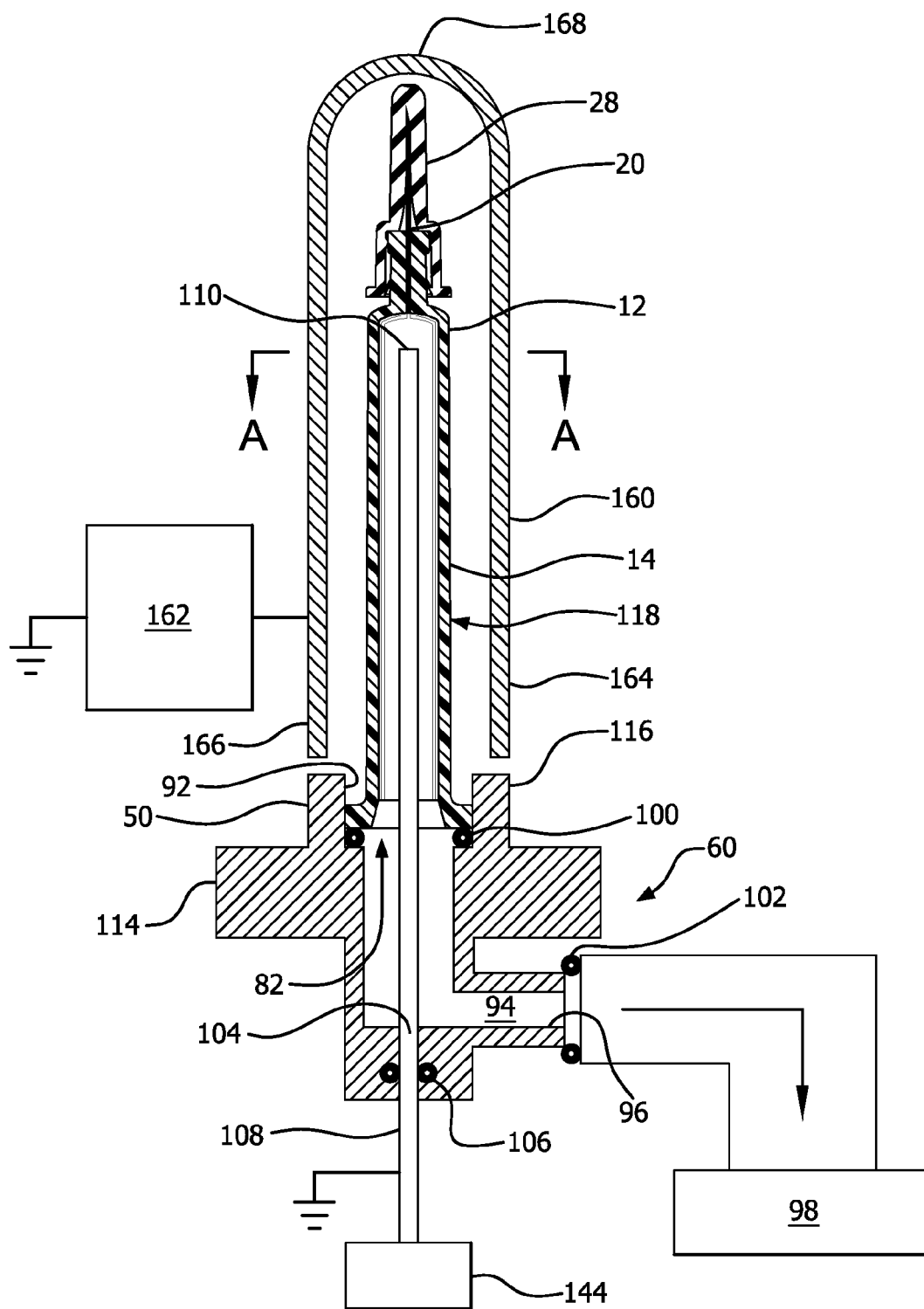


FIG. 4

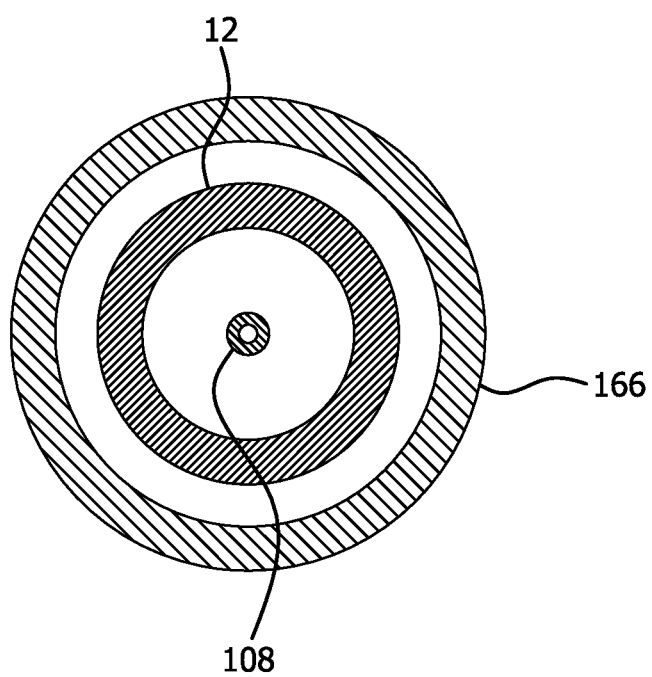
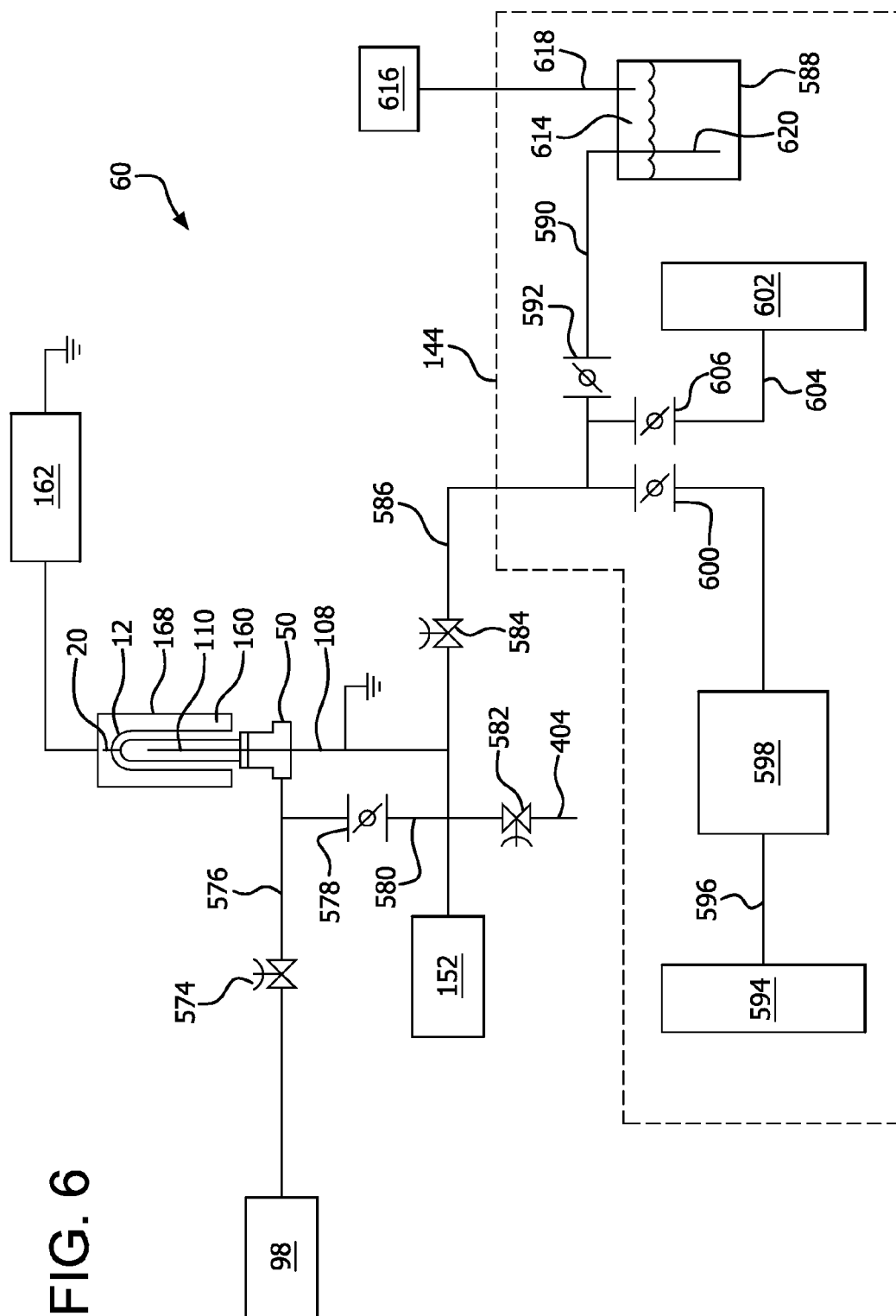


FIG. 5

FIG. 6



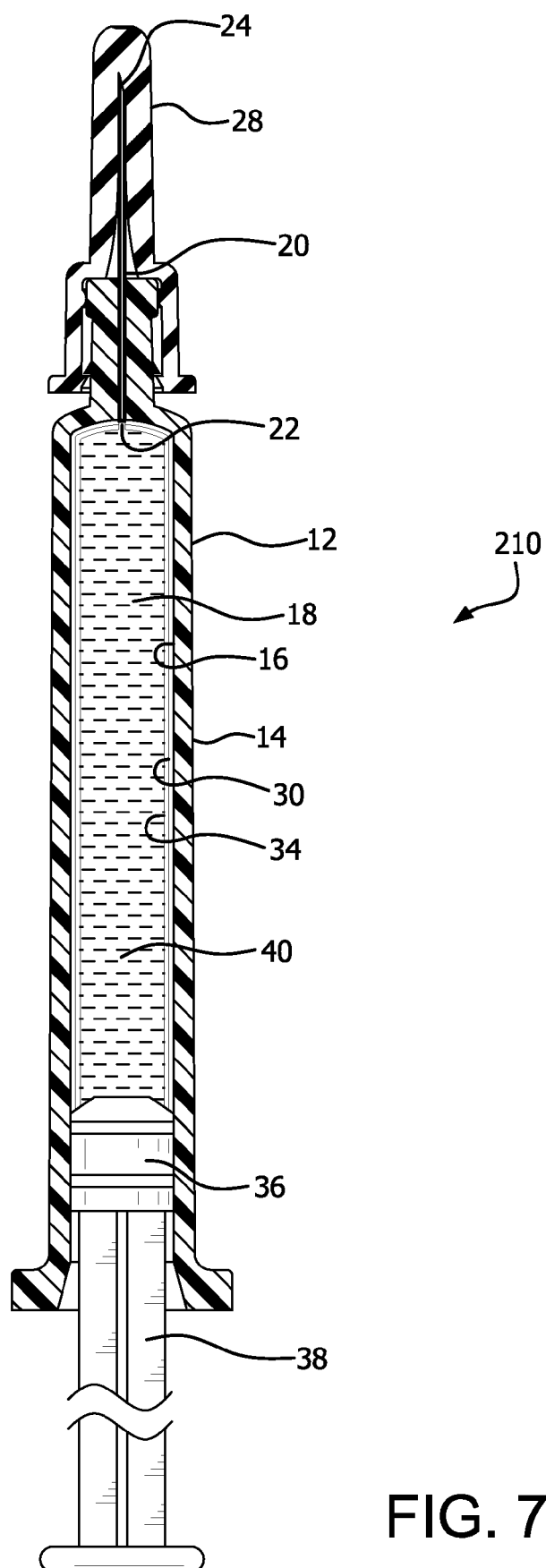


FIG. 7

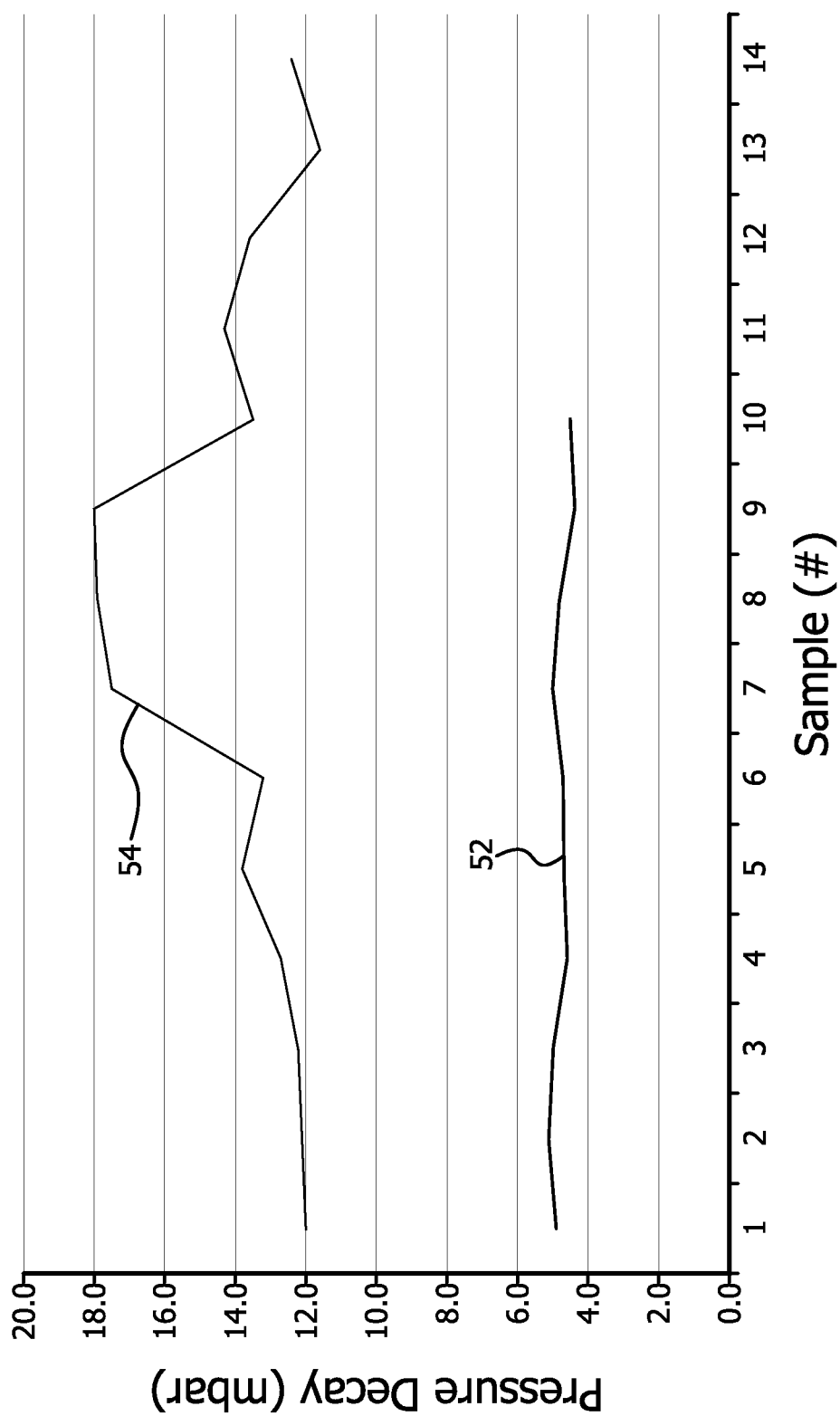


FIG. 8

1

PECVD COATING METHODS FOR CAPPED SYRINGES, CARTRIDGES AND OTHER ARTICLES

This application is a continuation in part of
U.S. Ser. No. 13/169,811, filed Jun. 27, 2011, now pend-
ing; which is a divisional of
U.S. Ser. No. 12/779,007, filed May 12, 2010, now U.S.
Pat. No. 7,985,188; which claims the priority of:
U.S. Provisional Ser. No. 61/222,727, filed Jul. 2, 2009;
U.S. Provisional Ser. No. 61/213,904, filed Jul. 24, 2009;
U.S. Provisional Ser. No. 61/234,505, filed Aug. 17, 2009;
U.S. Provisional Ser. No. 61/261,321, filed Nov. 14, 2009;
U.S. Provisional Ser. No. 61/263,289, filed Nov. 20, 2009;
U.S. Provisional Ser. No. 61/285,813, filed Dec. 11, 2009;
U.S. Provisional Ser. No. 61/298,159, filed Jan. 25, 2010;
U.S. Provisional Ser. No. 61/299,888, filed Jan. 29, 2010;
U.S. Provisional Ser. No. 61/318,197, filed Mar. 26, 2010;
and

U.S. Provisional Ser. No. 61/333,625, filed May 11, 2010;
and this application claims the priority of:

U.S. Provisional Ser. No. 61/636,377, filed Apr. 20, 2012.

All of the above patent applications and patent are incor-
porated here by reference in their entirety, including the
applications they incorporate by reference.

FIELD OF THE INVENTION

The present invention relates to the technical field of
coated surfaces, for example interior surfaces of pharma-
ceutical packages or other vessels for storing or other
contact with fluids. Examples of suitable fluids include
foods or biologically active compounds or body fluids, for
example blood. The present invention also relates to a
pharmaceutical package or other vessel and to a method for
coating or layer an inner or interior surface of a pharma-
ceutical package or other vessel. The present invention also
relates more generally to medical devices, including devices
other than packages or vessels, for example catheters.

The present disclosure also relates to improved methods
for processing pharmaceutical packages or other vessels, for
example multiple identical pharmaceutical packages or
other vessels used for pharmaceutical preparation storage
and delivery, venipuncture and other medical sample col-
lection, and other purposes. Such pharmaceutical packages
or other vessels are used in large numbers for these purposes,
and must be relatively economical to manufacture and yet
highly reliable in storage and use.

BACKGROUND OF THE INVENTION

One important consideration in manufacturing pre-filled
syringes and cartridges or other vessels (such as vials) for
storing or other contact with fluids, for example, is that the
contents of the pharmaceutical package or other vessel
desirably will have a substantial shelf life. During this shelf
life, it is important to isolate the material filling the phar-
maceutical package or other vessel from the vessel wall
containing it, or from barrier coating or layers or other
functional coating or layers applied to the pharmaceutical
package or other vessel wall to avoid leaching material from
the pharmaceutical package or other vessel wall, barrier
coating or layer, or other functional coating or layers into the
prefilled contents or vice versa.

Commonly, after it is filled, a prefilled syringe or cartridge
is capped at the distal end, as with a needle shield or other
type of cap, and is closed at the proximal end by its drawn

2

plunger tip or piston. The prefilled syringe or cartridge can
be wrapped in a sterile package before use. To use the
prefilled syringe or cartridge, the packaging and needle
shield or other type of cap are removed, optionally a
hypodermic needle or other type of dispenser is attached (if
not already present), the delivery conduit or syringe is
moved to a use position (such as by inserting the hypodermic
needle into a patient's blood vessel or into apparatus to be
rinsed with the contents of the syringe), and the plunger tip
or piston is advanced in the barrel to inject the contents of
the barrel. If a cartridge is being used, it is also placed into
a mechanism that mechanically advances the piston to make
an injection, for example using an injection spring.

An important consideration regarding medical syringes
and cartridges, in particular prefilled syringes and cartridges,
is to ensure that the prefilled syringe or cartridge has
container closure integrity, meaning that it has been deter-
mined to be sterile, and not subject to subsequent microbio-
logical contamination, by a mechanical, non-destructive test
method. Other important considerations are that when the
syringe or cartridge is being manufactured and before it has
been filled, it does not have defects that would prevent the
filled package from having the necessary container closure
integrity. It is also important to manufacture a medical
syringe or cartridge that is economical to manufacture, yet
will provide the necessary container closure integrity, which
can be verified by a test performed on every piece manu-
factured (a concept sometimes referred to as "100% inspec-
tion").

SUMMARY OF THE INVENTION

An aspect of the invention is a method in which a
vapor-deposited coating or layer is directly or indirectly
applied to at least a portion of the internal wall of the barrel
of a capped pre-assembly.

A capped pre-assembly is provided comprising a barrel,
optionally a dispensing portion, and a cap.

The barrel has an internal wall defining a barrel lumen and
a front opening through the internal wall.

The optional dispensing portion can be secured to the
barrel and includes a distal opening and a dispensing portion
lumen. The distal opening is located outside the barrel. The
dispensing portion lumen communicates between the front
opening of the barrel and the distal opening of the dispensing
portion.

The cap is secured to the barrel and at least substantially
isolates the front opening of the barrel and (if a dispensing
portion is present) the distal opening of the dispensing
portion from pressure conditions outside the cap.

A vapor-deposited coating or layer is applied directly or
indirectly to at least a portion of the internal wall of the
barrel. The coating or layer is applied while the pre-assem-
bly is capped. The coating or layer is applied under condi-
tions effective to maintain communication between the
barrel lumen and the exterior via the front opening at the end
of the applying step.

In an optional further elaboration of the method, the
capped pre-assembly can be pressure tested easily and
rapidly, for example with a test duration between 1 and 60
seconds, to determine whether it has container closure
integrity.

Other aspects of the invention will become apparent from
the present description, claims, and drawings.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is an elevation view of a capped pre-assembly
according to an embodiment of the disclosure.

3

FIG. 2 is a longitudinal section of the capped pre-assembly of FIG. 1.

FIG. 3 is an enlarged fragmentary view of the capped pre-assembly of FIGS. 1 and 2.

FIG. 4 is a schematic longitudinal section of the capped pre-assembly of FIGS. 1 and 2 seated on a chemical vapor deposition coating station.

FIG. 5 is a section taken along section lines A-A of FIG. 4.

FIG. 6 is a schematic view showing more details of the chemical vapor deposition coating station shown in FIGS. 4 and 5.

FIG. 7 is a view similar to FIG. 2 of the capped pre-assembly of FIGS. 1-6, filled with a pharmaceutical preparation and fitted with a plunger tip or piston to define a pre-filled syringe. In the option shown, a plunger tip and plunger are installed.

FIG. 8 is a plot of pressure decay for 14 different samples made according to the working example set out below.

The following reference characters are used in the drawing figures:

12	Capped pre-assembly
14	Barrel
16	Internal wall
18	Barrel lumen
20	Dispensing portion
22	Front opening
24	Distal opening
26	Dispensing portion lumen
27	Cap
30	(first) Vapor-deposited coating or layer
32	Opening
34	(second) vapor-deposited coating or layer
36	Plunger tip or piston
38	Plunger rod
40	Fluid material
42	Rib
44	Cylindrical surface
46	Barb
48	Catch
50	Vessel holder
52	Plot
54	Plot
60	coating station
82	Opening
84	Closed end
92	Vessel port
94	Vacuum duct
96	Vacuum port
98	Vacuum source
100	O-ring (of 92)
102	O-ring (of 96)
104	Gas inlet port
106	O-ring (of 100)
108	Probe (counter electrode)
110	Gas delivery port (of 108)
114	Housing (of 50 or 112)
116	Collar
118	Exterior surface (of 80)
144	PECVD gas source
152	Pressure gauge
160	Electrode
162	Power supply
164	Sidewall (of 160)
166	Sidewall (of 160)
168	Closed end (of 160)
200	Electrode
210	Pharmaceutical package
404	Exhaust
574	Main vacuum valve
576	Vacuum line
578	Manual bypass valve
580	Bypass line
582	Vent valve

4

-continued

584	Main reactant gas valve
586	Main reactant feed line
588	Organosilicon liquid reservoir
590	Organosilicon feed line (capillary)
592	Organosilicon shut-off valve
594	Oxygen tank
596	Oxygen feed line
598	Mass flow controller
600	Oxygen shut-off valve
602	Additional reservoir
604	Feed line
606	Shut-off valve
614	Headspace
616	Pressure source
618	Pressure line
620	Capillary connection

The present invention will now be described more fully, with reference to the accompanying drawings, in which several embodiments are shown. This invention can, however, be embodied in many different forms and should not be construed as limited to the embodiments set forth here. Rather, these embodiments are examples of the invention, which has the full scope indicated by the language of the claims. Like numbers refer to like or corresponding elements throughout. The following disclosure relates to all embodiments unless specifically limited to a certain embodiment.

DEFINITION SECTION

In the context of the present invention, the following definitions and abbreviations are used:

In the present Figures, the capped pre-assembly 12 is configured as a syringe. The capped pre-assembly 12 can optionally be completed to form a syringe by adding a plunger tip or piston 36 (two interchangeable names for the same structure) and a plunger rod 38. The internal wall 16 can define at least a portion of the barrel 14. The plunger tip or piston 36 can be a relatively sliding part of the syringe, with respect to the barrel 14. The term "syringe," however, is broadly defined to include cartridges, injection "pens," and other types of barrels or reservoirs adapted to be assembled with one or more other components to provide a functional syringe. "Syringe" is also broadly defined to include related articles such as auto-injectors, which provide a mechanism for dispensing the contents.

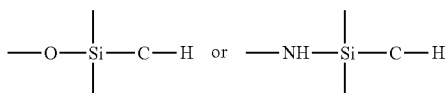
RF is radio frequency.

The term "at least" in the context of the present invention means "equal or more" than the integer following the term. The word "comprising" does not exclude other elements or steps, and the indefinite article "a" or "an" does not exclude a plurality unless indicated otherwise. Whenever a parameter range is indicated, it is intended to disclose the parameter values given as limits of the range and all values of the parameter falling within said range.

"First" and "second" or similar references to, for example, coating or layers refer to the minimum number of coating or layers that are present, but do not necessarily represent the order or total number of coating or layers. These terms do not limit the number of coating or layers or the particular processing carried out at the respective stations.

For purposes of the present invention, a "precursor" is a compound having at least one of the linkages:

5



which is a tetravalent silicon atom connected to an oxygen or nitrogen atom and an organic carbon atom (an organic carbon atom being a carbon atom bonded to at least one hydrogen atom). A volatile organosilicon precursor, defined as such a precursor that can be supplied as a vapor in a PECVD apparatus, is an optional organosilicon precursor. Optionally, the organosilicon precursor is selected from the group consisting of a linear siloxane, a monocyclic siloxane, a polycyclic siloxane, a polysilsesquioxane, an alkyl trimethoxysilane, a linear silazane, a monocyclic silazane, a polycyclic silazane, a polysilsesquiazane, and a combination of any two or more of these precursors.

The feed amounts of PECVD precursors, gaseous reactant or process gases, and carrier gas are sometimes expressed in "standard volumes" in the specification and claims. The standard volume of a charge or other fixed amount of gas is the volume the fixed amount of the gas would occupy at a standard temperature and pressure (without regard to the actual temperature and pressure of delivery). Standard volumes can be measured using different units of volume, and still be within the scope of the present disclosure and claims. For example, the same fixed amount of gas could be expressed as the number of standard cubic centimeters, the number of standard cubic meters, or the number of standard cubic feet. Standard volumes can also be defined using different standard temperatures and pressures, and still be within the scope of the present disclosure and claims. For example, the standard temperature might be 0° C. and the standard pressure might be 760 Torr (as is conventional), or the standard temperature might be 20° C. and the standard pressure might be 1 Torr. But whatever standard is used in a given case, when comparing relative amounts of two or more different gases without specifying particular parameters, the same units of volume, standard temperature, and standard pressure are to be used relative to each gas, unless otherwise indicated.

The corresponding feed rates of PECVD precursors, gaseous reactant or process gases, and carrier gas are expressed in standard volumes per unit of time in the specification. For example, in the working examples the flow rates are expressed as standard cubic centimeters per minute, abbreviated as sccm. As with the other parameters, other units of time can be used, such as seconds or hours, but consistent parameters are to be used when comparing the flow rates of two or more gases, unless otherwise indicated.

The term "at least" in the context of the present invention means "equal or more" than the integer following the term. Thus, a barrel and dispensing portion in the context of the present invention has one or more openings. One or two openings, like the openings of a sample tube (one opening) or a syringe barrel (two openings) are preferred. If the vessel has two openings, they can be of same or different size. If there is more than one opening, one opening can be used for the gas inlet for a PECVD coating or layer method according to the present invention, while the other openings are capped.

Empirical compositions represented by the formulas SiO_x , SiO_xC_y , and $\text{SiO}_x\text{C}_y\text{H}_z$ are referred to in this specification. The values of x, y, and z used throughout this specification should be understood as ratios or an empirical formula (for example for a coating or layer), rather than as

6

a limit on the number or type of atoms in a molecule. For example, octamethylcyclotetrasiloxane, which has the molecular composition $\text{Si}_4\text{O}_4\text{C}_8\text{H}_{24}$, can be described by the following empirical formula, arrived at by dividing each of w, x, y, and z in the molecular formula by 4, the largest common factor: $\text{SiO}_1\text{C}_2\text{H}_6$. The values of x, y, and z are also not limited to integers. For example, (acyclic) octamethyltrisiloxane, molecular composition $\text{Si}_3\text{O}_2\text{C}_8\text{H}_{24}$, is reducible to $\text{SiO}_{0.67}\text{C}_{2.67}\text{H}_8$. Also, although $\text{SiO}_x\text{C}_y\text{H}_z$ is described as equivalent to SiO_xC_y , it is not necessary to show the presence of hydrogen in any proportion to show the presence of SiO_xC_y .

A "protective coating or layer" according to the present invention is a coating or layer that protects an underlying surface, coating or layer from a fluid composition contacting the coating or layer. The present pH protective coating or layers optionally can have a composition according to the empirical composition $\text{Si}_w\text{O}_x\text{C}_y\text{H}_z$, (or its equivalent SiO_xC_y) as defined herein. It generally has an atomic ratio $\text{Si}_w\text{O}_x\text{C}_y$, (or its equivalent SiO_xC_y) wherein w is 1, x is from about 0.5 to about 2.4, y is from about 0.6 to about 3.

Typically, expressed as the formula $\text{Si}_w\text{O}_x\text{C}_y$, the atomic ratios of Si, O, and C in the "protective coating or layer" are, as several options:

Si 100: O 50-150: C 90-200 (i.e. w=1, x=0.5 to 1.5, y=0.9 to 2);

Si 100: O 70-130: C 90-200 (i.e. w=1, x=0.7 to 1.3, y=0.9 to 2)

Si 100: O 80-120: C 90-150 (i.e. w=1, x=0.8 to 1.2, y=0.9 to 1.5)

Si 100: O 90-120: C 90-140 (i.e. w=1, x=0.9 to 1.2, y=0.9 to 1.4), or

Si 100: O 92-107: C 116-133 (i.e. w=1, x=0.92 to 1.07, y=1.16 to 1.33)

The atomic ratio can be determined by XPS (X-ray photoelectron spectroscopy). Taking into account the H atoms, which are not measured by XPS, the coating or layer may thus in one aspect have the formula $\text{Si}_w\text{O}_x\text{C}_y\text{H}_z$ (or its equivalent SiO_xC_y), for example where w is 1, x is from about 0.5 to about 2.4, y is from about 0.6 to about 3, and z is from about 2 to about 9. Typically, such coating or layer would hence contain 36% to 41% carbon normalized to 100% carbon plus oxygen plus silicon.

One of the optional embodiments of the present invention is a syringe part, for example a syringe or cartridge barrel, particularly as part of a capped pre-assembly, coated with a pH protective coating or layer.

"Slidably" means that the plunger tip or piston, closure, or other movable part is permitted to slide in a syringe barrel, cartridge, or other vessel.

DETAILED DESCRIPTION

Referring to the Figures, an aspect of the invention is a method in which a vapor-deposited coating or layer 30 is directly or indirectly applied to at least a portion of the internal wall 16 of the barrel 14 of a capped pre-assembly 12.

A capped pre-assembly 12 is provided comprising a barrel 14, optionally a dispensing portion 20, and a cap 28. The capped pre-assembly 12 can be a complete article or it can be a portion of a complete article adapted to dispense fluid, such as a syringe, a cartridge, or other article.

The barrel 14 has an internal wall 16 defining a barrel lumen 18 and a front opening 22 through the internal wall 16. Optionally in any embodiment, the barrel 14 can further include a another opening 32 spaced from the dispensing

portion 20 and communicating through the internal wall 16. Such an opening is conventional, for example, in a syringe or cartridge, where a typical example is the back opening 32 of a prefilled syringe barrel, through which the piston or plunger 36 is inserted after the barrel lumen 18 is filled with a suitable pharmaceutical preparation or other fluid material 40 to be dispensed.

The barrel 14 is formed, for example, by molding, although the manner of its formation is not critical and it can also be formed, for example, by machining a solid preform. Preferably, the barrel is molded by injection molding thermoplastic material, although it can also be formed by blow molding or a combined method.

As one preferred example, the barrel 14 can be formed by placing a dispensing portion 20 as described below in an injection mold and injection molding thermoplastic material about the dispensing portion, thus forming the barrel and securing the dispensing portion to the barrel. Alternatively, the dispensing portion (if present) and the barrel can be molded or otherwise formed as a single piece, or can be formed separately and joined in other ways. The barrel of any embodiment can be made of any suitable material. Several barrel materials particularly contemplated are COC (cyclic olefin copolymer), COP (cyclic olefin polymer), PET (polyethylene terephthalate), and polypropylene.

The optional dispensing portion 20 of the capped pre-assembly 12 is provided to serve as an outlet for fluid dispensed from the barrel lumen 18 of a completed article made from the capped pre-assembly 12. One example of a suitable dispensing portion illustrated in the Figures is a hypodermic needle 20.

Alternatively, in any embodiment the dispensing portion 20 can instead be a needle-free dispenser. One example of a suitable needle-free dispenser is a blunt or flexible dispensing portion intended to be received in a complementary coupling to transfer fluid material 40. Such blunt or flexible dispensing portions are well known for use in syringes, intravenous infusion systems, and other systems and equipment to dispense material while avoiding the hazard of working with a sharp needle that may accidentally stick a health professional or other person. Another example of a needle-free dispenser is a fluid jet or spray injection system that injects a free jet or spray of fluid directly through a patient's skin, without the need for an intermediate needle. Any type of dispensing portion 20, whether a hypodermic needle or any form of needle-free dispenser, is contemplated for use according to any embodiment of the present invention.

The dispensing portion 20 is secured to the barrel 14 and includes a distal opening 24 and a dispensing portion lumen 26. The front opening 22 communicates with the barrel lumen 18. The distal opening 24 is located outside the barrel 14. The dispensing portion lumen 26 communicates between the front opening 22 and the distal opening 24 of the dispensing portion 20. In the illustrated embodiment, the distal opening 24 is at the sharpened tip of a hypodermic needle 20.

The cap 28 is secured to the barrel 14 and at least substantially isolates the front opening 22 and the distal opening 24 of the dispensing portion 20 from pressure conditions outside the cap 28. Optionally in any embodiment, the cap 28 sufficiently isolates portions of the assembly 12 to provide a sufficient bio-barrier to facilitate safe use of the capped pre-assembly 12 for transdermal injections.

The cap 28 can isolate the distal opening 24 in various ways. Effective isolation can be provided at least partially due to contact between the cap 28 and the distal opening 24,

as shown in present FIGS. 2, 3, 4, and 7. In the illustrated embodiment, the tip of the dispensing portion 20 is buried in the material of the cap 28. Alternatively in any embodiment, effective isolation can be provided at least partially due to contact between the cap 28 and the barrel 14, as also shown in present FIGS. 2, 3, 4, and 7. In the illustrated embodiment, the primary line of contact between the cap 28 and the barrel 14 is at a rib 42 (best seen in FIG. 3) encircling and seated against a generally cylindrical surface 44 at the nose of the barrel 14. Alternatively in any embodiment, effective isolation can be provided due to both of these types of contact as illustrated in FIGS. 2-3, or in other ways, without limitation.

The cap 28 of any embodiment optionally has a latching mechanism, best shown in FIG. 3, including a barb 46 and a catch 48 which engage to hold the cap 28 in place. The catch 48 is made of sufficiently resilient material to allow the cap 28 to be removed and replaced easily.

If the dispensing portion 20 is a hypodermic needle, the cap 28 can be a specially formed needle shield. The original use of a needle shield is to cover the hypodermic needle before use, preventing accidental needle sticks and preventing contamination of the needle before it is injected in a patient or an injection port. A comparable cap preferably is used, even if the dispensing portion 20 is a needle-free dispenser, to prevent contamination of the dispenser during handling.

The cap 28 can be formed in any suitable way. For example, the cap 28 can be formed by molding thermoplastic material. Optionally in any embodiment, the thermoplastic material is elastomeric material or other material that is suitable for forming a seal. One suitable category of elastomeric materials is known generically as thermoplastic elastomer (TPE). An example of a suitable thermoplastic elastomer for making a cap 28 is Stelmi® Formulation 4800 (flexible cap formulation). Any other material having suitable characteristics can instead be used in any embodiment.

As another optional feature in any embodiment the cap 28 can be sufficiently permeable to a sterilizing gas to sterilize the portions of the assembly 12 isolated by the cap. One example of a suitable sterilizing gas is ethylene oxide. Caps 28 are available that are sufficiently permeable to the sterilizing gas that parts isolated by the cap can nonetheless be sterilized. An example of a cap formulation sufficiently permeable to accommodate ethylene oxide gas sterilization is Stelmi® Formulation 4800.

Thus, an optional step in the present methods is sterilizing the capped pre-assembly 12 using a sterilizing gas. Sterilization can be performed at any suitable step, such as sterilizing the capped pre-assembly 12 alone or sterilizing a complete pre-filled syringe assembly after it is filled with a suitable pharmaceutical preparation or other material.

When carrying out the present method, a vapor-deposited coating or layer 30 is applied directly or indirectly to at least a portion of the internal wall 16 of the barrel 14. The coating or layer 30 is applied while the pre-assembly 12 is capped. The coating or layer 30 is applied under conditions effective to maintain communication between the barrel lumen 18 and the dispensing portion lumen 26 via the front opening 22 at the end of the applying step.

In any embodiment the vapor-deposited coating or layer 30 optionally can be applied through the opening 32.

In any embodiment the vapor-deposited coating or layer 30 optionally can be applied by introducing a vapor-phase precursor material through the opening and employing chemical vapor deposition to deposit a reaction product of the precursor material on the internal wall of the barrel.

In any embodiment the vapor-deposited coating or layer (30) optionally can be applied by flowing a precursor reactant vapor material through the opening and employing chemical vapor deposition to deposit a reaction product of the precursor reactant vapor material on the internal wall of the barrel.

In any embodiment the reactant vapor material optionally can be a precursor.

In any embodiment the reactant vapor material optionally can be an organosilicon precursor.

In any embodiment the reactant vapor material optionally can be an oxidant gas.

In any embodiment the reactant vapor material optionally can be oxygen.

In any embodiment the reactant vapor material optionally can include a carrier gas.

In any embodiment the reactant vapor material optionally can include helium, argon, krypton, xenon, neon, or a combination of two or more of these.

In any embodiment the reactant vapor material optionally can include argon.

In any embodiment the reactant vapor material optionally can be a precursor material mixture with one or more oxidant gases in a partial vacuum through the opening and employing chemical vapor deposition to deposit a reaction product of the precursor material mixture on the internal wall of the barrel.

In any embodiment the reactant vapor material optionally can be passed through the opening at sub-atmospheric pressure.

In any embodiment the chemical vapor deposition optionally can be plasma-enhanced chemical vapor deposition.

In any embodiment the vapor-deposited coating or layer optionally can be a gas barrier coating or layer.

In any embodiment the vapor-deposited coating or layer optionally can be an oxygen barrier coating or layer.

In any embodiment the vapor-deposited coating or layer is a water vapor barrier coating or layer.

In any embodiment the vapor-deposited coating or layer optionally can be a solvent barrier coating or layer.

In any embodiment the vapor-deposited coating or layer optionally can be a water barrier coating or layer.

In any embodiment the vapor-deposited coating or layer optionally can be a solvent barrier coating or layer for a solvent comprising a co-solvent used to increase drug solubilization.

In any embodiment the vapor-deposited coating or layer optionally can be a barrier coating or layer for water, glycerin, propylene glycol, methanol, ethanol, n-propanol, isopropanol, acetone, benzyl alcohol, polyethylene glycol, cotton seed oil, benzene, dioxane, or combinations of any two or more of these.

In any embodiment the vapor-deposited coating or layer optionally can be a solute barrier coating or layer. Examples of solutes in drugs usefully excluded by a barrier layer in any embodiment include antibacterial preservatives, antioxidants, chelating agents, pH buffers, and combinations of any of these.

In any embodiment the vapor-deposited coating or layer optionally can be a metal ion barrier coating or layer.

In any embodiment the vapor-deposited coating or layer optionally can be a barrel wall material barrier coating or layer, to prevent or reduce the leaching of barrel material such as any of the base barrel resins mentioned previously and any other ingredients in their respective compositions.

The vapor deposited coating or layer for any embodiment defined in this specification (unless otherwise specified in a

particular instance) optionally can be a coating or layer, optionally applied by PECVD as indicated in U.S. Pat. No. 7,985,188. The vapor deposited coating or layer can be a barrier coating or layer, optionally a barrier coating or layer characterized as an "SiO_x" coating or layer containing silicon, oxygen, and optionally other elements, in which x, the ratio of oxygen to silicon atoms, optionally can be from about 1.5 to about 2.9, or 1.5 to about 2.6, or about 2. These alternative definitions of x apply to any use of the term SiO_x in this specification. The barrier coating or layer optionally can be applied, for example to the interior of a pharmaceutical package or other vessel, for example a sample collection tube, a syringe barrel, a vial, or another type of vessel. The SiO_x coating or layer is particularly contemplated as a barrier to oxygen ingress or egress and a solute barrier to prevent migration of drug constituents (as in the barrel lumen 18 of a prefilled syringe or cartridge) into the barrel wall or the migration of barrel wall constituents into the drug or other contents of the barrel lumen.

In any embodiment plasma optionally can be generated in the barrel lumen 18 by placing an inner electrode into the barrel lumen 18 through the opening 32, placing an outer electrode outside the barrel 14 and using the electrodes to apply plasma-inducing electromagnetic energy which optionally can be microwave energy, radio frequency energy, or both in the barrel lumen 18.

In any embodiment the electromagnetic energy optionally can be direct current.

In any embodiment the electromagnetic energy optionally can be alternating current. The alternating current optionally can be modulated at frequencies including audio, or microwave, or radio, or a combination of two or more of audio, microwave, or radio.

In any embodiment the electromagnetic energy optionally can be applied across the barrel lumen (18).

In any embodiment, in addition to applying a first coating or layer as described above, the method optionally can include applying second or further coating or layer of the same material or a different material. As one example useful in any embodiment, particularly contemplated if the first coating or layer is an SiO_x barrier coating or layer, a further coating or layer can be placed directly or indirectly over the barrier coating or layer. One example of such a further coating or layer useful in any embodiment is a pH protective coating or layer.

The pH protective coating or layer optionally can be applied over at least a portion of the SiO_x coating or layer to protect the SiO_x coating or layer from contents stored in a vessel, where the contents otherwise would be in contact with the SiO_x coating or layer. The pH protective coating or layers or layers are particularly contemplated to protect an SiO_x barrier layer of a prefilled syringe or cartridge that is exposed to contents, such as a pharmaceutical preparation, having a pH between 4 and 9, alternatively between 4 and 8, alternatively between 5 and 9. Such pharmaceutical preparations have been found to attack and remove the SiO_x coating or layer if unprotected by a protective coating or layer.

Thus, in any embodiment, after the applying step, another vapor-deposited coating 34 optionally can be applied directly or indirectly over the coating 30, while the pre-assembly 12 is capped, under conditions effective to maintain communication between the barrel lumen 18 and the dispensing portion lumen 26 via the front opening 22 at the end of applying the second vapor-deposited coating 34.

In any embodiment, the other vapor-deposited coating 34 can be a pH protective coating or layer.

11

In any embodiment, the pH protective coating or layer can include or consist essentially of SiO_xC_y , or SiN_xC_y , wherein x is from about 0.5 to about 2.4, optionally about 1.1, and y is from about 0.6 to about 3, optionally about 1.1.

In any embodiment, the pH protective coating or layer can include or consist essentially of $\text{SiO}_x\text{C}_y\text{H}_z$, in which x is from about 0.5 to about 2.4, optionally from about 0.5 to 1, y is from about 0.6 to about 3, optionally from about 2 to about 3, and z is from about 2 to about 9, optionally from 6 to about 9.

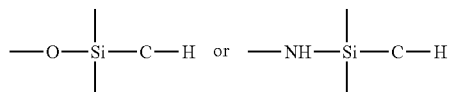
Optionally in any embodiment, the pH protective coating or layer can be applied as the first or sole vapor-deposited coating or layer (30), instead of or in addition to its application as a further layer. This expedient may be useful, for example, where the barrel is made of glass. The presently disclosed pH protective coating or layer also reduces the dissolution of glass by contents having the pH values indicated as attacking SiO_x coatings or layers.

Surprisingly, it has been found that the above stated coatings or layers can be applied to the capped pre-assembly 12 with substantially no deposition of the vapor-deposited coating 30 in the dispensing portion lumen 26. This is shown by a working example below.

Precursors

The precursor for the SiO_x barrier coating or layer or for the pH protective coating or layer can include any of the following precursors useful for PECVD. The precursor for the PECVD pH protective coating or layer of the present invention optionally can be broadly defined as an organometallic precursor. An organometallic precursor is defined in this specification as comprehending compounds of metal elements from Group III and/or Group IV of the Periodic Table having organic residues, for example hydrocarbon, aminocarbon or oxycarbon residues. Organometallic compounds as presently defined include any precursor having organic moieties bonded to silicon or other Group III/IV metal atoms directly, or optionally bonded through oxygen or nitrogen atoms. The relevant elements of Group III of the Periodic Table are Boron, Aluminum, Gallium, Indium, Thallium, Scandium, Yttrium, and Lanthanum, Aluminum and Boron being preferred. The relevant elements of Group IV of the Periodic Table are Silicon, Germanium, Tin, Lead, Titanium, Zirconium, Hafnium, and Thorium, with Silicon and Tin being preferred. Other volatile organic compounds can also be contemplated. However, organosilicon compounds are preferred for performing present invention.

An organosilicon precursor is contemplated, where an "organosilicon precursor" is defined throughout this specification most broadly as a compound having at least one of the linkages:



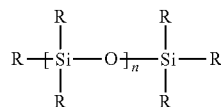
The first structure immediately above is a tetravalent silicon atom connected to an oxygen atom and an organic carbon atom (an organic carbon atom being a carbon atom bonded to at least one hydrogen atom). The second structure immediately above is a tetravalent silicon atom connected to an —NH— linkage and an organic carbon atom (an organic carbon atom being a carbon atom bonded to at least one hydrogen atom). Optionally, the organosilicon precursor is selected from the group consisting of a linear siloxane, a monocyclic siloxane, a polycyclic siloxane, a polysilsesqui-

12

oxane, a linear silazane, a monocyclic silazane, a polycyclic silazane, a polysilsesquiazane, and a combination of any two or more of these precursors. Also contemplated as a precursor, though not within the two formulas immediately above, is an alkyl trimethoxysilane.

If an oxygen-containing precursor (for example a siloxane) is used, a representative predicted empirical composition resulting from PECVD under conditions forming a hydrophobic or lubricating pH protective coating or layer would be $\text{Si}_w\text{O}_x\text{C}_y\text{H}_z$ or its equivalent SiO_xC_y , as defined in the Definition Section, while a representative predicted empirical composition resulting from PECVD under conditions forming a barrier coating or layer would be SiO_x , where x in this formula is from about 1.5 to about 2.9. If a nitrogen-containing precursor (for example a silazane) is used, the predicted composition would be $\text{Si}_w\text{N}_x\text{C}_y\text{H}_z$, i.e. in $\text{Si}_w\text{O}_x\text{C}_y\text{H}_z$ or its equivalent SiO_xC_y , as specified in the Definition Section, O is replaced by N and the indices for H are adapted to the higher valency of N as compared to O (3 instead of 2). The latter adaptation will generally follow the ratio of w, x, y and z in a siloxane to the corresponding indices in its aza counterpart. In a particular aspect of the invention, $\text{Si}_w\text{N}_x\text{C}_y\text{H}_z$ (or its equivalent SiN_xC_y) in which w^* , x^* , y^* , and z^* are defined the same as w, x, y, and z for the siloxane counterparts, but for an optional deviation in the number of hydrogen atoms.

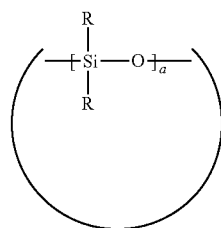
One type of precursor starting material having the above empirical formula is a linear siloxane, for example a material having the following formula:



in which each R is independently selected from alkyl, for example methyl, ethyl, propyl, isopropyl, butyl, isobutyl, t-butyl, vinyl, alkyne, or others, and n is 1, 2, 3, 4, or greater, optionally two or greater. Several examples of contemplated linear siloxanes are hexamethyldisiloxane (HMDSO), octamethyltrisiloxane, decamethyltetrasiloxane, dodecamethylpentasiloxane,

or combinations of two or more of these. The analogous silazanes in which —NH— is substituted for the oxygen atom in the above structure are also useful for making analogous pH protective coating or layers or coating or layers. Several examples of contemplated linear silazanes are octamethyltrisilazane, decamethyltetrasilazane, or combinations of two or more of these.

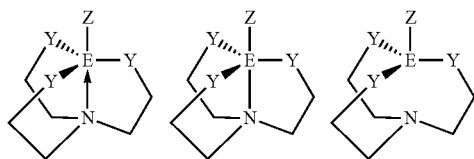
Another type of precursor starting material, among the preferred starting materials in the present context, is a monocyclic siloxane, for example a material having the following structural formula:



13

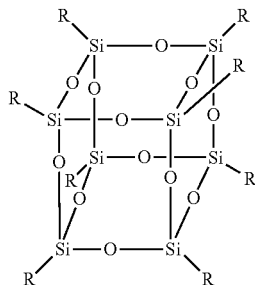
in which R is defined as for the linear structure and "a" is from 3 to about 10, or the analogous monocyclic silazanes. Several examples of contemplated hetero-substituted and unsubstituted monocyclic siloxanes and silazanes include 1,3,5-trimethyl-1,3,5-tris(3,3,3-trifluoropropyl)methyl]cyclo-
 2,4,6,8-tetramethyl-2,4,6,8-tetravinylcyclo-
 tetrasiloxane, pentamethylcyclopentasiloxane, pentavinyl-
 pentamethylcyclopentasiloxane,
 hexamethylcyclotrisiloxane, hexaphenylcyclotrisiloxane,
 octamethylcyclotetrasiloxane (OMCTS), octaphenylcyclo-
 tetrasiloxane, decamethylcyclopentasiloxane dodecamethyl-
 cyclohexasiloxane, methyl(3,3,3-trifluoropropyl)cyclosilox-
 ane, Cyclic organosilazanes are also contemplated, such as
 Octamethylcyclotetrasilazane, 1,3,5,7-tetravinyl-1,3,5,7-tet-
 ramethylcyclotetrasilazane, hexamethylcyclotrisilazane,
 octamethylcyclotetrasilazane, decamethylcyclopentasilaza-
 zane, dodecamethylcyclohexasilazane, or combinations of
 any two or more of these.

Another type of precursor starting material, among the preferred starting materials in the present context, is a polycyclic siloxane, for example a material having one of the following structural formulas:



in which Y can be oxygen or nitrogen, E is silicon, and Z is a hydrogen atom or an organic substituent, for example alkyl such as methyl, ethyl, propyl, isopropyl, butyl, isobutyl, t-butyl, vinyl, alkyne, or others. When each Y is oxygen, the respective structures, from left to right, are a Silatrane, a Silquasilatane, and a Silproatrane. When Y is nitrogen, the respective structures are an azasilatrane, an azasilquasilatane, and an azasilproatrane.

Another type of polycyclic siloxane precursor starting material, among the preferred starting materials in the present context, is a polysilsesquioxane, with the empirical formula $\text{RSiO}_{1.5}$ and the structural formula:

T₈ cube

in which each R is a hydrogen atom or an organic substituent, for example alkyl such as methyl, ethyl, propyl, isopropyl, butyl, isobutyl, t-butyl, vinyl, alkyne, or others. Two commercial materials of this sort are SST-eM01 poly(methylsilsesquioxane), in which each R is methyl, and SST-3 MH1.1 poly(Methyl-Hydridosilsesquioxane), in which 90% of the R groups are methyl, 10% are hydrogen atoms. This material is available in a 10% solution in tetrahydrofuran,

14

for example. Combinations of two or more of these are also contemplated. Other examples of a contemplated precursor are methylsilatrane, CAS No. 2288-13-3, in which each Y is oxygen and Z is methyl, methylazasilatrane, poly(methylsilsesquioxane) (for example SST-eM01 poly(methylsilsesquioxane)), in which each R optionally can be methyl, SST-3 MH1.1 poly(Methyl-Hydridosilsesquioxane) (for example SST-3 MH1.1 poly(Methyl-Hydridosilsesquioxane)), in which 90% of the R groups are methyl and 10% are hydrogen atoms, or a combination of any two or more of these.

The analogous polysilsesquiazanes in which —NH— is substituted for the oxygen atom in the above structure are also useful for making analogous pH protective coating or layer. Examples of contemplated polysilsesquiazanes are a poly(methylsilsesquiazane), in which each R is methyl, and a poly(Methyl-Hydridosilsesquiazane, in which 90% of the R groups are methyl, 10% are hydrogen atoms. Combinations of two or more of these are also contemplated.

One particularly contemplated precursor for the barrier coating or layer according to the present invention is a linear siloxane, for example is HMDSO. One particularly contemplated precursor for the pH protective coating or layer and the pH protective coating or layer according to the present invention is a cyclic siloxane, for example octamethylcyclotetrasiloxane (OMCTS).

It is believed that the OMCTS or other cyclic siloxane molecule provides several advantages over other siloxane materials. First, its ring structure results in a less dense pH protective coating or layer (as compared to pH protective coating or layer prepared from HMDSO). The molecule also allows selective ionization so that the final structure and chemical composition of the pH protective coating or layer can be directly controlled through the application of the plasma power. Other organosilicon molecules are readily ionized (fractured) so that it is more difficult to retain the original structure of the molecule.

In any of the PECVD methods according to the present invention, the applying step optionally can be carried out by vaporizing the precursor and providing it in the vicinity of the substrate. For example, OMCTS is usually vaporized by heating it to about 50° C. before applying it to the PECVD apparatus.

Cyclic organosilicon precursors, in particular monocyclic organosilicon precursors (like the monocyclic precursors listed elsewhere in present description), and specifically OMCTS, are particularly suitable to achieve a pH protective coating or layer.

Other Components of PECVD Reaction Mixture and Ratios of Components For pH Protective Coating or Layer

Generally, for a pH protective coating or layer, O_2 can be present in an amount (which can, for example be expressed by the flow rate in sccm) which is less than one order of magnitude greater than the organosilicon amount. In contrast, in order to achieve a barrier coating or layer, the amount of O_2 typically is at least one order of magnitude higher than the amount of organosilicon precursor. In particular, the volume ratio (in sccm) of organosilicon precursor to O_2 for a pH protective coating or layer can be in the range from 0.1:1 to 10:1, optionally in the range from 0.3:1 to 8:1, optionally in the range from 0.5:1 to 5:1, optionally from 1:1 to 3:1. The presence of the precursor and O_2 in the volume ratios as given in Tables 9-11 is specifically suitable to achieve a pH protective coating or layer.

In one aspect of the invention, a carrier gas is absent in the reaction mixture, in another aspect of the invention, it is present. Suitable carrier gases include Argon, Helium and

15

other noble gases such as Neon and Xenon. When the carrier gas is present in the reaction mixture, it is typically present in a volume (in sccm) exceeding the volume of the organosilicon precursor. For example, the ratio of the organosilicon precursor to carrier gas can be from 1:1 to 1:50, optionally from 1:5 to 1:40, optionally from 1:10 to 1:30. One function of the carrier gas is to dilute the reactants in the plasma, encouraging the formation of a coating or layer on the substrate instead of powdered reaction products that do not adhere to the substrate and are largely removed with the exhaust gases.

Since the addition of Argon gas improves the pH protective performance (see the working examples below), it is believed that additional ionization of the molecule in the presence of Argon contributes to providing lubricity. The Si—O—Si bonds of the molecule have a high bond energy followed by the Si—C, with the C—H bonds being the weakest. pH protective appears to be achieved when a portion of the C—H bonds are broken. This allows the connecting (cross-linking) of the structure as it grows. Addition of oxygen (with the Argon) is understood to enhance this process. A small amount of oxygen can also provide C—O bonding to which other molecules can bond. The combination of breaking C—H bonds and adding oxygen all at low pressure and power leads to a chemical structure that is solid while providing lubricity.

In any of embodiments, one preferred combination of process gases includes octamethylcyclotetrasiloxane (OMCTS) or another cyclic siloxane as the precursor, in the presence of oxygen as an oxidizing gas and argon as a carrier gas. Without being bound to the accuracy of this theory, the inventors believe this particular combination is effective for the following reasons. The presence of O₂, N₂O, or another oxidizing gas and/or of a carrier gas, in particular of a carrier gas, for example a noble gas, for example Argon (Ar), is contemplated to improve the resulting pH protective coating or layer.

Some non-exhaustive alternative selections and suitable proportions of the precursor gas, oxygen, and a carrier gas are provided below.

OMCTS: 0.5-5.0 sccm

Oxygen: 0.1-5.0 sccm

Argon: 1.0-20 sccm

PECVD Apparatus for Forming pH Protective Coating or Layer

The low-pressure PECVD process described in U.S. Pat. No. 7,985,188 can be used to provide the barrier, lubricity, and pH protective coating or layers described in this specification. A brief synopsis of that process follows, with reference to present FIGS. 4-6.

A PECVD apparatus suitable for performing the present invention includes a vessel holder 50, an inner electrode defined by the probe 108, an outer electrode 160, and a power supply 162. The pre-assembly 12 seated on the vessel holder 50 defines a plasma reaction chamber, which optionally can be a vacuum chamber. Optionally, a source of vacuum 98, a reactant gas source 144, a gas feed (probe 108) or a combination of two or more of these can be supplied.

The PECVD apparatus can be used for atmospheric-pressure PECVD, in which case the plasma reaction chamber defined by the pre-assembly 12 does not need to function as a vacuum chamber.

Referring to FIGS. 4-6, the vessel holder 50 comprises a gas inlet port 104 for conveying a gas into the pre-assembly 12 seated on the opening 82. The gas inlet port 104 has a sliding seal provided for example by at least one O-ring 106, or two O-rings in series, or three O-rings in series, which can

16

seat against a cylindrical probe 108 when the probe 108 is inserted through the gas inlet port 104. The probe 108 can be a gas inlet conduit that extends to a gas delivery port at its distal end 110. The distal end 110 of the illustrated embodiment can be inserted deep into the pre-assembly 12 for providing one or more PECVD reactants and other precursor feed or process gases.

FIG. 6 shows additional optional details of the coating station 60 that are usable, for example, with all the illustrated embodiments. The coating station 60 can also have a main vacuum valve 574 in its vacuum line 576 leading to the pressure sensor 152. A manual bypass valve 578 is provided in the bypass line 580. A vent valve 582 controls flow at the vent 404.

Flow out of the PECVD gas or precursor source 144 is controlled by a main reactant gas valve 584 regulating flow through the main reactant feed line 586. One component of the gas source 144 is the organosilicon liquid reservoir 588. The contents of the reservoir 588 are drawn through the organosilicon capillary line 590, which is provided at a suitable length to provide the desired flow rate. Flow of organosilicon vapor is controlled by the organosilicon shut-off valve 592. Pressure is applied to the headspace 614 of the liquid reservoir 588, for example a pressure in the range of 0-15 psi (0 to 78 cm. Hg), from a pressure source 616 such as pressurized air connected to the headspace 614 by a pressure line 618 to establish repeatable organosilicon liquid delivery that is not dependent on atmospheric pressure (and the fluctuations therein). The reservoir 588 is sealed and the capillary connection 620 is at the bottom of the reservoir 588 to ensure that only neat organosilicon liquid (not the pressurized gas from the headspace 614) flows through the capillary tube 590. The organosilicon liquid optionally can be heated above ambient temperature, if necessary or desirable to cause the organosilicon liquid to evaporate, forming an organosilicon vapor. To accomplish this heating, the pH protective coating or layer apparatus can advantageously include heated delivery lines from the exit of the precursor reservoir to as close as possible to the gas inlet into the syringe. Preheating is useful, for example, when feeding OMCTS.

Oxygen is provided from the oxygen tank 594 via an oxygen feed line 596 controlled by a mass flow controller 598 and provided with an oxygen shut-off valve 600.

Optionally in any embodiment, other precursor, reactant, and/or carrier gas reservoirs such as 602 can be provided to supply additional materials if needed for a particular deposition process. Each such reservoir such as 602 has the appropriate feed line 604 and shut-off valve 606.

Referring especially to FIG. 4, the processing station 28 can include an electrode 160 fed by a radio frequency power supply 162 for providing an electric field for generating plasma within the pre-assembly 12 during processing. In this embodiment, the probe 108 is also electrically conductive and is grounded, thus providing a counter-electrode within the pre-assembly 12. Alternatively, in any embodiment the outer electrode 160 can be grounded and the probe 108 directly connected to the power supply 162.

In the embodiment of FIGS. 4-6, the outer electrode 160 can either be generally cylindrical as illustrated in FIGS. 4 and 5 or a generally U-shaped elongated channel as illustrated in FIG. 6 (FIG. 5 being an embodiment of the section taken along section line A-A of FIG. 4). Each illustrated embodiment has one or more sidewalls, such as 164 and 166, and optionally a top end 168, disposed about the pre-assembly 12 in close proximity.

Specific PECVD conditions for application of a pH protective coating or layer are provided below.

Plasma Conditions for pH Protective Coating or Layer

Typically, the plasma in the PECVD process is generated at RF frequency. For providing a pH protective coating or layer on the interior of a vessel by a plasma reaction carried out within the vessel, the plasma of any embodiment can be generated with an electric power of from 0.1 to 500 W, optionally from 0.1 to 400 W, optionally from 0.1 to 300 W, optionally from 1 to 250 W, optionally from 1 to 200 W, even optionally from 10 to 150 W, optionally from 20 to 150 W, for example of 40 W, optionally from 40 to 150 W, even optionally from 60 to 150 W. The ratio of the electrode power to the plasma volume can be less than 100 W/ml, optionally is from 5 W/ml to 75 W/ml, optionally is from 6 W/ml to 60 W/ml, optionally is from 10 W/ml to 50 W/ml, optionally from 20 W/ml to 40 W/ml. These power levels are suitable for applying pH protective coating or layers or coating or layers to syringes and cartridges and sample tubes and pharmaceutical packages or other vessels of similar geometry having a void volume of 5 mL in which PECVD plasma is generated. It is contemplated that for larger or smaller objects the power applied, in Watts, should be increased or reduced accordingly to scale the process to the size of the substrate.

Exemplary reaction conditions for preparing a pH protective coating or layer according to the present invention in a 3 ml sample size syringe with a 1/8" diameter tube (open at the end) are as follows:

Flow Rate Ranges:

OMCTS: 0.5-10 sccm

Oxygen: 0.1-10 sccm

Argon: 1.0-200 sccm

Power: 0.1-500 watts

Specific Flow Rates:

OMCTS: 2.0 sccm

Oxygen: 0.7 sccm

Argon: 7.0 sccm

Power: 3.5 watts

The pH protective coating or layer and its application are described in more detail below. A method for applying the coating or layer includes several steps. A vessel wall is provided, as is a reaction mixture comprising plasma forming gas, i.e. an organosilicon compound gas, optionally an oxidizing gas, and optionally a hydrocarbon gas.

Plasma is formed in the reaction mixture that is substantially free of hollow cathode plasma. The vessel wall is contacted with the reaction mixture, and the pH protective coating or layer of SiO_x is deposited on at least a portion of the vessel wall.

In certain embodiments, the generation of a uniform plasma throughout the portion of the vessel to be coated is contemplated, as it has been found in certain instances to generate a better pH protective coating or layer. Uniform plasma means regular plasma that does not include a substantial amount of hollow cathode plasma (which has a higher emission intensity than regular plasma and is manifested as a localized area of higher intensity interrupting the more uniform intensity of the regular plasma).

Container Closure Integrity

Optionally in any embodiment, the container closure integrity of the capped pre-assembly can be measured before, during, or after the application of a vapor-deposited coating or layer.

A container closure integrity (CCI) test is a non-destructive leak test method intended for use in manufacturing as an in-process package integrity check. A CCI test is intended to

determine the microbial barrier properties of a sterile container indirectly, as by measuring a physical property that is correlated with microbial barrier properties. Respecting the present capped pre-assemblies, the CCI test is a preliminary test that determines the package integrity of the front end of the syringe, in particular the barrel, dispensing portion, and cap. This CCI test can be carried out on the unfilled but capped pre-assembly to determine whether these components of the package have the appropriate barrier properties.

Since in the present method the dispensing portion and cap are already present and installed when the barrier coatings are applied to the barrel, the container closure integrity of the pre-assembly can be verified to assure, before the capped pre-assembly is filled with an expensive pharmaceutical preparation, that these components do not have any defects that would cause the filled package to be rejected.

Moreover, the test optionally can be carried out using the same equipment commonly used for many vapor deposition processes, in particular a vacuum arrangement to draw a vacuum on the syringe barrel and associated dispensing portion and cap, which can be combined with leak detection equipment as shown in the first working example below. Thus, the CCI test can be carried out quickly, which is very important to allow the test to be carried out on each package as it is manufactured.

Example 1 below shows a CCI test conducted on the pre-assembly in 20 seconds. More broadly, it is contemplated for any embodiment that the present CCI test can be carried out in a time between 1 second and 60 seconds, alternatively between 2 seconds and 60 seconds, alternatively between 3 seconds and 60 seconds, alternatively between 4 seconds and 60 seconds, alternatively between 5 seconds and 60 seconds, alternatively between 6 seconds and 60 seconds, alternatively between 7 seconds and 60 seconds, alternatively between 8 seconds and 60 seconds, alternatively between 9 seconds and 60 seconds, alternatively between 10 seconds and 60 seconds, alternatively between 11 seconds and 60 seconds, alternatively between 12 seconds and 60 seconds, alternatively between 13 seconds and 60 seconds, alternatively between 14 seconds and 60 seconds, alternatively between 15 seconds and 60 seconds, alternatively between 16 seconds and 60 seconds, alternatively between 17 seconds and 60 seconds, alternatively between 18 seconds and 60 seconds, alternatively between 19 seconds and 60 seconds, alternatively between 1 second and 20 seconds, alternatively between 2 seconds and 20 seconds, alternatively between 3 seconds and 20 seconds, alternatively between 4 seconds and 20 seconds, alternatively between 5 seconds and 20 seconds, alternatively between 6 seconds and 20 seconds, alternatively between 7 seconds and 20 seconds, alternatively between 8 seconds and 20 seconds, alternatively between 9 seconds and 20 seconds, alternatively between 10 seconds and 20 seconds, alternatively between 11 seconds and 20 seconds, alternatively between 12 seconds and 20 seconds, alternatively between 13 seconds and 20 seconds, alternatively between 14 seconds and 20 seconds, alternatively between 15 seconds and 20 seconds, alternatively between 16 seconds and 20 seconds, alternatively between 17 seconds and 20 seconds, alternatively between 18 seconds and 20 seconds, alternatively between 19 seconds and 20 seconds, alternatively between 20 seconds and 60 seconds, alternatively between 10 seconds and 50 seconds, alternatively between 10 seconds and 40 seconds, alternatively between 10 seconds and 30 seconds, alternatively between 10 seconds and 20 seconds, alternatively between 20 seconds and 50 seconds.

19

onds, alternatively between 20 seconds and 40 seconds, alternatively between 20 seconds and 30 seconds.

In any embodiment, the CCI test can be carried out, while drawing at least a partial vacuum through the barrel opening (32), by measuring the pressure decay of gas drawn from the barrel opening (32) and any leakage paths.

In any embodiment, the CCI test can be carried out by comparing the pressure decay of gas to a predetermined standard to determine the container closure integrity of the capped pre-assembly.

In any embodiment, the pressure decay can be measured with sufficient precision to detect a pressure decay due to an intact container versus a container having a single perforation in the cap having a diameter of 5 microns, alternatively 4 microns, alternatively 3 microns, alternatively 2 microns, alternatively 1.8 microns, alternatively 1 micron, alternatively 0.5 microns, alternatively 0.3 microns, alternatively 0.1 microns.

In any embodiment, the pressure decay can be measured within a time between 1 second and 60 seconds, alternatively between 2 seconds and 60 seconds, alternatively between 3 seconds and 60 seconds, alternatively between 4 seconds and 60 seconds, alternatively between 5 seconds and 60 seconds, alternatively between 6 seconds and 60 seconds, alternatively between 7 seconds and 60 seconds, alternatively between 8 seconds and 60 seconds, alternatively between 9 seconds and 60 seconds, alternatively between 10 seconds and 60 seconds, alternatively between 11 seconds and 60 seconds, alternatively between 12 seconds and 60 seconds, alternatively between 13 seconds and 60 seconds, alternatively between 14 seconds and 60 seconds, alternatively between 15 seconds and 60 seconds, alternatively between 16 seconds and 60 seconds, alternatively between 17 seconds and 60 seconds, alternatively between 18 seconds and 60 seconds, alternatively between 19 seconds and 60 seconds, alternatively between 20 seconds and 60 seconds, alternatively between 10 seconds and 50 seconds, alternatively between 10 seconds and 40 seconds, alternatively between 10 seconds and 30 seconds, alternatively between 10 seconds and 20 seconds.

In any embodiment, the pressure decay of gas drawn from the barrel opening (32) and any leakage paths can be measured before applying a vapor-deposited coating or layer.

In any embodiment, the pressure decay of gas drawn from the barrel opening (32) and any leakage paths can be measured while applying a vapor-deposited coating or layer.

In any embodiment, the pressure decay of gas drawn from the barrel opening (32) and any leakage paths can be measured after applying a vapor-deposited coating or layer. Measurement of Coating or Layer Thickness

The thickness of a PECVD coating or layer such as the pH protective coating or layer, the barrier coating or layer, and/or a composite of any two or more of these coatings or layers can be measured, for example, by transmission electron microscopy (TEM). An exemplary TEM image for a pH protective coating or layer is shown in FIG. 21. An exemplary TEM image for an SiO₂ barrier coating or layer is shown in FIG. 22.

The TEM can be carried out, for example, as follows. Samples can be prepared for Focused Ion Beam (FIB) cross-sectioning in two ways. Either the samples can be first coated with a thin coating or layer of carbon (50-100 nm thick) and then coated with a sputtered coating or layer of platinum (50-100 nm thick) using a K575X Emitech pH protective coating or layer system, or the samples can be coated directly with the pH protective sputtered Pt coating or

20

layer. The coated samples can be placed in an FEI FIB200 FIB system. An additional coating or layer of platinum can be FIB-deposited by injection of an organometallic gas while rastering the 30 kV gallium ion beam over the area of interest. The area of interest for each sample can be chosen to be a location half way down the length of the syringe barrel. Thin cross sections measuring approximately 15 μ m ("micrometers") long, 2 μ m wide and 15 μ m deep can be extracted from the die surface using an in-situ FIB lift-out technique. The cross sections can be attached to a 200 mesh copper TEM grid using FIB-deposited platinum. One or two windows in each section, measuring about 8 μ m wide, can be thinned to electron transparency using the gallium ion beam of the FEI FIB.

Cross-sectional image analysis of the prepared samples can be performed utilizing either a Transmission Electron Microscope (TEM), or a Scanning Transmission Electron Microscope (STEM), or both. All imaging data can be recorded digitally. For STEM imaging, the grid with the thinned foils can be transferred to a Hitachi HD2300 dedicated STEM. Scanning transmitted electron images can be acquired at appropriate magnifications in atomic number contrast mode (ZC) and transmitted electron mode (TE). The following instrument settings can be used.

Instrument	Scanning Transmission Electron Microscope
Manufacturer/Model	Hitachi HD2300
Accelerating Voltage	200 kV
Objective Aperture	#2
Condenser Lens 1 Setting	1.672
Condenser Lens 2 Setting	1.747
Approximate Objective Lens Setting	5.86
ZC Mode Projector Lens	1.149
TE Mode Projector Lens	0.7
Image Acquisition	
Pixel Resolution	1280 \times 960
Acquisition Time	20 sec.(x4)

For TEM analysis the sample grids can be transferred to a Hitachi HF2000 transmission electron microscope. Transmitted electron images can be acquired at appropriate magnifications. The relevant instrument settings used during image acquisition can be those given below.

Instrument	Transmission Electron Microscope
Manufacturer/Model	Hitachi HF2000
Accelerating Voltage	200 kV
Condenser Lens 1	0.78
Condenser Lens 2	0
Objective Lens	6.34
Condenser Lens Aperture	#1
Objective Lens Aperture for imaging	#3
Selective Area Aperture for SAD	N/A

Any of the above methods can also include as a step forming a coating or layer on the exterior outer wall of a pre-assembly 12. The exterior coating or layer optionally can be a barrier coating or layer, optionally an oxygen barrier coating or layer, or optionally a water barrier coating or layer. The exterior coating or layer can also be an armor coating or layer that protects the outer wall of a pre-assembly 12. One example of a suitable exterior coating or layer is polyvinylidene chloride, which functions both as a water barrier and an oxygen barrier. Optionally, the exterior coating or layer can be applied as a water-based coating or

21

layer. The exterior coating or layer optionally can be applied by dipping the vessel in it, spraying it on the pharmaceutical package or other vessel, or other expedients.

PECVD Treated Pharmaceutical Packages or Other Vessels Coated Pharmaceutical Packages or Other Vessels

Pharmaceutical packages **210** or other vessels, such as a prefilled syringe (schematically shown in FIG. 7) or cartridge are contemplated having a barrier coating or layer such as **30** at least partially covered by a pH protective coating or layer such as **34**.

The pharmaceutical package **210** as shown in any embodiment, for example FIG. 7, comprises a pre-assembly **12**; optionally a barrier coating or layer such as **30** on the vessel or vessel part; a pH protective coating or layer such as **34** on the vessel, vessel part, or barrier coating or layer; and a pharmaceutical composition or other fluid material **40** contained within the vessel.

The barrier coating or layer such as **30** can be an SiO_x barrier coating or layer applied as described in any embodiment of this specification or in U.S. Pat. No. 7,985,188. For example, the barrier coating or layer such as **30** of any embodiment can be applied at a thickness of at least 2 nm, or at least 4 nm, or at least 7 nm, or at least 10 nm, or at least 20 nm, or at least 30 nm, or at least 40 nm, or at least 50 nm, or at least 100 nm, or at least 150 nm, or at least 200 nm, or at least 300 nm, or at least 400 nm, or at least 500 nm, or at least 600 nm, or at least 700 nm, or at least 800 nm, or at least 900 nm. The barrier coating or layer can be up to 1000 nm, or at most 900 nm, or at most 800 nm, or at most 700 nm, or at most 600 nm, or at most 500 nm, or at most 400 nm, or at most 300 nm, or at most 200 nm, or at most 100 nm, or at most 90 nm, or at most 80 nm, or at most 70 nm, or at most 60 nm, or at most 50 nm, or at most 40 nm, or at most 30 nm, or at most 20 nm, or at most 10 nm, or at most 5 nm thick. Specific thickness ranges composed of any one of the minimum thicknesses expressed above, plus any equal or greater one of the maximum thicknesses expressed above, are expressly contemplated. The thickness of the SiO_x or other barrier coating or layer can be measured, for example, by transmission electron microscopy (TEM), and its composition can be measured by X-ray photoelectron spectroscopy (XPS). The pH protective coating or layer described herein can be applied to a variety of pharmaceutical packages or other vessels made from plastic or glass, for example to plastic tubes, vials, and syringes and cartridges.

The pH protective coating or layer such as **34** can be an SiO_xC_y pH protective coating or layer applied as described in any embodiment of this specification. For example, the vapor deposited coating or layer, here a pH protective coating or layer such as **34**, comprises or consists essentially of a coating or layer of SiO_xC_y , applied over the barrier coating or layer **30** to protect at least a portion of the barrier coating or layer from the pharmaceutical preparation such as **40** in FIG. 7. The pH protective coating or layer such as **34** is provided, for example, by applying one of the described precursors on or in the vicinity of a substrate in a PECVD process, providing a pH protective coating or layer. The coating or layer can be applied, for example, at a thickness of 1 to 5000 nm, or 10 to 1000 nm, or 10 to 500 nm, or 10 to 200 nm, or 20 to 100 nm, or 30 to 1000 nm, or 30 to 500 nm thick, or 30 to 1000 nm, or 20 to 100 nm, or 80 to 150 nm, and crosslinking or polymerizing (or both) the pH protective coating or layer, optionally in a PECVD process, to provide a protected surface.

Although not intending to be bound according to the accuracy of the following theory, the inventors contemplate that the pH protective coating or layer, applied over an SiO_x

22

barrier coating or layer on a vessel wall, functions at least in part by passivating the SiO_x barrier coating or layer surface against attack by the contents of the vessel, as well as providing a more resistant or sacrificial independent coating or layer to isolate the SiO_x barrier coating or layer from the contents of the vessel. It is thus contemplated that the pH protective coating or layer can be very thin, and even so improve the shelf life of the pharmaceutical package.

Another expedient contemplated here, for adjacent coating or layers of SiO_x and a pH protective coating or layer, is a graded composite of SiO_x and $\text{Si}_w\text{O}_x\text{C}_y$, or its equivalent SiO_xC_y , as defined in the Definition Section. A graded composite can be separate coating or layers of a pH protective and/or barrier coating or layer or coating or layer with a transition or interface of intermediate composition between them, or separate coating or layers of a pH protective and/or hydrophobic coating or layer and SiO_x with an intermediate distinct pH protective coating or layer of intermediate composition between them, or a single coating or layer that changes continuously or in steps from a composition of a pH protective and/or hydrophobic coating or layer to a composition more like SiO_x , going through the pH protective coating or layer in a normal direction.

The grade in the graded composite can go in either direction. For example, the composition of SiO_x can be applied directly to the substrate and graduate to a composition further from the surface of a pH protective coating or layer, and optionally can further graduate to another type of coating or layer, such as a hydrophobic coating or layer or a pH protective coating or layer. Additionally, in any embodiment an adhesion coating or layer, for example $\text{Si}_w\text{O}_x\text{C}_y$, or its equivalent SiO_xC_y , optionally can be applied directly to the substrate before applying the barrier coating or layer. A graduated pH protective coating or layer is particularly contemplated if a coating or layer of one composition is better for adhering to the substrate than another, in which case the better-adhering composition can, for example, be applied directly to the substrate. It is contemplated that the more distant portions of the graded pH protective coating or layer can be less compatible with the substrate than the adjacent portions of the graded pH protective coating or layer, since at any point the pH protective coating or layer is changing gradually in properties, so adjacent portions at nearly the same depth of the pH protective coating or layer have nearly identical composition, and more widely physically separated portions at substantially different depths can have more diverse properties. It is also contemplated that a pH protective coating or layer portion that forms a better barrier against transfer of material to or from the substrate can be directly against the substrate, to prevent the more remote pH protective coating or layer portion that forms a poorer barrier from being contaminated with the material intended to be barred or impeded by the barrier.

The applied coating or layers or coating or layers, instead of being graded, optionally can have sharp transitions between one coating or layer and the next, without a substantial gradient of composition. Such pH protective coating or layer can be made, for example, by providing the gases to produce a coating or layer as a steady state flow in a non-plasma state, then energizing the system with a brief plasma discharge to form a coating or layer on the substrate. If a subsequent pH protective coating or layer is to be applied, the gases for the previous pH protective coating or layer are cleared out and the gases for the next pH protective coating or layer are applied in a steady-state fashion before energizing the plasma and again forming a distinct coating

23

or layer on the surface of the substrate or its outermost previous pH protective coating or layer, with little if any gradual transition at the interface.

Vessel Made Of Glass

Another embodiment is a pharmaceutical package **210** as shown in any embodiment, for example FIG. 7, comprising a barrel **14** and/or piston **36** and/or plunger rod **38** made of glass; optionally a barrier coating or layer such as **30**, a pH protective coating or layer **30** (if a sole layer) or **34** (if formed over a barrier layer) and a pharmaceutical composition or preparation or other fluid material **40** contained within the vessel. In this embodiment a barrier coating or layer is optional because a glass vessel wall in itself is an extremely good barrier coating or layer. It is contemplated to optionally provide a barrier coating or layer primarily to provide isolation: in other words, to prevent contact and interchange of material of any kind, such as ions of the glass or constituents of the pharmaceutical composition or preparation between the vessel wall and the contents of the vessel. The pH protective coating or layer as defined in this specification is contemplated to perform the isolation function independently, at least to a degree. This protection coating or layer is contemplated to provide a useful function on glass in contact with the pharmaceutical composition or preparation, as the present working examples show that borosilicate glass, commonly used today for pharmaceutical packaging, is dissolved by a fluid composition having a pH exceeding 5. Particularly in applications where such dissolution is disadvantageous or perceived to be disadvantageous, the present pH protective coating or layers or coating or layers will find utility.

The vessel can be made, for example of glass of any type used in medical or laboratory applications, such as soda-lime glass, borosilicate glass, or other glass formulations. One function of a pH protective coating or layer on a glass vessel can be to reduce the ingress of ions in the glass, either intentionally or as impurities, for example sodium, calcium, or others, from the glass to the contents of the pharmaceutical package or other vessel, such as a reagent or blood in an evacuated blood collection tube. Alternatively, a dual functional pH protective/pH protective coating or layer can be used on a glass vessel in whole or in part, such as selectively at surfaces contacted in sliding relation to other parts, to provide lubricity, for example to ease the insertion or removal of a stopper or passage of a sliding element such as a piston in a syringe, as well as to provide the isolation of a pH protective coating or layer. Still another reason to coat a glass vessel, for example with a dual functional hydrophobic and pH protective coating or layer, is to prevent a reagent or intended sample for the pharmaceutical package or other vessel, such as blood, from sticking to the wall of the vessel or an increase in the rate of coagulation of the blood in contact with the wall of the vessel, as well as to provide the isolation of a pH protective coating or layer.

A related embodiment is a barrel **14** of a syringe, cartridge, or the like as described in the previous paragraphs, in which the barrier coating or layer is made of soda lime glass, borosilicate glass, or another type of glass coating or layer on a substrate.

II. Gaseous Reactant or Process Gas Limitations of any Embodiment

Deposition Conditions of any Embodiment

The plasma for PECVD, if used, can be generated at reduced pressure and the reduced pressure can be less than 300 mTorr, optionally less than 200 mTorr, even optionally less than 100 mTorr. The physical and chemical properties of the pH protective coating or layer can be set by setting the

24

ratio of O₂ to the organosilicon precursor in the gaseous reactant, and/or by setting the electric power used for generating the plasma.

Relative Proportions of Gases of any Embodiment

The process gas can contain this ratio of gases for preparing a pH protective coating or layer:

- from 0.5 to 10 standard volumes of the precursor;
- from 1 to 100 standard volumes of a carrier gas,
- from 0.1 to 10 standard volumes of an oxidizing agent.

alternatively this ratio:

- from 1 to 6 standard volumes of the precursor;
- from 1 to 80 standard volumes of a carrier gas,
- from 0.1 to 2 standard volumes of an oxidizing agent.

alternatively this ratio:

- from 2 to 4 standard volumes, of the precursor;
- from 1 to 100 standard volumes of a carrier gas,
- from 0.1 to 2 standard volumes of an oxidizing agent.

alternatively this ratio:

- from 1 to 6 standard volumes of the precursor;
- from 3 to 70 standard volumes, of a carrier gas,
- from 0.1 to 2 standard volumes of an oxidizing agent.

alternatively this ratio:

- from 2 to 4 standard volumes, of the precursor;
- from 3 to 70 standard volumes of a carrier gas,
- from 0.1 to 2 standard volumes of an oxidizing agent.

alternatively this ratio:

- from 1 to 6 standard volumes of the precursor;
- from 1 to 100 standard volumes of a carrier gas,
- from 0.2 to 1.5 standard volumes of an oxidizing agent.

alternatively this ratio:

- from 2 to 4 standard volumes, of the precursor;
- from 1 to 100 standard volumes of a carrier gas,
- from 0.2 to 1.5 standard volumes of an oxidizing agent.

alternatively this ratio:

- from 1 to 6 standard volumes of the precursor;
- from 3 to 70 standard volumes of a carrier gas,
- from 0.2 to 1.5 standard volumes of an oxidizing agent.

alternatively this ratio:

- from 2 to 4 standard volumes of the precursor;
- from 3 to 70 standard volumes of a carrier gas,
- from 0.2 to 1.5 standard volumes of an oxidizing agent.

alternatively this ratio:

- from 1 to 6 standard volumes of the precursor;
- from 1 to 100 standard volumes of a carrier gas,
- from 0.2 to 1 standard volumes of an oxidizing agent.

alternatively this ratio:

- from 2 to 4 standard volumes of the precursor;
- from 1 to 100 standard volumes of a carrier gas,
- from 0.2 to 1 standard volumes of an oxidizing agent.

alternatively this ratio:

- from 1 to 6 standard volumes of the precursor;
- from 3 to 70 standard volumes of a carrier gas,
- from 0.2 to 1 standard volumes of an oxidizing agent.

alternatively this ratio:

- 2 to 4 standard volumes, of the precursor;
- from 3 to 70 standard volumes of a carrier gas,
- from 0.2 to 1 standard volumes of an oxidizing agent.

alternatively this ratio:

- from 1 to 6 standard volumes of the precursor;
- from 5 to 100 standard volumes of a carrier gas,
- from 0.1 to 2 standard volumes of an oxidizing agent.

alternatively this ratio:

- from 2 to 4 standard volumes, of the precursor;
- from 5 to 100 standard volumes of a carrier gas,
- from 0.1 to 2 standard volumes of an oxidizing agent.

25

alternatively this ratio:

- from 1 to 6 standard volumes of the precursor;
- from 10 to 70 standard volumes, of a carrier gas,
- from 0.1 to 2 standard volumes of an oxidizing agent.

alternatively this ratio:

- from 2 to 4 standard volumes, of the precursor;
- from 10 to 70 standard volumes of a carrier gas,
- from 0.1 to 2 standard volumes of an oxidizing agent.

alternatively this ratio:

- from 1 to 6 standard volumes of the precursor;
- from 5 to 100 standard volumes of a carrier gas,
- from 0.5 to 1.5 standard volumes of an oxidizing agent.

alternatively this ratio:

- from 2 to 4 standard volumes, of the precursor;
- from 5 to 100 standard volumes of a carrier gas,
- from 0.5 to 1.5 standard volumes of an oxidizing agent.

alternatively this ratio:

- from 1 to 6 standard volumes of the precursor;
- from 10 to 70 standard volumes, of a carrier gas,
- from 0.5 to 1.5 standard volumes of an oxidizing agent.

alternatively this ratio:

- from 2 to 4 standard volumes of the precursor;
- from 10 to 70 standard volumes of a carrier gas,
- from 0.5 to 1.5 standard volumes of an oxidizing agent.

alternatively this ratio:

- from 1 to 6 standard volumes of the precursor;
- from 5 to 100 standard volumes of a carrier gas,
- from 0.8 to 1.2 standard volumes of an oxidizing agent.

alternatively this ratio:

- from 2 to 4 standard volumes of the precursor;
- from 5 to 100 standard volumes of a carrier gas,
- from 0.8 to 1.2 standard volumes of an oxidizing agent.

alternatively this ratio:

- from 1 to 6 standard volumes of the precursor;
- from 10 to 70 standard volumes of a carrier gas,
- from 0.8 to 1.2 standard volumes of an oxidizing agent.

alternatively this ratio:

- 2 to 4 standard volumes, of the precursor;
- from 10 to 70 standard volumes of a carrier gas,
- from 0.8 to 1.2 standard volumes of an oxidizing agent.

Carrier Gas of any Embodiment

The carrier gas can comprise or consist of an inert gas, for example argon, helium, xenon, neon, another gas that is inert to the other constituents of the process gas under the deposition conditions, or any combination of two or more of these.

Oxidizing Gas of any Embodiment

The oxidizing gas can comprise or consist of oxygen (O_2 and/or O_3 (commonly known as ozone)), nitrous oxide, or any other gas that oxidizes the precursor during PECVD at the conditions employed. The oxidizing gas comprises about 1 standard volume of oxygen. The gaseous reactant or process gas can be at least substantially free of nitrogen.

III. Plasma of any Embodiment

The plasma of any PECVD embodiment can be formed in the vicinity of the substrate. The plasma can in certain cases, especially when preparing a barrier coating or layer, be a non-hollow-cathode plasma. In other certain cases, especially when preparing a pH protective coating or layer, a non-hollow-cathode plasma is not desired. The plasma can be formed from the gaseous reactant at reduced pressure. Sufficient plasma generation power input can be provided to induce pH protective coating or layer formation on the substrate.

IV. RF Power of any Embodiment

The precursor can be contacted with a plasma made by energizing the vicinity of the precursor with electrodes

26

powered at a frequency of 10 kHz to 2.45 GHz, alternatively from about 13 to about 14 MHz.

The precursor can be contacted with a plasma made by energizing the vicinity of the precursor with electrodes powered at radio frequency, optionally at a frequency of from 10 kHz to less than 300 MHz, optionally from 1 to 50 MHz, even optionally from 10 to 15 MHz, optionally at 13.56 MHz.

The precursor can be contacted with a plasma made by energizing the vicinity of the precursor with electrodes supplied with electric power at from 0.1 to 25 W, optionally from 1 to 22 W, optionally from 1 to 10 W, even optionally from 1 to 5 W, optionally from 2 to 4 W, for example of 3 W, optionally from 3 to 17 W, even optionally from 5 to 14 W, for example 6 or 7.5 W, optionally from 7 to 11 W, for example of 8 W, from 0.1 to 500 W, optionally from 0.1 to 400 W, optionally from 0.1 to 300 W, optionally from 1 to 250 W, optionally from 1 to 200 W, even optionally from 10 to 150 W, optionally from 20 to 150 W, for example of 40 W, optionally from 40 to 150 W, even optionally from 60 to 150 W.

The precursor can be contacted with a plasma made by energizing the vicinity of the precursor with electrodes supplied with electric power density at less than 10 W/ml of plasma volume, alternatively from 6 W/ml to 0.1 W/ml of plasma volume, alternatively from 5 W/ml to 0.1 W/ml of plasma volume, alternatively from 4 W/ml to 0.1 W/ml of plasma volume, alternatively from 2 W/ml to 0.2 W/ml of plasma volume, alternatively from 10 W/ml to 50 W/ml, optionally from 20 W/ml to 40 W/ml.

The plasma can be formed by exciting the reaction mixture with electromagnetic energy, alternatively microwave energy.

V. Other Process Options of any Embodiment

The applying step for applying a pH protective coating or layer to the substrate can be carried out by vaporizing the precursor and providing it in the vicinity of the substrate.

The chemical vapor deposition employed can be PECVD and the deposition time can be from 1 to 30 sec, alternatively from 2 to 10 sec, alternatively from 3 to 9 sec. The purposes for optionally limiting deposition time can be to avoid overheating the substrate, to increase the rate of production, and to reduce the use of process gas and its constituents. The purposes for optionally extending deposition time can be to provide a thicker pH protective coating or layer for particular deposition conditions.

VI. Protective Coating or Layer Properties of any Embodiment

Thickness of any Embodiment

Optionally, the pH protective coating or layer can have a thickness determined by transmission electron microscopy (TEM), of any amount stated in this disclosure.

Composition of any Embodiment

Optionally, the pH protective coating or layer can be composed of $Si_wO_xC_yH_z$ (or its equivalent SiO_xC_y) or $Si_wN_xC_yH_z$ or its equivalent SiN_xC_y , each as defined previously. The atomic ratio of Si:O:C can be determined by XPS (X-ray photoelectron spectroscopy). Taking into account the H atoms, the pH protective coating or layer may thus in one aspect have the formula $Si_wO_xC_yH_z$, or its equivalent SiO_xC_y , for example where w is 1, x is from about 0.5 to about 2.4, y is from about 0.6 to about 3, and z is from about 2 to about 9.

Typically, expressed as the formula $Si_wO_xC_y$, the atomic ratios of Si, O, and C are, as several options:

Si 100: O 50-150: C 90-200 (i.e. w=1, x=0.5 to 1.5, y=0.9 to 2);

Si 100: O 70-130: C 90-200 (i.e. $w=1$, $x=0.7$ to 1.3 , $y=0.9$ to 2)

Si 100: O 80-120: C 90-150 (i.e. $w=1$, $x=0.8$ to 1.2 , $y=0.9$ to 1.5)

Si 100: O 90-120: C 90-140 (i.e. $w=1$, $x=0.9$ to 1.2 , $y=0.9$ to 1.4), or

Si 100: O 92-107: C 116-133 (i.e. $w=1$, $x=0.92$ to 1.07 , $y=1.16$ to 1.33).

Alternatively, the pH protective coating or layer can have atomic concentrations normalized to 100% carbon, oxygen, and silicon, as determined by X-ray photoelectron spectroscopy (XPS) of less than 50% carbon and more than 25% silicon. Alternatively, the atomic concentrations are from 25 to 45% carbon, 25 to 65% silicon, and 10 to 35% oxygen. Alternatively, the atomic concentrations are from 30 to 40% carbon, 32 to 52% silicon, and 20 to 27% oxygen. Alternatively, the atomic concentrations are from 33 to 37% carbon, 37 to 47% silicon, and 22 to 26% oxygen.

Optionally, the atomic concentration of carbon in the pH protective coating or layer, normalized to 100% of carbon, oxygen, and silicon, as determined by X-ray photoelectron spectroscopy (XPS), can be greater than the atomic concentration of carbon in the atomic formula for the organosilicon precursor. For example, embodiments are contemplated in which the atomic concentration of carbon increases by from 1 to 80 atomic percent, alternatively from 10 to 70 atomic percent, alternatively from 20 to 60 atomic percent, alternatively from 30 to 50 atomic percent, alternatively from 35 to 45 atomic percent, alternatively from 37 to 41 atomic percent.

Optionally, the atomic ratio of carbon to oxygen in the pH protective coating or layer can be increased in comparison to the organosilicon precursor, and/or the atomic ratio of oxygen to silicon can be decreased in comparison to the organosilicon precursor.

Optionally, the pH protective coating or layer can have an atomic concentration of silicon, normalized to 100% of carbon, oxygen, and silicon, as determined by X-ray photoelectron spectroscopy (XPS), less than the atomic concentration of silicon in the atomic formula for the feed gas. For example, embodiments are contemplated in which the atomic concentration of silicon decreases by from 1 to 80 atomic percent, alternatively by from 10 to 70 atomic percent, alternatively by from 20 to 60 atomic percent, alternatively by from 30 to 55 atomic percent, alternatively by from 40 to 50 atomic percent, alternatively by from 42 to 46 atomic percent.

As another option, a pH protective coating or layer is contemplated that can be characterized by a sum formula wherein the atomic ratio C:O can be increased and/or the atomic ratio Si:O can be decreased in comparison to the sum formula of the organosilicon precursor.

Other pH Protective Coating or Layer Properties of any Embodiment

The pH protective coating or layer can have a density between 1.25 and 1.65 g/cm³, alternatively between 1.35 and 1.55 g/cm³, alternatively between 1.4 and 1.5 g/cm³, alternatively between 1.4 and 1.5 g/cm³, alternatively between 1.44 and 1.48 g/cm³, as determined by X-ray reflectivity (XRR). Optionally, the organosilicon compound can be octamethylcyclotetrasiloxane and the pH protective coating or layer can have a density which can be higher than the density of a pH protective coating or layer made from HMDSO as the organosilicon compound under the same PECVD reaction conditions.

The pH protective coating or layer optionally can prevent or reduce the precipitation of a compound or component of

a composition in contact with the pH protective coating or layer, in particular can prevent or reduce insulin precipitation or blood clotting, in comparison to the uncoated surface and/or to a barrier coated surface using HMDSO as precursor.

The substrate can be a pharmaceutical package or other vessel, for protecting a compound or composition contained or received in the vessel with a pH protective coating or layer against mechanical and/or chemical effects of the surface of the uncoated substrate.

The substrate can be a pharmaceutical package or other vessel, for preventing or reducing precipitation and/or clotting of a compound or a component of the composition in contact with the inner or interior surface of the vessel. The compound or composition can be a biologically active compound or composition, for example a medicament, for example the compound or composition can comprise insulin, wherein insulin precipitation can be reduced or prevented. Alternatively, the compound or composition can be a biological fluid, for example a bodily fluid, for example blood or a blood fraction wherein blood clotting can be reduced or prevented.

The pH protective coating or layer optionally can have an RMS surface roughness value (measured by AFM) of from about 5 to about 9, optionally from about 6 to about 8, optionally from about 6.4 to about 7.8. The R_a surface roughness value of the pH protective coating or layer, measured by AFM, can be from about 4 to about 6, optionally from about 4.6 to about 5.8. The R_{max} surface roughness value of the pH protective coating or layer, measured by AFM, can be from about 70 to about 160, optionally from about 84 to about 142, optionally from about 90 to about 130.

VII. Product Made of Vessel Plus Contents, Optional for any Embodiment

In any embodiment, the substrate can be a vessel having an inner or interior surface defining a lumen and an exterior surface, the pH protective coating or layer can be on the inner or interior surface of the pharmaceutical package or other vessel, and the vessel can contain a compound or composition in its lumen, for example citrate or a citrate containing composition, or for example insulin or an insulin containing composition. A prefilled syringe or cartridge is especially considered which contains injectable or other liquid drugs like insulin.

Optionally for any of the embodiments, illustrated for example in FIG. 7, the capped pre-assembly of the Figures can be filled with a fluid material 40. Examples of a suitable fluid composition are any one or a combination of any two or more members selected from the group recited in the claims.

As several examples, the fluid material 40 can be an inhalation anesthetic, a drug, or a diagnostic test material. Any of these fluid materials 40 can be an injectable material, a volatile material capable of being inhaled, or otherwise capable of being introduced into a subject.

EXAMPLE 1

Container Closure Integrity

A test was performed using as samples commercially obtained capped pre-assemblies with staked needles (1 ml. capacity "long" style syringes without plungers) similar to those of the present FIGS. 1-5. The caps 28 were made of elastomeric material. Thus, the seated caps 28 of the pre-

assemblies **12** isolated the distal openings **24** due to contact between the caps **28** and the barrels **14**.

A test group of ten pre-assemblies was used as supplied, with intact caps **28**. A control group of five pre-assemblies ("perforated caps") was modified by intentionally providing one round aperture of controlled diameter through the wall of each cap **28**. The apertures of controlled diameter were made by pushing one fused silica glass capillary of known inside diameter (2 microns) through each cap. The capillaries were inserted from inside the barrel lumen **18** out through the hypodermic needle distal opening **24** and through the end of the needle cap **28**. The capillaries thus bypassed the seals created by the ribs **42** (per FIG. 3), as well as the seals created by burying the dispensing portions **20** in the material of the caps **28**. The capillaries were then cut at both ends to ensure that the capillaries were not clogged. It is believed that the outside walls of the silica glass capillaries were essentially sealed against the material of the needle cap, thus effectively limiting leakage to flow through the internal passages of the capillaries of known internal diameter and round cross-section. This test primarily evaluated the ability of the cap **28** to prevent leakage of material from the barrel lumen.

The test was conducted using an ATC (Advanced Test Concepts, Inc.) Leak Tek mass flow leak detector. The flange end or opening **32** of each pre-assembly was sealed on a test fixture comprising a seat with an O-ring seal connected in series via the conical flow cell of the ATC mass flow leak detector to a vacuum pump, with a side passage **386** provided to bypass the ATC machine when initially pumping down the barrel lumen **18** from ambient pressure. This test set-up is illustrated schematically in FIG. 30 of U.S. Pat. No. 7,985,188, with the pre-assembly **12** serving as the vessel **358**.

The following testing conditions were used for test runs. A pre-assembly **12** was clamped against the O-ring of the test fixture using a clamping pressure of 40 psi to seat the pre-assembly on the test fixture. Then, the vacuum pump was operated for 5 sec. with the side passage **386** open to pump down the barrel lumen **18** to its initial vacuum. The side passage **386** was closed at an elapsed time set equal to zero seconds while the vacuum pump remained in operation to induce flow through the ATC machine. The test was started at an elapsed time of one second by measuring the vacuum level a first time as reported in the tables below in millibars, using the ATC machine. At an elapsed time of 21 seconds, providing a total test time of 20 sec., the test was concluded by measuring the vacuum level a second time as reported in the tables below in millibars, using the ATC machine. The difference between the 1st and 2nd measurements was determined for each test, reported in Tables 1 and 2, and plotted in FIG. 8 as pressure decay.

Referring to Table 1 and plot **52** presenting the data for the intact caps, the average pressure decay (in this case, more precisely, vacuum decay) was 4.8 millibars, with a maximum decay of 5.1 millibars and a standard deviation of 0.2 millibars. This maximum decay was used as a standard against which to measure the effect of introducing apertures into the intact caps.

Referring to Table 2 and plot **54** presenting the data for the perforated caps, the average pressure decay was 13.5 millibars, with a minimum decay of 11.6 millibars and a standard deviation of 2.4 millibars. Since the perforated caps clearly had a statistically significant, higher pressure decay than the intact caps, the two were easily distinguished in a 20-second test.

The pressure decay is believed to have occurred (although the invention is not limited according to the accuracy of this theory) because the initial pressure was measured after a brief period of time (one second) to allow the unit to reach a quasi-steady state. At this time, the mass flow had the indicated baseline value, believed to be related to the amount of mass extracted from the surface of container. When there was a hole in the container, by the second measurement time ambient atmosphere outside the container was pulled into the container by the vacuum, creating a larger mass flow. The amount of mass flow was related to the size of the hole. By this means a non-integral container was easily detected because it had a greater pressure decay than a predetermined standard (in this case, the standard was established by the tests on intact caps).

This method used sensitive pressure transducers to measure a pressure differential, which optionally can be converted to a mass flow rate. The mass flow rate was determined very quickly after a few seconds of drawing a vacuum on the container to be tested. This method is amenable to high speed, on-line, high sensitivity container closure integrity (CCI) testing. In every case the mass flow detector was off scale when capillaries down to 1.8 microns ID were tested. This indicates that the test can be carried out more quickly and/or with smaller capillaries than those used in this test.

A second container closure integrity test can be conducted, in which the caps **28** are perforated between the rib **42** and the portion of the cap **28** in contact with the dispensing portion **20**. This test provides a failure bypassing just the seal created by the rib **42**, thus testing the ability of the caps **28** to prevent contamination of the outside of the needle or other dispensing portion **20**. Using both the former and the latter tests, one can completely test the container closure integrity of the seal.

EXAMPLE 2

Deposition of Coating Products in Dispensing Portion Lumen **26**

The following example was carried out as described below, and shows that there was no significant increase in Si on the syringe needle based on the PECVD coating process. This example demonstrates that the interior portion of the needle did not get significantly coated during the PECVD coating process, if coated with the needle cap applied.

Two studies were undertaken with 100 needles in each study.

In the first study, 96 staked-needle 1 ml capacity long style syringes, which were only coated with a barrier coating or layer **30**, and **100** uncoated but otherwise similar syringes were obtained. The needles were removed from syringes by heating the plastic needle hub and needle with a flame, then pulling the needle from the syringe with tweezers. Care was taken to secure the needle with the tweezers immediately next to the plastic hub. This ensured that if the needle was collapsed by the tweezers, the collapsed area was in the middle of the needle and both ends remained open to allow solution to access the needle. The needles were removed cleanly with little to no plastic.

The needles from the coated syringes were cut into two sections, one near the needle tip approximately 11 mm long and the other section closest to the syringe body approximately 9 mm long. This was done to determine, if Si was present, where it was in the needle. The needles were placed in labeled 5 ml COP vials with 0.1 N KOH (2.0 ml coated syringe needles and 6.0 ml uncoated syringe needles). The

31

vials were placed in a vacuum of approximately 28 inches Hg for one minute, to remove any air which was trapped in the needles. The vials were sealed with a 20 mm washed plastic stopper and crimped with an aluminum crimp cap. The vials were autoclaved at 121° C. for 60 minutes. After the vials had cooled to room temperature the solutions were transferred into 15 ml polypropylene tubes until testing was performed. Si in solution was performed by ICP/OES (inductively coupled plasma-optical emission spectroscopy).

The second tested utilized 97 coated staked-needle 1 ml. capacity long style syringes, tri-layer PECVD coated (with a barrier coating or layer 30, a pH protective coating or layer 34, and a lubricity layer as discussed in U.S. Pat. No. 7,985,188). These syringes were ethylene oxide sterilized. 100 uncoated COP 1 ml long staked needle syringes were used as a comparison. Testing was performed in the same manner as above. The results are shown in Tables 3 and 4.

The results from the first study are shown in Table 3. In that study the coated syringes had received only barrier coating. The needles from the uncoated syringes (0.155 µg/syringe) had more Si present than the needles from the coated syringes (0.102 µg/syringe) demonstrating that the coating process did not add any coating. The absence of Si from coated syringe needles is further demonstrated by the Si per unit length of needle (µg Si/mm). If a coating was present it would be expected that the portion of the needle closest to the syringe would have a higher Si per unit length than the portion of the needle furthest from the syringe. This was not observed.

The results from the second study in Table 4 again show that the difference in Si found in needles from coated syringes and needles from uncoated syringes was not significant. The amount of Si per unit length of needle was essentially the same regardless of the location of the needle.

The presence of some Si in needles was expected as stainless steel contains approximately 1% Si by weight. The weight of the needles used in these syringes was approximately 11 mg (11000 µg), therefore a Si result of 0.1-0.2 µg/syringe is not unreasonable.

It was concluded from these studies that there is no coating, or at a minimum essentially no coating, in the internal diameters of the needles of syringes coated by any of the coating processes used for the syringes tested in this study.

TABLE 1

Sample	1st (mbar)	2nd (mbar)	Delta (mbar)
1	990.7	985.8	4.9
2	990.7	985.6	5.1
3	990.7	985.7	5.0
4	991.0	986.4	4.6
5	991.5	986.8	4.7
6	991.9	987.2	4.7
7	991.2	986.2	5.0
8	991.3	986.5	4.8
9	991.8	987.4	4.4
10	992.1	987.6	4.5
Avg	991.3	986.5	4.8
Max	992.1	987.6	5.1
Min	990.7	985.6	4.4
StDev	0.5	0.7	0.2

32

TABLE 2

Sample	1st (mbar)	2nd (mbar)	Delta (mbar)
#1Known Failure (2 µm)	988.3	976.3	12.0
#2Known Failure (2 µm)	987.6	974.9	12.7
#3Known Failure (2 µm)	987.4	969.9	17.5
#4Known Failure (2 µm)	987.4	973.9	13.5
#5Known Failure (2 µm)	987.7	976.1	11.6
Avg	987.7	974.2	13.5
Max	988.3	976.3	17.5
Min	987.4	969.9	11.6
StDev	0.4	2.6	2.4

TABLE 3

First Test (1-PECVD coating cycle)			
Syringe Sample	# of syringes	Result (µg Si/syringe)	(µg Si/mm needle)
Uncoated syringe	100	0.155	0.078
Total coated needles	96	0.102	0.050
Coated needle tip end	96	0.064	0.058
Coated syringe end	96	0.038	0.051

TABLE 4

Second Test (3- PECVD coating cycles)			
Syringe Sample	# of syringes	Result (µg Si/syringe)	(µg Si/mm needle)
Uncoated syringe	100	0.220	0.011
Total coated needles	97	0.244	0.012
Coated needle tip end	97	0.123	0.011
Coated syringe end	97	0.121	0.013

The invention claimed is:

1. A method comprising:

providing a capped pre-assembly comprising:

- a barrel comprising an internal wall defining a barrel lumen and a front opening through the internal wall;
- a dispensing portion comprising a hypodermic needle secured to the barrel, the hypodermic needle comprising a distal opening located outside the barrel and a dispensing portion lumen communicating between the front opening of the barrel and the distal opening of the dispensing portion; and
- a cap secured to the barrel and at least substantially isolating the front opening from pressure conditions outside the cap, the cap being sufficiently permeable to a sterilizing gas to sterilize the portions of the assembly isolated by the cap; and

applying a plasma enhanced chemical vapor deposition (PECVD) coating or layer directly or indirectly to at least a portion of the internal wall of the barrel, while the pre-assembly is capped and the dispensing portion lumen of the hypodermic needle is communicating between the front opening and the distal opening of the dispensing portion, under conditions effective to maintain communication between the barrel lumen and the exterior via the front opening at the end of the applying

33

step, in which essentially no PECVD coating is formed in the dispensing portion lumen of the hypodermic needle.

2. The method of claim 1, further comprising, before the providing step, assembling the capped pre-assembly.

3. The method of claim 2, further comprising, before the assembling step, forming the barrel.

4. The method of claim 3, in which the barrel is formed by placing the dispensing portion in an injection mold and injection molding thermoplastic material about the dispensing portion, thus forming the barrel and securing the dispensing portion to the barrel.

5. The method of claim 1, in which the cap is made of a thermoplastic elastomer.

6. The method of claim 1, in which the cap of the pre-assembly isolates the distal opening at least partially due to: contact between the cap and the distal opening, contact between the cap and the barrel, or both.

7. The method of claim 1, in which the barrel further comprises an opening spaced from the dispensing portion and communicating through the internal wall.

8. The method of claim 7, in which the vapor-deposited coating or layer is applied through the opening.

9. The method of claim 8, in which the PECVD coating or layer is applied by flowing a reactant vapor material

34

through the opening and employing plasma enhanced chemical vapor deposition to deposit a reaction product of the reactant vapor material on the internal wall of the barrel.

10. The method of claim 1, further comprising, while drawing at least a partial vacuum through the barrel opening, measuring the pressure decay of gas drawn from the barrel opening and any leakage paths.

11. The method of claim 10, further comprising comparing the pressure decay of gas to a predetermined standard to determine the container closure integrity of the capped pre-assembly.

12. The method of claim 11, in which the pressure decay is measured with sufficient precision to detect a pressure decay due to an intact container versus a container having a single perforation in the cap having a diameter of 5 microns, to 0.5 microns.

13. The method of claim 12, in which the pressure decay is measured within a time between 1 second and 60 seconds.

14. The method of claim 10, in which the pressure decay of gas drawn from the barrel opening and any leakage paths is measured after applying a vapor-deposited coating or layer.

15. The method of claim 1, in which the vapor-deposited coating or layer is a barrier coating or layer.

* * * * *